



OFFICE OF THE SECRETARY
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March 30, 2012

Mr. Robert Aaronson
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Dear Mr. Aaronson:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective January 1, 2012.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified a new program code appearing in VISION data. While this program code has been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
41693.1	HCR CMS 4201 (HIT)	Approved IAPD award for HIT
41696.1	Portfolio Management /Roadmap – Staff and Operating Costs	Direct to HIX Establishment Grant

The Agency of Human Services Central Office (AHSCO) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
37965.1	Committee for National and Community Services- SerVermont	Direct to Committee for National and Community Services- SerVermont
37964.1	Committee for National and Community Services- SerVermont -PDAT grant	Direct to Committee for National and Community Services- SerVermont
37963.1	Committee for National and Community Services- SerVermont -Disability grant	Direct to Committee for National and Community Services- SerVermont
37962.1	Committee for National and Community Services- SerVermont -Formula grant	Direct to Committee for National and Community Services- SerVermont
37961.1	Committee for National and Community Services SerVermont –Competitive grant	Direct to Committee for National and Community Services SerVermont
37969.1	Committee for National and Community Services –SerVermont –Volunteers in Service to America (VISTA) Supervision	Direct to Committee for National and Community Services SerVermont

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Agency of Human Services Central Office (AHSCO) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41616.1	HCR-CMS 4201 (HIT)	Direct to HCR-CMS 4201

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
37071	Emergency Management	Direct to Emergency Management MOU
40530.12	Foster and Adoptive Parent Training	Is claimed using a blended eligibility rate of both IV-E adoption and foster care, as applicants for foster care and adoption are trained together, with many applying for approval for both programs.

The Department for Children and Families (DCF) has revised program codes appearing in VISION data. This category does have an adverse affect on federal funding and is listed in the chart below.

Plan Dept.	Plan Dept. Name	Allocation Method
40040	Adoption & Guardianship Services	Title IV-E Adoption Assistance Rate and IV-E Guardianship Rate
40530.1	Family Services Title IV-E Maintenance Payments	Direct to Title IV-E Child Development
40530.2	Case Review Services/Foster Parent Recruitment	Title IV-E Eligibility Rate
40530.3	Foster Parent Trainings	Title IV-E Eligibility Rate (IV-E Training)
40530.5	Training UVM students in Social Worker studies	Direct to Title IV-E Foster Care Training (75%) Is claimed using a blended eligibility rate of both adoption and IV-E children. State match to be provided by UVM.
40530.6	Title IV-E Short Term Training	Direct to Title IV-E – Training (*09-55%)
40530.7	Guardianship Assistance Maintenance Program Payments	Direct to Permanent Guardianship

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The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
40950	ARRA - SNAP	Direct to ARRA-SNAP
40951	ARRA – Title IV-E Foster Care	6.2% of Eligible Costs
40952	ARRA – Title IV-E Adoption Assistance	6.2% of Eligible Costs
40955	ARRA – TEFAP	Direct to ARRA-TEFAP
40956	ARRA- Title IV-D	Direct to ARRA – Title IV-D.

Department of Disabilities, Aging and Independent Living (DAIL)

The Department of Disabilities, Aging and Independent Living (DAIL) has made no changes to allocation methods. Changes were made in the narrative portion only. These changes have been added to the narrative and highlighted in red:

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
1017.1	HIT: Implementation and Operation- Staff	Direct to SMHP-HIT IAPD
1018.1	MAPIR - State Customization - Staff Costs	Direct to SMHP-HIT IAPD

The Department of Vermont Health Access (DVHA) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
1013.1	CMS HIT 4201 PAPD	Direct to CMS 4201 HIT PADP
41616	CMS HIT 4201 PAPD	Direct to CMS 4201 HIT PAPD

Vermont Department of Health (VDH):

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
39230	Immunization ACA	Direct to Immunization ACA
39634	Tobacco Control Supplement CDC	Direct to Tobacco Control Supplement - CDC
39635	Community Transformation	Direct to Community Transformation
39636	Chronic Disease Coordination	Direct to Chronic Disease Coordination
39739	BF Performance Bonus	Direct to BF Performance Bonus
39871	Data Collection State Epidemiological Outcomes Workgroup (SEOW) Program	Direct to State Epidemiological Outcomes Workgroup (SEOW) Program

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Vermont Department of Health (VDH) has updated a program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39231	Immunization Pilot	Direct to Immunization Pilot
39569	Fluoridation	Direct to PHHS Block Grant – Direct to Fluoridation (as an MCO Investment)

The Vermont Department of Health (VDH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
9172	PHER H1N1	Direct to PHER H1N1
39173	H1N1 Implementation	Direct to H1N1 Implementation

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
42401	MH – SAMHSA/FEMA Regular Services Program Grant	Direct to SAMHSA/FEMA Regular Services Program Grant
42580	MH – Coalition to Promote Community Based Care Grant	Direct to the Olmstead Grant
42783	Staff and Operating Expenses Not Related to a Specific Site	Direct to GF
42901	Emergency VSH Patient Relocation	Direct to FEMA Project: Emergency VSH Patient Relocation
42902	Relocation Expenses	Direct to FEMA Project: Relocation Expenses
42903	Brattleboro Retreat Renovations	Direct to FEMA Project: Brattleboro Retreat Renovations
42904	Rutland Regional Medical Center	Direct to FEMA Project: Rutland Regional Medical Center
42905	State Run Acute Inpatient Facility	Direct to FEMA Project: State Run Acute Inpatient Facility
42906	Rent at the Brattleboro Retreat	Direct to FEMA Project: Rent at the Brattleboro Retreat
42907	Rent at the Fletcher Allen Health Care	Direct to FEMA Project: Rent at Fletcher Allen Health Care
42908	Rent at the Rutland Regional Medical Center	Direct to FEMA Project: Rent at Rutland Regional Medical Center

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Mental Health (DMH) has updated a program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
42014	MH – Legal Administration	Mental Health Distribution H
42769	Recovery Housing	Direct to Recovery Housing – MCO Investment
42780	VSH – Direct Acute Patient Care - Non-Springfield	Direct to VSH , Global Commitment Fund
42781	VSH – Direct Acute Patient Care - Springfield	Direct to VSH , General Fund
42782	VSH – Admin and Program Support for Acute Care – Post Tropical Storm Irene	Costs to be allocated to VSH direct acute patient care cost centers based on patient statistics FTE

The Department of Mental Health (DMH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39950	VSH Administrative & General	Direct to VSH - no costs associated - delete
39951	VSH Executive Office	Direct to VSH - no costs associated - delete
39952	VSH Business Office	Direct to VSH - no costs associated - delete
39953	VSH Admissions	Direct to VSH - no costs associated - delete
39954	VSH Non-Admissions	Direct to VSH - no costs associated - delete
39955	VSH Switchboard	Direct to VSH - no costs associated - delete
39956	VSH Quality Improvement	Direct to VSH - no costs associated - delete
39957	VSH Word Processing	Direct to VSH - no costs associated - delete
39960	VSH Brooks 1 Unit	Direct to VSH - no costs associated - delete
39961	VSH Brooks 2 Unit	Direct to VSH - no costs associated - delete
39962	VSH Brooks Rehab Unit	Direct to VSH - no costs associated - delete
39963	VSH Brooks 1 Team	Direct to VSH - no costs associated - delete
39964	VSH Brooks 2 Team	Direct to VSH - no costs associated - delete
39965	VSH Brooks Rehab Team	Direct to VSH - no costs associated - delete
39970	VSH Social Services	Direct to VSH - no costs associated - delete
39971	VSH Chaplains	Direct to VSH - no costs associated - delete
39972	VSH Volunteer & Library	Direct to VSH - no costs associated - delete
39973	VSH Medical Records	Direct to VSH - no costs associated - delete
39974	VSH Nursing Administration	Direct to VSH - no costs associated - delete
39975	VSH Nursing Education	Direct to VSH - no costs associated - delete
39976	VSH Clinic	Direct to VSH - no costs associated - delete
39977	VSH Laboratory	Direct to VSH - no costs associated - delete
39978	VSH Ancillary Services	Direct to VSH - no costs associated - delete
39979	VSH Occupational Therapy	Direct to VSH - no costs associated - delete
39980	VSH Physical Therapy	Direct to VSH - no costs associated - delete
39981	VSH Pharmacy	Direct to VSH - no costs associated - delete
39982	VSH Housekeeping	Direct to VSH - no costs associated - delete
39983	VSH Dietary	Direct to VSH - no costs associated - delete
39984	VSH Storehouse	Direct to VSH - no costs associated - delete
39985	VSH Canteen	Direct to VSH - no costs associated - delete

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Allocation Method
39986	VSH Summer Camp	Direct to VSH - no costs associated - delete
39987	VSH Barber & Beauty Shop	Direct to VSH - no costs associated - delete
42030	CAP/CRT	Direct to CRT Community
42756	Alternatives to Restraint and Seclusion Grant	Direct to Alternatives to Restraint Grant -no costs associated - delete

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Impact Assessment

Category 1- Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2- Programs in this category are used to identify new grants 100% federally funded.

Category 3- Programs in this category are new or have been updated but have no adverse federal impact.

Category 4 - Program codes being moved from one Planned Department category to another with no changes in funding.

Category 5- Updates in this category do have an adverse affect on federal funding.

Category 6 - Updates in narrative wording only with no change in the actual allocation method.

Category 7- Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made two changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 41693.1 - HCR CMS 4201 (HIT)
- 41696.1- Portfolio Management/Roadmap – Staff and Operating Costs
- 37965.1- Committee for National and Community Services SerVermont
- 37964.1- Committee for National and Community Services SerVermont -PDAT grant
- 37963.1- Committee for National and Community Services SerVermont -Disability grant
- 37962.1- Committee for National and Community Services SerVermont -Formula grant
- 37961.1- Committee for National and Community Services SerVermont -Competitive grant
- 37969.1- Committee for National and Community Services SerVermont -Volunteers in Service to America (VISTA) Supervision

Category 7- Deleted program. Program has expired or been terminated.

- 41616.1 - HCR-CMS 4201 (HIT)

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

Category 3 - Programs in this category are new or have been updated but have not had any adverse federal impact.

- 37071 - Emergency Management
- 40530.12 - Foster and Adoptive Parent Training

Category 5 - Updates in this category do have an adverse affect on federal funding.

- 40040 - Adoption & Guardianship Services

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Category 6 – Updates in narrative wording only with no change in the actual allocation method.

- 40530.1 - Family Services Title IV-E Maintenance Payments
- 40530.2 - Case Review Services/Foster Parent Recruitment
- 40530.3 - Foster Parent Trainings
- 40530.5 - Training UVM students in Social Worker studies
- 40530.6 - Title IV-E Short Term Training
- 40530.7 - Guardianship Assistance Maintenance Program Payments

Category 7 – Deleted program. Program has expired or been terminated.

- 40950 - ARRA - SNAP
- 40951 - ARRA – Title IV-E Foster Care
- 40952 - ARRA – Title IV-E Adoption Assistance
- 40955 - ARRA – TEFAP
- 40956 - ARRA- Title IV-D

Department of Disabilities, Aging and Independent Living (DAIL)

The Department of Disabilities, Aging and Independent Living (DAIL) has not made narrative changes to the Cost Allocation Plan this quarter.

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 1017.1 - HIT: Implementation and Operation- Staff
- 1018.1 - MAPIR - State Customization - Staff Costs

Category 7- Deleted program. Program has expired or been terminated.

- 1013.1 - CMS HIT 4201 PAPD
- 41616 - CMS HIT 4201 PAPD

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 39230 - Immunization ACA
- 39634 - Tobacco Control Supplement (CDS)
- 39635 - Community Transformation
- 39636 - Chronic Disease Coordination
- 39739 - Breast Feeding (BF) Performance Bonus
- 39871 - State Epidemiological Outcomes Workgroup (SEOW) Program

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 39231 - Immunization Pilot
- 39569 - Fluoridation

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Category 7 - Deleted program. Program has expired or been terminated.

- 39172 – PHER-H1N1
- 39173 – H1N1 Implementation

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 -Programs in this category are new or have been updated but have no adverse federal impact.

- 42014 – MH – Legal Administration
- 42769 – Recovery Housing
- 42780 – VSH – Direct Acute Patient Care - Non-Springfield
- 42781 – VSH – Direct Acute Patient Care - Springfield
- 42782 – VSH – Admin and Program Support for Acute Care – Post Tropical Storm Irene
- 42401 – MH – SAMHSA/FEMA Regular Services Program Grant
- 42580 – MH – Coalition to Promote Community Based Care Grant
- 42783 – Staff and Operating Expenses Not Related to a Specific Site
- 42901 – Emergency VSH Patient Relocation
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- 42903 – Brattleboro Retreat Renovations
- 42904 – Rutland Regional Medical Center
- 42905 – State Run Acute Inpatient Facility
- 42906 – Rent at the Brattleboro Retreat
- 42907 – Rent at the Fletcher Allen Health Care
- 42908 – Rent at the Rutland Regional Medical Center

Category 7- Deleted program. Program has expired or been terminated.

- 39950 – VSH Administrative & General
- 39951 – VSH Executive Office
- 39952 – VSH Business Office
- 39953 – VSH Admissions
- 39954 – VSH Non-Admissions
- 39955 – VSH Switchboard
- 39956 – VSH Quality Improvement
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- 39963 – VSH Brooks 1 Team
- 39964 – VSH Brooks 2 Team
- 39965 – VSH Brooks Rehab Team
- 39970 – VSH Social Services
- 39971 – VSH Chaplains
- 39972 – VSH Volunteer & Library
- 39973 – VSH Medical Records
- 39974 – VSH Nursing Administration
- 39975 – VSH Nursing Education
- 39976 – VSH Clinic

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 7- Deleted program. Program has expired or been terminated.

- 39977 – VSH Laboratory
- 39978 – VSH Ancillary Services
- 39979 – VSH Occupational Therapy
- 39980 – VSH Physical Therapy
- 39981 – VSH Pharmacy
- 39982 – VSH Housekeeping
- 39983 – VSH Dietary
- 39984 – VSH Storehouse
- 39985 – VSH Canteen
- 39986 – VSH Summer Camp
- 39987 – VSH Barber & Beauty Shop
- 42030 – CAP/CRT
- 42756 – Alternatives to Restraint and Seclusion Grant

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 871-3005.

Sincerely,

Douglas A. Racine
Secretary
Agency of Human Services

cc:

Al Johnson
Ben Black
Bill Kelly
Brenda Fox-Howard
Cara McSherry
Carrie Hathaway
Connie Harrison
David Cameron
David Cohen
Ed Dwinell
Gary Leach
Heidi Hall

Hugh McCaslin
Jill Gould
Jim Euber
Jim Giffin
Jim Mall
Joan Adams
Judy Morse
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Leo Clark
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Sherie Barbour
Shirley Dow
Ursula Boehringer
Victoria Alberghini

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Agency of Human Services Central Office (AHSCO)

I. Introduction

The following narrative represents the cost allocation plan (CAP) for the State of Vermont, Agency of Human Services (AHS). For purposes of this narrative, AHS is also referred to as the “Office of the Secretary”, as it is the Secretary’s Office that provides support functions, while all direct services, with the exception of certain grants, are administered by the departments that fall under this umbrella agency. The Departments which fall under AHS are Department of Children and Family Services (DCF); Department of Disabilities, Aging and Independent Living (DAIL); Department of Vermont Health Access (DVHA); the Vermont Department of Health (VDH); and the Department of Mental Health (DMH). Any costs allocated as benefitting the Agency of Human Services Central Office (AHS/AHS-CO) are further reallocated across all other agency-specific benefitting objectives.

The mission of the AHS Office of the Secretary is to:

- Ensure that citizens, policy makers, and service providers are knowledgeable about the well being of Vermonters;
- Promote integrated planning, delivery, and evaluation of resources and services; and
- Ensure that Agency resources (personnel, fiscal, technology, and space) are managed effectively.

II. Organization

The AHS Secretary oversees the four divisions within AHS in addition to the Human Services Board, the Vermont Developmental Disabilities Council, Field Services, ~~Committee for National and Community Services~~ SerVermont and the Duals Eligible Program.

Division of Administrative Services:

The Division coordinates the development of the Agency’s budget to ensure that departmental programs reflect the Governor’s priorities and are in compliance with legislative requirements. The Division develops financial status reports and monitors key program performance indicators for each Agency department and office. The Division’s fiscal unit coordinates all federal block grant and statewide single audit functions and updates federal cost allocation plans as necessary. The Division’s Audit Unit performs monitoring functions agency wide. The Division’s Health Care Integrated Unit monitors health care expenditures and revenues functions statewide. The Division’s data processing group coordinates the deployment of new centralized systems and software applications that allows both Agency district offices and community sites to share data appropriately across geographic and organizational boundaries. The Division also coordinates the efficient use of 720,000 square feet of AHS office space statewide.

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Division of AHS Healthcare Operations, Compliance and Improvement:

The Division works in partnership with communities and state entities to improve the well-being of Vermonters through the planning, coordination, and evaluation of human services. The Division engages in prevention planning, training and technical assistance, and evaluation with AHS Departments and other state agencies and non-governmental partners. It assists communities to assess their strengths and assets and to articulate clear and consistent goals, develop integrated and effective strategies, and deploy resources efficiently. The Division also engages in federal grant compliance monitoring and helps communities to evaluate their initiatives. The Division publishes annual summaries of Vermont State and community performance on more than 50 indicators of well-being.

Division of Rate Setting:

The Division audits and establishes choices for care payment rates for nursing facilities and private non-medical institutions for the Department of Children and Families Services (DCF) as well as intermediate care facilities for people with developmental disabilities for the Department of Disabilities, Aging and Independent Living (DAIL). Through the application of its rules, the Division evaluates the rationale and allowableness of program budgets and expenditures to ensure both cost containment and quality of care.

Human Services Board:

The Board is a citizen's panel consisting of seven members created by the legislature pursuant to 3 V.S.A., Sec. 3090. Its duties are to act as a fair hearing board for appeals brought by individuals who are aggrieved by decisions or policies of departments and programs within AHS. The Board conducts hearings and issues written decisions in accordance with its rules and its statutory responsibilities. The Board also certifies Family Court copies of Child Support Orders issued by the Board prior to the creation of the Family Court.

Vermont Developmental Disabilities Council:

The Developmental Disabilities Council works to ensure that all people with developmental disabilities receive the opportunities, assistance, and services necessary to live independently and productively in integrated community settings. The Council engages in advocacy, capacity building, and systems change activities. It contributes to a self-directed and family-centered system of community services, individualized supports, and other forms of assistance that enable self advocates to exercise self-determination, to be independent, productive, and included in all facets of community life.

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Assistant Attorney General:

The chief legal advisor to the Secretary and her staff offer legal counsel and input to the Agency and the departments within the Agency.

Field Services Division

This division has broad responsibility for the operations of all Agency of Human Services functions within each region. Regional Field Directors are charged with assuring the optimal functioning of the Agency, oversight of district offices and mobilization of the local community to design and implement a human services system which contributes to the health and well being of all Vermonters.

~~Committee for National and Community Services~~– SerVermont

The Committee administers several federal grants to support community based initiatives throughout the state. The programs include Neighbor to Neighbor, the Dream mentoring initiative, the Northeast Kingdom Initiative, the Vermont Youth Development Corps, and Vermont Community Stewardship program.

Duals Eligible Program

This program consists of a Project Director, an Administrative Assistant and a Data and Reporting Coordinator. This program is for the design of an innovative integrated care model to improve the quality, coordination, and cost effectiveness of care for dual populations eligible for Medicare and Medicaid in their respective state.

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III. Plan Departments (Cost Pools) and Allocation Methodologies

Plan Department:

State Wide Indirect Cost Allocation Plan (SWICAP)

Nature and Extent of Services: The State of Vermont, Agency of Administration negotiates the annual State Wide Indirect Cost Allocation Plan (SWICAP) with the Federal Government. The approved statewide indirect costs applicable to AHS are included in the CAP and allocated to the appropriate benefiting objectives.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
1000.1	SWICAP- AHS	AHS Allocation of State Wide Indirect Costs	Directly charged to AHS
1000.2	SWICAP- DAIL	DAIL Allocation of State Wide Indirect Costs	Directly charged to DAIL
1000.3	SWICAP-Corrections	Corrections Allocation of State Wide Indirect Costs	Directly charged to CORR
1000.4	SWICAP- Health (VDH)	VDH Allocation of State Wide Indirect Costs	Directly charged to VDH
1000.5	SWICAP-DVHA	DVHA Allocation of State Wide Indirect Costs	Directly charged to DVHA
1000.6	SWICAP- DCF	DCF Allocation of State Wide Indirect Costs	Directly charged to DCF
1000.7	SWICAP- DMH	DMH Allocation of State Wide Indirect Costs	Directly charged to DMH

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Plan Department:

Single Audit

Nature and Extent of Services: The Single State Audit is administered annually by an outside accounting firm that has entered into a contract with the State of Vermont. This work is put out to bid yearly. The contractor works with the State Auditor's Office as well as Central Office staff to calculate the payment for which AHS is responsible.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37120.1	Single Audit-State Auditors Office Financial Statement and Internal Controls along with OMB A-133 Compliance Reviews	AHS-CO is responsible for payment of that portion of the contract which is applicable to the entire Agency of Human Services (as determined by the Auditor's office).	Audit cost for Financial Statement and Internal Controls is allocated to all Departments based on allocations provided by the State Auditors Office. Compliance costs are allocated to all federal programs based on the specific number of State Auditors Office contractor staff hours billed to each CFDA number.

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Plan Department:**Attorney General's Office**

Cost in VISION attributable to the Attorney General's Office managed by the AHS Central Office.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
88888.1	AAG Office - DCF	DCF Allocation of AAG Office Cost	Result of Legal Time Study
88888.6	AAG Office - DAIL	DAIL Allocation of AAG Office Cost	Result of Legal Time Study
88888.3	AAG Office - VDH	VDH Allocation of AAG Office Cost	Result of Legal Time Study
88888.4	AAG Office - AHS	AHS Allocation of AAG Office Cost	Result of Legal Time Study
88888.5	AAG Office - DVHA	OVHA Allocation of AAG Office Cost	Result of Legal Time Study
88888.2	AAG Office - DAIL	DAIL Allocation of AAG Office Cost	Result of Legal Time Study
88888.7	AAG Office - DMH	DMH Allocation of AAG Office Cost	Result of Legal Time Study

Plan Department:**AHS Field Directors**

These costs in VISION are attributable to the activities of the Field Director which are under the direction of the AHS Secretary's Office. Activities include costs for flexible funding initiatives, regional coordination grants, and other operating costs of regional coordination.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
40450.1	AHS Field Services	Costs associated with Field Services staff salaries and benefits.	Results of Field Services Time Studies

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Plan Department:

Secretary's Office

Nature and Extent of Services: The Secretary's Office for the Agency of Human Services oversees the operations of the Agency, its Divisions, and Departments.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37210.1	Secretary's Office Staff	Office of the AHS Secretary and Staff	Number of Staff Across AHS
32004.1	Training	Costs associated with training of staff.	Number of Staff Across AHS
37071.1	Emergency Management	Costs associated with an MOU with Public Safety for Emergency Management	Direct to Emergency Management MOU

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department:

Human Services Board Office

Nature and Extent of Services: The Board's duties are to act as a fair hearing board for appeals brought by individuals who are aggrieved by decisions or policies of departments and programs within the Agency of Human Services. The Board conducts hearings and issues written decisions in accordance with its rules and its statutory responsibilities. The Board also certifies Family Court copies of Child Support Orders issued by the Board prior to the creation of the Family Court.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37220.1	Human Services Board	This four-member unit conducts fair hearings regarding clients who feel that they have been disadvantaged within the Agency of Human Services system.	Results of Human Services Board staff time studies.

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Plan Department:

Fiscal Unit

Nature and Extent of Services: Fiscal Unit within the Division of Administrative Services.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37010.1	Staff Accountant-AHS- Operations Financial Staff	Financial staff assigned directly to AHS-CO fiscal duties; responsibilities include budget development & monitoring, preparation of quarterly cost allocation, all financial reports, and fiscal support to grant programs administered through the AHS-CO.	Salary and benefit costs for the staff accountant are allocated based on the percentages derived from the quarterly allocation of salaries and benefits for all Central Office staff.
37020.1	Federal Funds Management Unit	This unit's primary responsibility is the management and accountability of federal funds. Duties performed within this unit include the development and preparation of departmental cost allocation & indirect cost plans, federal expenditure reporting, cash management of federal funds, and compliance with federal regulations.	Results of Financial AHS Time Study
37021.1	Fiscal Analysis & Development	Oversees Global Commitment and budget staff	Results of staff reporting to position
37030.1	Budget Services Unit	Performs budget oversight and monitoring agency wide	Results of Budget Services Unit Time Study

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department:**Fiscal Unit (continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37040.1	Agency Chief Fiscal Officer	As the chief fiscal officer, this position has oversight and responsibility for all Agency fiscal matters. This includes direct supervision of the Federal Funds Management Unit and the Budget Services Unit, as well as continual interaction with the departmental business managers. This position is an integral member in the establishment of Agency-wide budget priorities and budget presentation to the State legislature.	Results of staff reporting to position.
37050.1	Admin Services Manager	Costs associated with the Operations Coordinator.	Number of Staff Across AHS
37011.1	Audit Unit	Costs associated with monitoring A133 audit issues and with monitoring the agreements with sub-recipients throughout the Agency.	Results of the Audit Unit Time Study
37013.1	Medicaid Unit	Costs associated with managing of the health care expenses and revenues statewide	Direct to DVHA

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Plan Department:**Computer Services Unit**

Nature and Extent of Services: Computer Services Unit within the Division of Administrative Services.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37070.1	Computer Services Unit	This unit provides technical support, direction, and coordination of all computer development areas for the entire Agency of Human Services.	Results of AHS Computer Services Time Study
41499.1	MOVE-IAPD	Approved IAPD to procure and implement MMIS system.	Direct to MOVE
41607.1	VIEWS-MOVE-IAPD	Approved procure and implement Medicaid Eligibility System.	Direct to VIEWS-MOVE
41608.1	5010-MOVE-IAPD	CMS required upgrade to MMIS system related to HIPPA.	Direct to 5010-MOVE
41616.1	HCR-CMS 4201 (HIT)	Approved IAPD award for HIT	Direct to HCR-CMS 4201
41693.1	HCR CMS 4201 (HIT)	Approved IAPD award for HIT	Direct to HCR CMS 4201
41617.1	HCR-ONC 3013 (HIE)	Approved IAPD award for HIE	Direct to HCR-ONC 3013
41073.1	Member Card Replacement 90/10	Approved IAPD for member card replacement	Direct to Member card replacement
41612.1	MMIS-IV&V	Approved IAPD award for IV & V	Direct to IV & V
41613.1	MMIS-DDI (development)	Approved IAPD award for DDI	Direct to MMIS DDI
41614.1	VIEWS-IV & V	Approved IAPD award for VIEWS-IV & V	Direct to VIEWS-IV & V
41074.1	MMIS-IV&V Member Card Replacement Operating 50/50	Approved IAPD for member card replacement	Direct to Member card replacement
41632.1	SOA Infrastructure Components BUS, Workflow, Rules, Contractual costs	Approved IAPD for SOA Infrastructure Components Contractual costs	Direct to SOA Infrastructure Contractual costs

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
41618.1	SOA Infrastructure Components BUS, Workflow, Rules. Staff costs	Approved IAPD for SOA Infrastructure Components Staff costs	Direct to SOA Infrastructure Staff costs
41696.1	Portfolio Management/Roadmap – Staff and Operating Costs	Approved IAPD for Portfolio Management	Direct to HIX Establishment Grant

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Plan Department:

Division of AHS Healthcare Operations, Compliance and Improvement

Nature and Extent of Services: The Division works in partnership with communities and state entities to improve the well-being of Vermonters through the planning, coordination, and evaluation of human services.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37101.1	Director of Health Care Operations, Compliance and Improvement.	Project work assigned by the AHS Secretary's Office.	Direct to Medicaid Administration
37110.1	AHS Healthcare Operations, Compliance and Improvement	Support staff provides assistance for the Division.	Results of Time Study based on one month each quarter for 100% of time

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department:

Duals Eligible Program

37711.1	Money Follows the Person (MFP) Rebalancing Grant Demonstration – Choices for Care –Dual Eligibility	MFP Rebalancing Grant Demonstration Project work assigned by the AHS Secretary’s Office.	Direct to Money Follows the Person
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Plan Department:

Tobacco Evaluation and Review Board Administrator

Nature and Extent of Services: The purpose of this board is to provide information to youth about the dangers of smoking.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37900.1	Tobacco Evaluation and Review Board Administrator	Administrator of the Tobacco Board. The purpose of this board is to provide information to youth about the dangers of smoking.	Direct to Tobacco Funds

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Plan Department:

State Refugee Coordinator

Nature and Extent of Services: Coordinator for the federal Refugee Resettlement grant. The purpose is to assist with the resettlement of refugees into a safe environment.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37530.1	State Refugee	Coordinator for the federal Refugee Resettlement grant. The purpose is to assist with the resettlement of refugees into a safe environment.	Direct to Refugee Resettlement Grant
37531.1	State Refugee Coordinator-Refugee Admin	Coordinator for the federal Refugee Resettlement grant. The purpose is to assist with the resettlement of refugees into a safe environment.	Direct to Refugee Resettlement Grant
37532.1	Refugee School Impact	Funding to Vermont schools for refugee children.	Direct to Refugee School Impact Grant

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Plan Department:

Commission for National and Community Services

Nature and Extent of Services: Commission administers programs to support community based initiatives throughout the state.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37965.1	Committee for National and Community Services - SerVermont	Administrative cost for staff to support community based initiatives.	Direct to Committee for National and Community Services SerVermont
37964.1	Committee for National and Community Services - SerVermont -PDAT grant	National and Community Service Act of 1990 for community based initiatives	Direct to Committee for National and Community Services SerVermont
37963.1	Committee for National and Community Services - SerVermont -Disability grant	National and Community Service Act of 1990 for community based initiatives	Direct to Committee for National and Community Services SerVermont
37962.1	Committee for National and Community Services - SerVermont -Formula grant	National and Community Service Act of 1990 for community based initiatives	Direct to Committee for National and Community Services SerVermont
37961.1	Committee for National and Community Services - SerVermont -Competitive grant	National and Community Service Act of 1990 for community based initiatives	Direct to Committee for National and Community Services SerVermont
37969.1	Committee for National and Community Services - SerVermont -Volunteers in Service to America (VISTA) Supervision	National and Community Service Act of 1990 for community based initiatives	Direct to Committee for National and Community Services SerVermont

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Plan Department:

General Operating Expenses

Nature and Extent of Services: Routine operating expenses (such as central services costs) that are not identifiable to a specific funding source are allocated to the various programs and departments.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37170.1	General Operating Expenses	Routine operating expenses (such as central services costs) that are not identifiable to a specific funding source are allocated to the various programs and departments.	Number of Staff Across AHS

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department:

Grants and Contracts

Nature and Extent of Services: Grants and contracts administered by AHS-CO identifiable to a specific program and funding source.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42016.1	Health Care Administration	Global Commitment Administration	Direct to DVHA.
37700.1	Health Care Administration	Global Commitment Administration	Direct to DVHA

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Plan Department:

Legal Services

Nature and Extent of Services: Contract with Vermont Legal Aid.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37190.1	Legal Services –Vermont Legal Aid	The Agency contracts annually with VT Legal Aid to provide legal advice and representation to low income persons, such as representation in administrative proceedings before the Human Services Board, and other administrative and judicial proceedings	Allocated to benefiting programs (TANF, Global Commitment, Social Services Block Grant) based on annual caseload data provided by VT Legal Aid for the most recently completed year.

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Plan Department:**Administrative Funds**

Nature and Extent of Services: Administrative cost incurred by the Central office as a revolving loan process with the Agency's Departments.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37415.1	Rent-Brattleboro		Direct to Administrative Funds
37418.1	Rent-Chelsea		Direct to Administrative Funds
37420.1	Rent-Middlebury		Direct to Administrative Funds
37425.1	Rent-Morrisville		Direct to Administrative Funds
37428.1	Rent-Randolph		Direct to Administrative Funds
37430.1	Rent-Rutland-Merchants Row		Direct to Administrative Funds
37434.1	Rent-Winooski		Direct to Administrative Funds
37435.1	Rent/Janitorial-Burlington		Direct to Administrative Funds
37436.1	Rent-Williston		Direct to Administrative Funds
37440.1	Rent/Oil/Janitor-Rutland-West St		Direct to Administrative Funds
37445.1	Rent/Utilities/Tax-St Jay		Direct to Administrative Funds
37465.1	Security-Burlington		Direct to Administrative Funds
37470.1	Janitorial-Middlebury		Direct to Administrative Funds
37475.1	Janitorial-St. Johnsbury		Direct to Administrative Funds
37480.1	Pest Control-Middlebury		Direct to Administrative Funds
37484.1	Rent-Springfield		Direct to Administrative Funds
37485.1	Utilities-White River Junction		Direct to Administrative Funds
37486.1	Rent-White River Junction		Direct to Administrative Funds
37490.1	To Be Allocated		Direct to Administrative Funds

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Plan Department:

Misc. Grants and Contracts

Cost in Vision attributable to miscellaneous grants and contract managed by the AHS Central Office.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37180.1	Misc. Grants and Contracts		Misc. Grants and Contracts
37185.1	High Risk Pool		Misc. Grants and Contracts
37709.1	Other Grants		Misc. Grants and Contracts
41002.1	Service Coordination		Results of Field Staff Time Study
41003.1	Direct Service Dollars		Results of Field Staff Time Study

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Plan Department:

Vermont Developmental Disabilities Council

Cost in Vision attributable to the Vermont Developmental Disabilities Council.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37650.1	DDC Administration	Administrative costs associated with the Developmental Disabilities Council.	Direct to DD Council
37651.1	DDC Steering Committee	Costs associated with the Developmental Disabilities Council Steering Committee.	Direct to DD Council
37654.1	DDC Grants	Grants used in the Developmental Disabilities Council Program.	Direct to DD Council

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Plan Department:

Rate Setting

Nature and Extent of Services: Cost in Vision attributable to the Rate Setting Division

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37308.1	Division of Rate Setting	Costs for the entire Rate Setting Division within the Vermont Agency of Human Services.	Direct to Global Commitment

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Department for Children and Family Services (DCF)

I. Introduction

The following narrative and supporting schedules represent the public assistance cost allocation plan for the State of Vermont, Agency of Human Services (AHS), Department for Children and Family Services (DCF). DCF is the “single state agency” for the Title IV-E, Title IV-B, Title IV-D, Temporary Assistance to Needy Families (TANF), and Food Stamps programs. DCF is also responsible for all Global Commitment eligibility processes performed in Vermont.

It is the mission of DCF to promote the social, emotional, physical, and economic well being and safety of Vermont’s children and families. This work is done through the provision of protective, developmental, therapeutic, probation, economic, and other support services for children and families in partnership with schools, businesses, community leaders, service providers, families, and youths statewide.

The major Divisions and Offices within DCF include the following:

- The Child Development Division ensures a statewide system that promotes and supports safe, accessible, quality childcare for Vermont families.
- The Office of Child Support (OCS) establishes and enforces child support court orders, locates missing parents, and ensures the steady flow of economic support to Vermont children.
- The Division of Family Services administers juvenile justice services, including the Woodside facility and administers child welfare services, including foster care and adoptive services.
- The Economic Services Division manages the SNAP program, Welfare-to-Work (Reach Up), Home Heating Assistance, General and Emergency Assistance, the Essential Person program (AABD), Choices for Care Waiver and Global Commitment.
- Disability Determination Services consists of professional disability examiners who work with part-time medical doctors representing a number of medical specialties.
- The Office of Economic Opportunity (OEO) seeks to increase the self-sufficiency of Vermonters and strengthen Vermont communities by connecting communities to governmental and private resources to eliminate poverty through weatherization services, support for community-based organizations engaged in anti-poverty efforts, and food and nutrition services.

Within this document, we have included an overview of the DCF organizational structure and a list of the specific functions performed by DCF, referred to as plan departments or cost pools, and the allocation method for each function. Please note that cost pools are developed based on VISION program codes. VISION is the State of Vermont’s accounting system.

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II. Organization

Please refer to the attached organizational charts.

III. Time Study Methodologies and Instruments

Please refer to the attached time study related documents.

IV. Title IV-E Rate Methodologies

Please refer to the attached Title IV-E related document.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

V. Plan Departments and Allocation Methodologies

Plan Department 1:

Indirect Cost Allocations

Nature and Extent of Services: DCF is assessed costs from both the State of Vermont, called the SWICAP, and the Agency of Human Services Central Office, for activities performed on its behalf.

Program Code	Plan Dept. Name	Description	Allocation Method
10000.1	Statewide Indirect Cost Allocation Plan (SWICAP) – DCF	DCF allocation of Statewide Indirect Costs.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.2	AHS Secretary's Office	DCF allocation of AHS Secretary's Office costs	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.3	Financial Statement and Internal Control Audit Expenses	DCF allocation of costs related to Statewide Audit and Internal Control Audit expenses	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.31	Financial Statement and Internal Control Audit Expense – IV-D	DCF allocation of costs related to Title IV-D audit.	Direct to Title IV-D
10000.4	AHS - IT	DCF allocation of costs related to AHS Information Technology expenses.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.5	Legal Aid - TANF	DCF allocation of Legal Aid costs directed attributable to TANF.	Direct to TANF

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Program Code	Plan Dept. Name	Description	Allocation Method
10000.6	Legal Aid - SSBG	DCF allocation of Legal Aid costs directed attributable to SSBG.	Direct to SSBG
10000.7	Human Services Board	DCF allocation of Human Service Board costs.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.8	Attorney General's Office	DCF allocation of Attorney General's Office costs.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.10	Field Service Directors	DCF allocation of Field Service Directors costs	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
10000.11	DCF Grant	Allocation of costs related to the DCF TANF grant.	Direct to TANF

Cost Allocation Plan Guidance:

Program Code	References
N/A	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1

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Plan Department 2:

Administrative Services

Nature and Extent of Services: These general administrative services support all operations and programs at DCF.

Vision Program Code(s)	Plan Dept. Name	Description	Allocation Method
43999	NGA Grant	Assist the National Governors Assoc in hosting summit on Poverty & Economic Opportunity	Direct to State Funded
40430	Human Resources	Human Resource staff dedicated to DCF staff	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
40777	Benefits Paid on Termination	Accrued vacation hours, personal time, comp time and unemployment compensation, paid at the time of employment termination with the State of Vermont.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
41020	DCF Commissioner's Office Staff	The Commissioner's Office is responsible for overseeing all DCF activities.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
41260	Business Office	Functions including accounts payable, accounts receivable, contracts, grants, payroll, budgets (preparation and tracking), cash receipts, client benefit financial processing, cost allocation preparation, and sub-recipient monitoring.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).

Cost Allocation Plan Guidance:

Vision Program Code	References
43999 41020	OMB A-87, Attachment A, Section C 1(a) & Attachment B, Section 1, 9, and 11
40430 41260	
40777	

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Plan Department 3:

Information Technology

Nature and Extent of Services: Costs associated with staff and equipment that maintain connectivity, provide desktop support, develop technical standards, manage email systems, develop and support agency wide information systems, including web applications, oversee procurement and budget processes, spearhead strategic planning, maintain statewide perspective, oversee agency strategy, and manage large-scale initiatives.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
38090	Data Processing & IT costs Moved from OCS	Costs from the State central data processing facility and information technology costs that directly support the Title IV-D program.	Direct to Title IV-D
40106	Child Development (Child Care) System Development Costs	Costs associated with development of system.	Child Subsidy Case Count
41030	DCF Information Services Staff	Support of DCF functions, including computers, networks, databases, and servers.	DCF Information Services Division Time Study
41031	Computer Equipment	Cost associated with purchase of computer and computer equipment.	Quarterly Computer Inventory Count
41035	Central Computer Charges (CIT) for ACCESS/FAMIS	Data processing costs associated with ACCESS/FAMIS.	CPU's for Applicable Programs (Income Maintenance)

Cost Allocation Plan Guidance:

Vision Program Code	References
38090 40106 41030	41031 41035 OMB A-87 Attachment A, Sec C (1)(a) and Attachment B, Sec 6 and Sec 11

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Plan Department 4:

Family Services

Nature and Extent of Services: The Division of Family Services administers juvenile justice services, including the Woodside facility and administers child welfare services, including foster care and adoptive services. Detailed explanations of individual functions are included below.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
37510	Juvenile Accountability Incentive Block Grant (JAIBG)	Grant expenditures associated with JAIBG.	Direct to JAIBG
37511	Juvenile Accountability Incentive Block Grant (JAIBG)	Admin Cost Associated with JAIBG.	Direct to JAIBG
37512	Juvenile Accountability Incentive Block Grant (JAIBG)	Interest earned on funds drawn in for JAIBG	Direct to JAIBG
37515	Balanced and Restorative Justice	Costs associated with Balanced and Restorative Justice	Direct to State Funded
37640	Sexual Assault Education and Prevention	Program related to prevention of sexual assault	Direct to VDH earmark funds they received in their PHHS Block Grant specific to the prevention of sexual assault
37675	Access and Visitation	Access and Visitation Program provides non-custodial parents with access and visitation to their children.	Direct to Title IV-D - Access and Visitation
37676	Access and Visitation - Administration	Administration costs for the Access and Visitation Program provides non-custodial parents with access & visitation to their children.	Direct to Title IV-D - Access and Visitation
37677	Supervised Visits	States grant providing non-custodial parents with access & visitation to their children in supervised environment.	Direct to Supervised Visits

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40060	Emergency Services Unit	The Emergency Services unit provides emergency services at all times that a district office is not open. An 800 number hot line is staffed with social workers who attempt to resolve crises over the phone, using foster parents, sheriffs, and other community resources to manage particular situations.	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)
40065	Special Investigations Unit	Subset of Child Safety Unit, responsible for investigating allegations related to the placement of a child in a residential setting and corresponding policy changes.	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)
40070	Operations Manager and Staff	Supervisor and Staff within the System of Care Unit.	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)
40075	Family Services Deputy Commissioner's Office.	Costs Associated with the administration of the Division, including the Policy and Planning activities and Quality Assurance.	Employee Salaries Across Family Services (including Field Staff)
40080	Sexual Abuse Program Chief	The Sex Abuse Program Chief administers the department's sex abuse treatment programs.	Global Commitment Eligibility Rate
40085	Residential Programs and System of Care Unit	The Residential Services Unit oversees and supervises the residential placement system including the Woodside Facility. Activities include contract negotiation with residential service providers, placement consultation and coordinating interagency collaboration to provide comprehensive service packages for youth needing intensive services.	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40090	UVM Social Work Students	Social Worker Students participating in the University of Vermont program.	Direct to Title IV-E Training (75%) Is claimed using a blended eligibility rate of both adoption and IV-E children. State match to be provided by UVM.
40200	Woodside - Admin	Personal services and operating expenses directly associated with the operation of the Woodside Juvenile Treatment Facility.	Employees salaries across Woodside
40210	Woodside – Treatment	Costs associated with the treatment services provided by the Woodside Juvenile Treatment Facility	Total Global Commitment eligible population compared to total population
40220	Woodside – Education	Costs associated with the education services provided by the Woodside Juvenile Treatment Facility	Direct to Woodside
40420	Extended Foster Care Support	Cost associated with extending foster care support past the age of 18.	Direct to State Funded
40425	Homeless Rural Youth	Federal grant to provide services for non-system homeless youth and youth aging out of foster care.	Direct to Homeless Rural Youth
40432	Relatives as Parents Program	Cost associated with increasing public awareness of relatives serving as caregivers.	Direct to Relatives as Parents
40434	OJP Youth Delinquency Prevention	Federal grant to fund sub-awards to schools and non-profits targeting youth delinquency prevention.	Direct to Earmarks
40436	2009 Congressional Earmark/ OJJP Council & CTF	Federal grants to community and state partners for delinquency prevention and early intervention programs.	Direct to Youth Crime Prevention.

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40437	Title V Community Grants	OOJJP grants using Title V funding	Direct to JJDP
40438	Youth Crime Prevention	Cost associated with the Youth Crime Prevention Program	Direct to Youth Crime Prevention
40439	Youth Justice Services-Council Costs.	Cost associated with the Juvenile Justice Delinquency Prevention Grant	Direct to JJDP
40440	Youth Justice Services	Costs associated with the Youth Justice Delinquency Prevention Program.	Direct to JJDP
40443	2010 OJP Congressional Earmark	FY2010 Congressional Earmark Grant Program – community based delinquency prevention projects (OJJDP) 2010-JL-FY-0604	Direct to Earmarks
40500	Family Services General Fund	Payments to individuals and organizations not eligible for federal funding.	Direct to State Funded
40510	CAPTA	Costs associated with administration of CAPTA.	Direct to CAPTA (Child Abuse and Neglect)
40530.1	Family Services Title IV-E Maintenance Payments	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other.	Direct to Title IV-E Foster Care Maintenance Payments
40530.2	Case Review Services/Foster Parent Recruitment	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other.	Title IV-E Eligibility Rate
40530.12	Foster and Adoptive Parent Training	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other. preparation of applicants for foster care and adoption for appropriately caring for children in foster care and on adoption subsidy.	Title IV-E Eligibility Rate (IV-E Training) Is claimed using a blended eligibility rate of both IV-E adoption and foster care, as applicants for foster care and adoption are trained together, with many applying for approval for both programs.
40530.3	Foster Parent Trainings	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other.	Title IV-E Eligibility Rate (IV-E Training)

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40530.5	Training UVM students in Social Worker studies (degree program)	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other .	Direct to Title IV-E Foster Care Training (75%) Is claimed using a blended eligibility rate of both adoption and IV-E children. State match to be provided by UVM.
40530.6	Title IV-E Short Term Training	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other .	Direct to Title IV-E – Training (‘09-55%)
40530.7	Guardianship Assistance Maintenance Program Payments	Title IV-E Eligible Program expenditures for the Guardianship Assistance Program.	Direct to Permanent Guardianship
40530.8	Subsidized Adoptions	Title IV-E eligible program expenditures including foster care, subsidized adoptions, training, transportation and other.	Direct to Title IV-E Foster Care Maintenance Payments
40550	Title IV-E Independent Living	Costs associated with administration of Independent Living program.	Direct to Title IV-E Independent Living
40555	Family Services - SSBG	Direct payments to foster parents and group homes.	Direct to SSBG
40560	Children’s Justice	Costs associated with administration of Children’s Justice Grant.	Direct to Children’s Justice Grant
40590	Family Preservation	Costs associated with Family Preservation Grant.	Direct to Family Preservation IV-B Part 2
40595	Safe Havens	To provide an opportunity for communities to support supervised visitation and safe exchange of children, in situations involving domestic violence, dating violence, child abuse, sexual assault, or stalking.	Direct to Safe Havens

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40610	Domestic Violence Unit	Costs associated with staff administering the Domestic Violence Grant.	Direct to Domestic Violence Grants
40612	Rape Prevention Block Grant	Costs associated with Rape Prevention Block Grant	Direct to Rape Prevention Block Grant
40629	Juvenile Accountability Incentive Block Grant	Costs associated with Juvenile Accountability Incentive Block Grant.	Direct to Juvenile Accountability Incentive Block Grant.
40631	Family Services - TANF	Direct payments to foster parents and group homes.	Direct to TANF
40700	Family Services	Direct payments to group homes and treatment providers.	Direct to Global Commitment
40701	Global Commitment	Cost associated with Medicaid administration.	Direct to Global Commitment
40702	MCO Investment Medical Sub Care	Costs directly associated with sub care treatment	Direct to MCO
40703	MCO Investments Lund Residential	MCO costs related to Lund residential services	Direct to MCO
40710	G/C Performance Contracts	Cost associated with Shaken Baby performance contracts	Direct to MCO
40712	VCRHYP Performance Grants	Programmatic expenses associated with VT Coalition of Runaway Homeless Youth Program	Due To Global Commitment
40951	ARRA—Title IV-E Foster Care	Stimulus funds from increased FMAP rate to support program.	6.2% of Eligible Costs
40952	ARRA—Title IV-E Adoption Assistance	Stimulus funds from increased FMAP rate to support program.	6.2% of Eligible Costs
41602	SCHIP Costs	SCHIP eligible costs in the VCHRYP program	Direct to SCHIP

Cost Allocation Plan Guidance:

Vision Program Code							References
37510	37677	40090	40434	40500	40595	40702	OMB A-87, Attachment A, Section C 1(a) & Attachment B, Sections 11 and 40
37511	40060	40200	40436	40510	40610	40703	
37512	40065	40210	40437	40530	40612	40710	
37515	40070	40220	40438	40550	40629	40712	
37640	40075	40420	40439	40555	40631	40951	
37675	40080	40425	40440	40560	40700	40952	
37676	40085	40432	40443	40590	40701	41602	

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Plan Department 5:

Economic Services

Nature and Extent of Services: The Economic Services Division manages the Food Stamps program, Welfare-to-Work (Reach Up), Home Heating Assistance, General and Emergency Assistance, the Essential Person program (AABD), and Health Care eligibility (G/C and SCHIP).

Vision Program Code	Plan Dept. Name	Description	Allocation Method
39725	WIC- General Administration	Those costs associated with production and distribution of WIC EBT cards including staff costs and vendor related charges.	Direct to WIC - General Admin
39705	Vermont Spay Neuter Incentive Program (VSNIP)	Incentive program to encourage sterilization of dogs and cats in order to reduce the population of unwanted companion animals and protect public health and safety.	Direct to VSNIP
41631	GEARWAR	Programmatic Costs	Direct to General Fund
40705	Health Care – Citizenship Moved from Family Services	Costs associated with verifying citizenship of applicants for health care eligibility.	Direct to Global Commitment
40370	Emergency Food Assistance (TEFAP)	Administrative costs associated with food purchases through USDA for shelters.	Direct to the Emergency Food Assistance Program (TEFAP)
40950	ARRA – SNAP	Stimulus funds to support admin for increased caseload	Direct to ARRA- SNAP
40961	ARRA – TANF Non-Recurrent	Stimulus funds to support TANF – Non-recurring	Direct to ARRA - TANF- Non-recurrent
40962	ARRA – FSCO - SNAP	Stimulus funds to support SNAP Cash Out program	20.02% Eligible Costs
40963	ARRA – TANF Basic Assistance	Stimulus funds to support basic assistance program	Direct to ARRA TANF – Basic Assistance

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40964	ARRA – Subsidized Employment	Stimulus funds to subsidize employment in the Reach Up program	Direct to Stimulus Funds.
41015	Economic Services Deputy Commissioner and Staff	Economic Services Deputy Commissioner is responsible for overseeing all of the Economic Services Division activities. Includes regulatory, planning, and policy unit conducts legal research and analysis, as well as policy, regulatory and statutory development work for all Economic Services programs.	Employee Salaries Across Economic Services (including field staff)
41016	Economics Benefits Director	Responsible for overall program administration and supervises the Benefit Programs Administrator, the Health care Programs Administrator, and the Fuel Assistance Program Chief. Director serves as project chair for major program initiatives or changes, and networks with other divisions, departments, agencies and advocates to develop and deliver integrated benefits to clients.	Employee Salaries related to Economic Services Programs.

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41073	Member card Replacement (personnel & HP Contract) DCF \$4,500 gross	Personnel costs and efforts associated with removing the SSN off our member cards and then reissuance of cards. (Project ends 12/31/10)	Direct to Member Card Replacement
41075	Health Care Policy Analyst	Health Care Policy Analyst who assists the Economic Services policy, Planning, and Evaluation Director in planning, development and continuing assessment of Health Care programs.	Percentage of Global Commitment, SCHIP & Catamount Eligible's
41110	General Admin - Global Commitment/SCHIP/Catamount	Costs related to SCHIP, Global Commitment and Catamount premiums and other related administrative costs.	Percentage Global Commitment, SCHIP & Catamount Eligible
41141	Long Term Care	Costs related to processing long term care eligibility including Operations and quality assurance staff	Direct to Global Commitment
41142	Catamount Health	Health care cost program	Percentage of G/C eligible's as compared to total Catamount enrollment
41143	PERM (Payment Error Rate Measurement)	This is cost associated with complying with the federal mandate.	Direct to Global Commitment

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41155	Reach Up Operations	Welfare-to-Work Director and staff who administer the Reach Up program, including the case management and financial assistance components of Reach Up. Director acts as project manager for such endeavors as state welfare reform, TANF reauthorization, and program redesign.	Direct to TANF and Employment & Training
41165	Quality Assurance Supervisors/Specialists	Staff, which conducts quality control, works involving TANF, Food Stamp, Global Commitment and Catamount programs. Perform detail analysis of sample cases to insure actions were valid. Analyzes delivery and payment system for potential problems and recommends improvement.	Actual cases reviewed by quarter for Food Stamps, TANF, & Global Commitment
41170	Quality Control Program Chief/Director	Economic Benefits Director supervises Fraud & Quality Assurance Units.	Staff supervised within the fraud unit and the quality assurance unit
41180	Quality Control/Treasury Offset Program Staff	Staff members who are responsible for quality control work involving TANF, SNAP, Global Commitment and Catamount programs. Perform detail analysis of sample cases to insure actions were valid and work on the Treasury Offset Program – SNAP.	Hours per Quarter for TANF, SNAP,& Global Commitment

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41185 Moved from Field Operations	Financial Eligibility Specialists/Interviewers/Call Center Agents	District office personnel who interview and input data on new applications to establish eligibility and determine continuing eligibility of clients by review of applications, interviews, correspondence and other sources for the TANF, LIHEAP, Global Commitment, General Fund, AABD and SNAP and Catamount programs.	Results of the Economic Assistance time study
41195	Aid to the Aged, Blind, and Disabled	General administrative expenses that are direct charged to AABD.	Direct to AABD
41210	LIHEAP Benefits Program Staff	Staff costs related to running the Home Heating Program.	Direct to Home Heating Program/LIHEAP
41211	Operations (ADPC)	Benefit Programs Support Staff provides training and administrative support for all Economic Services programs.	Employee Salaries Across Economic Services (including field services)
41212	Benefit Programs Administrator	Supervises Home Heating Staff, Benefit Programs Support Staff and Systems Operations – Specialists.	Employee Salaries Across Heating
41220	SNAP Medical Exams	Medical exams requested by Field Operations Staff as part of eligibility determination.	Direct to SNAP Administration

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41240	Town Services Officers	Department appointed personnel in towns throughout the state who are compensated for time and expenses. Officers may issue vendor authorizations (purchase orders) to clients with immediate need, may transport clients to and from emergency services.	Percentage of EA and GA dollars spent (allocated to TANF and state general fund)
41245	State Supplement Program – SSA Admin	Cost of processing of SSI checks as charged by the Social Security Administration.	Direct to AABD
41250	EBT Financial Services	Contract costs for EBT financial services related directly to SNAP.	Direct to SNAP Admin
41255	EBT Financial Services	Contract costs for the EBT financial services related directly to TANF.	Direct to TANF
41261	EBT Financial Administrator	Acts as deputy to EBT project director in management of EBT contract. Coordinates benefit delivery and develops procedures for Field Operations Staff. Provides customer services to advocacy groups, the banking community, grocery stores, and other groups. Collaboratively trains new workers and EBT liaisons.	Direct to TANF and SNAP Benefits Issued (Based on Case Count Statistics from Monthly Invoice)
41270	TANF General Administration	General administrative costs to be direct charged to TANF, including but not limited to expenditures related to meetings to be directly billed.	Direct to TANF

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41271	Reach Up Policy Analyst	Policy analyst who specializes in TANF and/or RU planning, development, and assessment.	Direct to TANF
41275	Fraud Investigators	Fraud Investigators and Staff investigate possible client fraud in all ESD programs assists attorney general and state attorney offices in preparation and prosecution of civil and criminal cases.	Quarterly percentage of fraud investigations
41290	General Assistance Administration	General administrative costs related to providing GA services.	Percentage of EA and GA dollars spent (allocated to TANF and state general fund)
41300	Home Heating General Administration	General administrative costs to be direct charged to Home Heating, including but not limited to specific project related expenditures to be direct charges.	Direct to Home Heating Program/LIHEAP
41305	SNAP General Administration	General administrative costs to be direct charged to SNAP benefits, including but not limited to, specific project related expenditures to be direct charged.	Direct to SNAP Administration
41306	SNAP Program Coordinator	Provides coordination and administrative services to Nutrition Education and the Farm to Family programs.	Food & Nutrition Program allocations
41313	SNAP Participation – 2007	Increase participation in SNAP program and improve customer service.	Direct to SNAP Participation Program

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41314	Job Retention Support Services <90 days	Support payments for job retention up to the first 90 days of employment following participation in an employment and training activity, as authorized by Food, Conservation & Energy Act of 2008	Direct to SNAP Employment & Training
41340	Reach Up Transportation	Administrative costs associated with program for used car donation.	Direct to TANF
41345	SNAP Employment and Training Transportation	Costs related to transportation to related services.	Direct to SNAP Employment & Training
41365	Farm to Family Administration	Administrative costs associated with vouchers used at farmers markets.	Direct to Farm to Family Administration
41401	Lund Support Services	Costs associated with Reach Up benefits.	Direct to TANF
41411	Reach Up (Unemployed Parents)	Direct cost of cash assistance payments.	Direct to State Funded
41415	RU Support Services – State Only	Direct cost of cash assistance payments	Direct to State Funded
41417	RU Lund – State Only	Direct cost of cash assistance payments	Direct to State Funded
41419	RU Lund – PNMI/SA LUND GC Cost	Treatment cost paid to the Lund Center	Direct to G/C
41421	Reach Up Program Costs	Direct cost of cash assistance payments.	Direct to TANF
41431	Reach Up Support Services	Direct cost of cash assistance payments.	Direct to TANF
41432	Reach First	Assistance paid to a family the first four months of Reach Up eligibility	Direct to TANF
41433	Reach Ahead	Food assistance for client coming off Reach Up and going to work.	Direct to TANF-MOE
41451	Supportive Services – Medical Transportation	Direct cost of cash assistance payments.	Direct to TANF
41456	Getting Ready for Work	Direct cost of cash assistance payments.	Direct to TANF

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41458	Disposals	Direct cost of cash assistance payments.	Direct to State Funded
41461	Single Parent – State Only	Direct cost of cash assistance payments.	Direct to State Funded
41462	Two Parents – State Only	Direct cost of cash assistance payments.	Direct to State Funded
41467	Two Parents over 60 month limit	Payments paid by State after the 60 month limit	Direct to State Funded
41468	Single Parent over 60 month limit	Payments paid by State after the 60 month limit	Direct to State Funded
41471	Absence and Incapacity	Direct cost of cash assistance payments.	Direct to TANF
41472	Cash Assistance Payments (Post Secondary Education)	Direct cost of cash assistance payments.	Direct to State Funded
41473	Incapacity	Direct cost of cash assistance payments.	Direct to TANF
41475	Job Retention	Direct cost of cash assistance payments.	Direct to TANF
41476	Two Parents Not Meeting Work Requirements	Direct cost of cash assistance payments.	Direct to TANF
41477	Social Rehab Services	Direct cost of cash assistance payments.	Direct to TANF
41478	Childcare/Caretaker Deferment	Direct cost of cash assistance payments.	Direct to State Funded
41479	Single Parent Working	Direct cost of cash assistance payments.	Direct to TANF
41480	Single Parent not Meeting Work	Direct cost of cash assistance payments.	Direct to TANF
41481	Cash Assistance Payments (minor parent not living with parent)	Direct cost of cash assistance payments.	Direct to State Funded
41484	Absence	Direct cost of cash assistance payments.	Direct to TANF
41485	Two Parent Working	Direct cost of cash assistance payments.	Direct to TANF
41499	MMIS – TA	Conversations or work that is related to MMIS Technical Assistance efforts	Direct to MMIS-TA
41501	State Supplement Program – AABD-EP-SSI	AABD-Essential Persons-SSI payments	Direct to Global Commitment- MCO
41502	State Supplement Program – AABD-SSA	AABD – State Supplement benefits – SSI payments	Direct to AABD
41532	Home Heating Subsidies – Supplement Fuel Benefits	Amount of direct assistance to families.	Direct to Home Heating Program/LIHEAP

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41533	Home Heating Subsidies – Emergency Fuel Benefits	Amount of direct assistance to families.	Direct to Home Heating Program/LIHEAP
41542	SNAP Payments – Over 65 no SSI	Direct cost of food stamps given to eligible clients.	Direct to SNAP Cash out
41544	SNAP Payments – Over 65 with SSI	Direct cost of food stamps given to eligible clients.	Direct to SNAP Cash out
41546	SNAP Payments – With SSI Disability	Direct cost of food stamps given to eligible clients.	Direct to SNAP Cash out
41555	SNAP State Exchange	Travel expenditures for meetings and conferences relating to the administration of the Food Stamps Program.	Direct to SNAP State Exchange
41607	IEWS – DDI (development)	Conversations or work that is related to IEWS Design, Development and/or Implementation efforts.	Direct to IEWS-DDI
41612	MMIS – IV & V	Conversations or work that is related to the MMIS Independent Verification and Validation efforts	Direct to MMIS-IV&V
41614	IEWS – IV & V	Conversations or work that is related to the IEWS Independent Verification and Validation efforts	Direct to MMIS and IEWS
41618	SOA Infrastructure Components (BUS, Workflow, Rules)	Work associated with procurement/implementation of enterprise services bus (ESB), Workflow component (WC), identity management, enterprise master person index (eMPI), and Rules Engine (RE) (funding mix not approved yet)	Direct to SOA Infrastructure Components

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41712	General Assistance – Direct Payments for General Assistance	Direct costs related to providing GA services.	Direct to State Funded
41714	General Assistance –Direct Payments for a household with children	Direct costs related to providing GA services.	Direct to State Funded
41716	General Assistance – Direct payments for pending SSI cases	Direct costs related to providing GA services.	Direct to State Funded
41721	GA Emergency Assistance	Direct costs related to providing Emergency Assistance	Direct to TANF
41722	GA/GA Dental	Direct costs related to providing Emergency Assistance	Direct to MCO Investments
41726	GA Pharmacy	Direct costs related to providing Emergency Assistance	Direct to MCO Investments
41727	GA Abortions	Direct costs related to providing Emergency Assistance	Direct to State Funded
41728	GA Vision/Physician	Direct costs related to providing Emergency Assistance	Direct to MCO Investments
41777	Economic Services General Fund	Miscellaneous non-federal expenditures within Economic Services.	Direct to State Funded

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Cost Allocation Plan Guidance:

Vision Program Code			References
39705	41271	41476	OMB A-87 Attachment A, Sec C (1)(a) and Attachment B, Sec 1, 9, 11
39725	41275	41477	
40370	41290	41478	
40950	41300	41479	
40961	41305	41480	
40962	41306	41481	
40963	41313	41484	
40705	41314	41485	
41015	41340	41499	
41016	41345	41501	
41073	41346	41502	
41075	41365	41532	
41110	41401	41533	
41141	41411	41542	
41142	41415	41544	
41143	41417	41546	
41155	41419	41555	
41165	41421	41607	
41170	41431	41612	
41180	41432	41614	
41185	41433	41631	
41195	41451	41712	
41210	41456	41714	
41211	41458	41716	
41212	41461	41721	
41220	41462	41722	
41240	41467	41726	
41245	41468	41727	
41250	41471	41728	
41255	41472	41777	
41261	41473		
41270	41475		

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Plan Department 6:

Office of General Counsel

Nature and Extent of Services: Legal Staff including General Counsel, Staff, and Staff Attorneys for specific DCF functions.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
37101	Privacy Officer	Responsible to review complaints concerning participants, providers, grantees, and contractors related to the confidentiality of health information.	Direct to Global Commitment
40441	DCF Special Assistant	Responsibilities include overall oversight of DCF's legislative agenda; coordination and management of various legal units within DCF and in the Attorney General's Office; coordination with judiciary; establishment of policy directives regarding court improvement efforts; supervision of complex litigation and defense of claims against DCF; oversight of negotiation of contracts and grants; oversight of DCF's diverse regulatory responsibilities and rulemaking. Responsibilities also extend to more general management of DCF affairs, including budgeting process, personnel issues, staff coordination, HRD, coordination between DCF divisions, liaison to AHS, Commissioner-level complaints, departmental and agency policy development and execution.	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40442	DCF FSD Legal Staff	Lawyers and support staff responsible for working with the court system on behalf of children on DCF's caseload.	Title IV-E Eligibility Rate
41295	Legal Division for Economic Services	Staff of Attorney's law clerk and secretarial staff who represent the department in lawsuits and other legal matter especially regarding interpretation of Federal regulations pertaining to client rights. Also, review department contractual agreements.	Results of Legal Time Study

Cost Allocation Plan Guidance:

Vision Program Code	References
37101 40442 40441 41295	OMB A-87, Attachment B, Sec. 11 & Sec. 14 (b)

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Plan Department 7:

Field Operations

Nature and Extent of Services: Outstation services provided by DCF.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
40010	Social Workers	Costs directly associated with social workers. Social workers provide direct services to child welfare clients including case management, child abuse/neglect investigations, and eligibility determination.	Results of Family Services time study
40020	Assessment Social Workers	Costs directly associated with assessment social workers. Assessment social workers respond to allegations of abuse or neglect, addressing immediate child safety concerns, and assessing the family's need for medical, behavior, social and other services to assure safe parenting.	Results of Family Services time study
40025	Centralized Intake Unit	Social workers in centralized intake receive and document calls from mandated reporters and other citizens who are concerned that a child is being abused or neglected. Supervisory staff makes the initial decision about whether to assign district office social workers to conduct an assessment.	Direct to TANF
40030	Resource Coordinators Move from Family Services	Resource Coordinators recruit and train foster parents and other residential service providers and facilitate the placement of children in those settings.	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40040	Adoption & Guardianship Services	<p>Adoption social workers facilitate the adoption process and provide case management for the adoption cases. Services to children include recruitment and training of potential adoptive homes, matching children freed for adoption with those families and completing adoption finalization reports for the probate court and negotiating adoption subsidies.—The Adoption Unit manages all aspects of the adoption and guardianship subsidy programs, including post-adoption services.</p>	Title IV-E Adoption Assistance Rate and IV-E Guardianship Rate
40050	Family Services District Directors and Staff	All administrative costs (personal service costs and operating expenses) incurred in the district office other than those associated with social workers, resource coordinators and adoption workers. The District Director and Staff manage the office and provide administrative support and supervision to the field staff.	Employee Salaries Across Field Staff (within Family Services)
40095	Social Work Trainees	Costs associated with social work trainees (new hires).	Direct to Title IV-E Training (75%)
40400	FSD Act 1	Sexual Violence and Abuse Prevention activity per S.13	Direct to State Funded
41006	Consumer Involvement	Stipends and associated costs to ensure consumer involvement in the functions of the AHS.	Employee Salaries of all staff at Field Offices
41150	Health Care Operations	Provides health care eligibility for Health Access programs.	Percentage of SCHIP & Catamount eligible's as compared to the total G/C eligible's

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41190	Regional Managers/Economic Resource System & Economic Services Supervisors	District office supervisory personnel, who plan, assign and review the work of eligibility specialists.	Results of the Economic Assistance time study
41200	Economic Services District Directors and Support Staff	District office directors who manage the day-to-day operations of welfare district offices. Responsible for implementation of all assigned welfare programs according to Federal and State regulations and procedures. Cost center includes Case Aides and supporting clerical staff.	Employee Salaries Across Field Staff (within Economic Services)
41280	Reach Up Case Managers	District office self-support personnel for TANF and Employment and Training programs who provide support services, counseling and job search assistance to clients seeking employment opportunities.	Reach Up Time Study
41285	Reach Up Case Manager Supervisors	District office supervisory personnel, who plan, assign and review the work of social workers for TANF and Employment and Training programs.	Reach Up Time Study

Cost Allocation Plan Guidance:

Vision Program Code	References
40010 41002	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Sec 11 and 9
40020 41003	
40025 41006	
40030 41150	
40040 41185	
40050 41190	
40095 41200	
40400 41280 41285	

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Plan Department 8:***Office of Child Support***

Nature and Extent of Services: The Office of Child Support (OCS) establishes and enforces child support court orders, locates missing parents, and ensures the steady flow of economic support to Vermont children.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
38010	Administration	Unit is responsible for the entire Vermont Office of Child Support program. This includes providing support for all facets of child support operations, including policy and procedures; developing goals, standards, and performance measures; technological functions; business functions; training; administrative support; legal supervision; legislation; strategic planning; and management.	Employee Salaries Across Office of Child Support (OCS)
38020	Cash Receipts Unit	This unit performs child support accounting functions as well as billing activities (bills are sent to non-custodial parents and employers) and the maintenance of arrearage information on child support cases. This unit performs all payment receipting and disbursement functions for public assistance and non-public assistance cases.	IV-D Cases vs. Non-IV-D Cases
38030	Customer Service Unit	This unit responds to telephone inquiries involving child support and researches complex issues for customers calling OCS. This allows field operations staff time to be attentive to the establishment, modification, and the enforcement functions for customers in the continued process of collecting child support for families.	IV-D Customer Contacts vs. Non-IV-D Customer Contacts

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
38040	Records Center	This unit receives all court orders and enters data from the court order into the mainframe computer. All applications for child support services come to this unit and this data is entered as well. The unit stores and controls all case files and hard copy documents for legal actions in Field Operations, Cash Receipts, Registry, Customer Service, Interstate, Intercept, Legal, and the Administration Units.	IV-D Cases vs. Non-IV-D Cases
38050	Program Development	This unit is responsible for the planning, coordination, and development of policies, procedures, and state plan for the IV-D program.	Direct to Title IV-D
38060	Interstate Central Registry	This unit provides support for processing all actions necessary to establish, modify, and enforce child support orders when the custodial or non-custodial parent is out of state. The unit also houses the Parent Locator function that finds absent parents. The Parent Locator service searches and locates parents in order to secure child support for families.	Direct to Title IV-D
38070	Legal	Costs incurred by the legal unit including sheriff services, recording fees, etc. This cost pool does not include family court cost, staff attorney or paralegal positions.	Direct to Title IV-D
38075	Family Court Costs	The Office of Child Support will reimburse the Court Administrators Office for total IV-D expenditures less applicable court fees. Reimbursement will be based on the number of MPRS in a county and at the individual rates calculated for each county.	Direct to Title IV-D

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
38080	Paternity Testing	Costs in this cost pool are for Contracts with private laboratories for genetic and other blood tests for use in paternity determination.	Direct to Title IV-D
38100	Intercept Unit	This unit is responsible for administrative child support enforcement remedies. Such remedies include liens, administrative wage withholding, administrative arrears increase, bank match, Federal and State Tax Offset, and license suspension.	Direct to Title IV-D
38110	Training	This unit includes the Training Coordinator who provides court, computer, policy, procedure, and other IV-D training opportunities for OCS staff. In addition, training related travel and overtime will be charged to this unit during employee training.	Direct to Title IV-D
38140	Enhanced Funding APD	Advanced Funding costs per approved APD's.	Direct to Enhanced Funding APD
38150	Employer Services Unit	This unit acts as a liaison between OCS and Vermont employer providing customer services directly to employers regarding availability of health insurance, wages withholding garnishments and new hire reporting	Direct to IV-D
38210	OCS Regional Director and Staff	These units establish, modify, and enforce child support orders for TANF cases and in instances where the custodial parent has applied for OCS services.	Direct to Title IV-D
40956	ARRA—Title IV-D	FMAP increase on Title IV-D Incentive	Direct to ARRA—Title IV-D.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Allocation Plan Guidance:

Vision Program Code	References
38010 38150 38030 38210 38020 40956 38040 38050 38060 38080 38100 38140	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Sections 1, 6, 11, and 14
38070, 38075	OMB A-87, Attachment B, Sec. 11 & Sec 14 (b)
38110	OMB A-87, Attachment A, Sec C (1) (a) & Attachment B, Sec 11 & Sec 40.

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Plan Department 9:

Office of Economic Opportunity

Nature and Extent of Services: This office seeks to increase the self-sufficiency of Vermonters and strengthen Vermont communities. OEO provides program and grants management, resource identification and development, training, technical assistance, and advocacy for community-based organizations in a manner that fosters creativity and innovation.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
40438	HUD Homeless – Economic Development Initiative	Costs associated with the HUD Homeless Grant	Direct to Earmarks
40957	ARRA - CSBG	Stimulus funds to support program.	Direct to ARRA-CSBG
40958	ARRA – HPRP (ESG)	Stimulus funds to support program.	Direct to ARRA - HPRP
40959	ARRA – DOE Weatherization	Stimulus funds to support program.	Direct to ARRA - Weatherization
40960	ARRA – DOE Weatherization T& TA	Stimulus funds to support program training & technical assistance.	Direct to ARRA – Weatherization T&TA
40965	ARRA – DOE Weatherization – SERC	ARRA funds for weatherization related to the sustainable energy resources for consumers grant.	Direct to ARRA DOE Weatherization SERC
41729	C4C Community Initiative – HOPE & GA Front Door Grants	Direct Costs related to providing Emergency Assistance	Direct to MCO Investments
44100	OEO Administration	State funded portion of costs to oversee all OEO functions and provides supervision to office staff.	Direct to State General Funds
44200	Weatherization	State funded portion statewide program that works to reduce energy costs for low-income families, particularly for the elderly, people with disabilities, & children.	Direct to Weatherization (state funded)
44210	DOE Weatherization	Federal funded portion statewide program that works to reduce energy costs for low-income families, particularly for the elderly, people with disabilities, & children.	Direct to Weatherization (federally funded)
44220	Emergency Heating System Grant Program	Special (State) funds, provides resources to allow the replacement and repair of unsafe heating systems.	Direct to Weatherization (state funded)

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
44240	DOE WX & WTF	Costs associated with salary and operations using both DOE WX & WTF funding	% of DOE WX compared to total expense.
44300	Community Services (CSBG Discretionary)	Federal funds-The primary goal is to eliminate poverty and provide training and technical assistance.	Direct to CSBG
44305	Community Services Block Grant (CSBG) Administration	Administrative costs associated with CSBG.	Direct to CSBG
44310	Community Services Block Grant (CSBG)	Federal funded, with a goal to eliminate poverty. Funds are used to provide a range of services and activities having measurable and potentially major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem.	Direct to CSBG
44340	LIHEAP Outreach	To provide outreach activities for the Fuel Assistance program to include public information on the fuel program, transportation and referral activities to local Fuel Assistance program offices to assure access to program benefits.	Direct to Home Heating Program/LIHEAP
44350	Individual Development Account (IDA)	State funded, to provide financial literacy training and matched savings accounts for low-income Vermonters seeking home ownership, further education or to start their own business.	Direct to IDA

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
44450	Homeless Assistance	State funded for the homeless and Emergency Shelter Grant	Direct to State Funded
44460	Emergency Shelter Program	Federal and state funded, provides funds for operating expenses of brick and mortar shelters and supportive services for the homeless and those at risk of homelessness.	Direct to Emergency Shelter Program
44461	HUD Operations	Operations cost associated with the HUD Grant	Direct to Emergency Shelter Program
44462	HUD Essential Social Services	Social Services cost associated with the HUD Grant	Direct to Emergency Shelter Program
44463	HUD Prevention	Prevention cost associated with the HUD Grant	Direct to Emergency Shelter Program
44600	Job Start T & TA	State funded to provide training, education, advice and other help to lower income people interested in starting maintaining, or expanding small businesses. The program is designed to help participants assess the feasibility of their ideas, develop business plans, acquire appropriate skills, and secure necessary financing from Job Start or other sources.	Direct to Job Start Program

Cost Allocation Plan Guidance:

Vision Program Code	References
40438 44305 40957 44310 40958 44340 40959 44350 40960 44650 41729 44460 40965 44400 44100 44461 44200 44462 44210 44463 44220 44230 44240 44300	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Sections 1, 11

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Plan Department 10:***Disability Determination Services***

Nature and Extent of Services: The division consists of professional disability examiners who work with part-time medical doctors representing a number of medical specialties. These professionals are supported by case clericals and an administrative unit. Besides salaries, principal expenditures are fees to pay for evidence from applicant's treating sources and fees for consultative medical examinations arranged by the DDS with doctors around the state when critical medical evidence is not available from treating sources.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
40225	Disability Determinations Director and Staff	Oversees all professional disability examiners who work with part-time medical doctors representing a number of medical specialties. Also oversees their support staff, which consists of case clericals and an administrative unit.	Direct to Social Security
40226	DD Clerical	Administrative costs (personal service costs and operating expenses) of the clerical unit that provides supports to the Director, Claims Examiners and Adjudicators of the Disability Determination Services Unit.	Direct to Social Security
40227	DD Examiners	DDS collects medical and vocational evidence on each applicant sufficient to apply the above definition, interpreted by the Social Security Administration policy manual.	Direct to Social Security

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40228	DD – Medical Consultants	Cost of contracted staff to conduct disability determinations.	Direct to Social Security
40229	DD Information Technology	Technology supports specifically related to DDS.	Direct to Social Security IT
41100	DDS Medical Exams	Review of medical evidence records necessary for Global Commitment eligibility determinations.	Direct to Social Security

Cost Allocation Plan Guidance:

Vision Program Code	References
40225 40226 40227 40228 41100	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Section 9, 11
40229	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Sec 6 and Sec 11

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Plan Department 11:

Child Development

Nature and Extent of Services: Efforts related to childcare activities in the State of Vermont.

Vision Program Code	Plan Dept. Name	Description	Allocation Method
37540	Building Bright Futures Direct Services (formerly Success by Six Program)	Costs for direct services to Building Bright Futures Program	Direct to Building Bright Futures Direct Services
37560	Parent Child Centers	Costs associated with Parent Child Centers.	Direct to State Funded
37660	Children's Trust Fund Grant	Costs associated with Children's Trust Fund Grant.	Direct to Children's Trust Fund Grant
37661	Children's Trust Fund Grant/JJDP	Costs associated with Children's Trust Fund Grant but charged to JJDP grants.	Direct to JJDP
37662	Children's Trust Fund Grant/Tax Check	Costs associated with Children's Trust Fund Grant/Tax Check Off.	Direct to Children's Trust Fund Grant
37672	Pregnancy Assistance	Support for pregnant and parenting teens	Direct to Teen Parenting Grant
37670	Head Start Collaboration	To promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers.	Direct to Head Start Collaborative Grant
39600	Family Infant and Toddler Program	Programmatic Costs associated with the Infant and Toddler Program.	Direct to Family Infant and Toddler Program
39601	Family Infant and Toddler Program – Admin Costs	Administrative Costs associated with the Infant and Toddler Program.	Direct to Global Commitment
39750.1	Nursing and Family Support (NFS) – SPMP	Staff costs associated with administering the Nursing and Family Support (NFS) program for skilled medical professionals.	Direct to Global Commitment

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
39750.2	Nursing and Family Support (NFS) Grant	Programmatic Costs associated with the Nursing and Family Support (NFS) Program.	Direct to Global Commitment
39751	Nursing and Family Support (NFS) – Non-SPMP	Staff costs associated with administering the Nursing and Family Support (NFS) program.	Direct to Global Commitment
39763	Early Childhood Comp Systems (ECCS)	Federal funded early childhood program.	Direct to ECCS
40100	Child Development Division Staff	Personal services and operating expenses associated with Child Care Services Division Staff, including Deputy Commissioner, whose activities are defined as administrative by the Child Care and Development Fund regulations. This includes Division Director, Program Supervisors, Program Monitors, and clerical and administrative support for the childcare program. Also includes the Assistant Attorney General assigned to Child Care Development.	Employee Salaries Across Child Development
40105	Child Development Division Service Staff	Personal services and operating expenses associated with service staff including the operations manager and staff.	Child Subsidy Case Count

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40110 40115	Child Development Licensing Unit	The unit is primarily charged with risk reduction and quality services for children's day care, foster care, and children's residential care facilities as well as private child placing and adoption agencies.	Child Subsidy Case Count
40530.7	Child Development Subsidy	IV-E eligible program expenditures for child subsidy payments	Direct to Title IV-E Child Development
40540	Family Support Daycare Program	Administrative costs associated with Family Support Daycare Program	Direct to Title IV-B Child Welfare Services
40570	Child Care and Development Fund - Discretionary	Administrative costs associated with administrative of CCDF.	Direct to Child Care Development Fund (CCDF) – Discretionary
40600	Child Care and Development Fund – Mandatory and Matching	Administrative costs associated with administrative of CCDF.	Direct to Child Care Development Fund (CCDF) – Mandatory/Matching
40615	Bright Futures Moved from Family Services	Costs associated with the Bright Futures Infrastructure Program	Direct to State Funded
40631	Child Development – TANF	Payments for Transportation and Subsidy eligibility.	Direct To TANF
40633	Child Development - TANF- MOE Only	Child subsidy payments	Direct to TANF MOE
40704	GC Early Intervention (EI) CAPTA Medicaid Eligible	Programmatic cost associated with Medicaid Eligible CAPTA EI service.	Direct to GC
40706	GC Early Intervention (EI) Program Staff	Program staff working on EI Program	Direct to EI
40707	GC Early Childhood & Family Mental Health (ECFMH) Program Staff	Program staff working on the ECFMH program	Direct to ECFMH
40708	GC MCO CAPTA - Non Medicaid	Programmatic cost associated with non Medicaid Eligible CAPTA EI.	Direct to MCO
40710	G/C Performance Contracts Moved from Family Services	Cost associated with HBKF performance contracts	Direct to MCO
40711	HBKF Performance Grants & Children's Integrated Services	Programmatic expenses associated with CIS & HBKF, Kids & Family grants.	Direct to Global Commitment

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
40713	Therapeutic Child Care - Bonus	Rate differential paid for children with special needs to providers with special training.	Direct to GC-MCO
40953	ARRA-CCDF	Stimulus funds to support program.	Direct to ARRA-CCDF
40954	ARRA – IDEA Part C	Stimulus funds to support EI program.	Direct to ARRA – IDEA Part C
40955	ARRA—TEFAP	Stimulus funds to support program admin costs at the food banks.	Direct to ARRA-TEFAP
40966	Head Start-ARRA	Head Start Program paid for with ARRA funding	Direct to Head Start ARRA
41602	SCHIP Costs	SCHIP eligible costs in EI and NFS.	Direct to SCHIP
42500	GC Early Childhood & Family Mental Health (ECFMH)	Provides early childhood mental health intervention.	Direct to MCO-GC ECFMH
42501	Early Childhood & Family Mental Health (ECFMH)-Administrative	Administrative costs associated with ECFMH	Direct to Global Commitment
44320	SAE Foods	Administrative costs associated with SAE Foods.	Direct to SAE Foods
37071	Emergency Management	Costs associated with an MOU with Public Safety for Emergency Management	Direct to Emergency Management MOU

Cost Allocation Plan Guidance:

Vision Program Code	References
37540 40115 40711	OMB A-87, Attachment A, Sec C (1)(a) and Attachment B, Sec 11 (a), (b)
37560 40530 40713	
37660 40540 40953	
37661 40570 40954	
37662 40600 40955	
37670 40615 40966	
39600 40631 41602	
39601 40633 42500	
39750 40704 42501	
39751 40706 44320	
39763 40707 37071	
40105 40708	
40110 40710	
40100	

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Plan Department 12:

Grants

Vision Program Code	Plan Dept. Name	Description	Allocation Method
37550	Drug Free Schools	Costs associated with Drug Free Schools program.	Direct to Drug Free Schools
37551	Drug Free Schools Administration	Administrative costs associated with Drug Free Schools grants.	Direct to Drug Free Schools
37552	Drug Free Schools Consultants	Consultant costs associated with Drug Free Schools grants.	Direct to Drug Free Schools
37610	Community Based Child Abuse Prevention Grant (CBCAP)	Costs associated with CBCAP grants.	Direct to CBCAP
37611	Community Based Child Abuse Prevention (CBCAP) Administration	Administrative costs associated with CBCAP grants.	Direct to CBCAP
40551	Title IV-E Educational Training Vouchers	Costs associated with Title IV-E Educational Training grant - EVT program	Direct to Title IV-E/ EVT
41310	RU - Employment Training	Provision of program activities and case management to Reach Up participants. This cost is matched by the State at 50%.	Direct to SNAP Employment and Training (cost matched by the State at 50%)
41311	RU - Employment Training - (E&T 100%)	Provision of program activities and case management to Reach Up participants.	Direct to Food Stamp Employment and Training
41315	SNAP Outreach	Provide SNAP outreach services to eligible low-income persons.	Direct to SNAP Outreach
41316	SNAP Outreach	Provide SNAP outreach services to eligible low-income persons. Match provided by sub-recipients.	Direct to SNAP Outreach
41320	SNAP Nutrition Education	Provide nutrition education services to food stamp recipients and applicants and to other eligible low-income persons.	Direct to SNAP Nutrition Education

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41321	SNAP Nutrition Education 100% Matched	Provide nutrition education services to SNAP recipients and applicants and to other eligible low-income persons.	Direct to SNAP Nutrition Education
41330	Reach Up Verification and Documentation Support	Provision of program activities and case management to Reach Up participants and a Teen Parent Education Program for eligible teens.	Direct to TANF
41331	Reach Up Case Management Other 50/50 TANF/FSE	Provision of program activities and case management to Reach Up participants and a Teen Parent Education Program for eligible teens.	Direct to TANF and Employment and Training
41335	Reach Up Case Management - Job Start	Provision of program activities and case management to Reach Up participants and a Teen Parent Education Program for eligible teens.	Direct to SNAP Employment and Training
41336	USDA E&T 50% Fed/50% Other Entity Match	Provision of program activities and case management to Reach Up participants. This code is used for cost where the 50% match is paid by an entity other than the State.	Direct to Employment & Training (This code is used for cost where the 50% match is paid by an entity other than the State)
41337	Reach Up Case Management - DET	Provision of program activities and case management to Reach Up participants and a Teen Parent Education Program for eligible teens.	Direct to TANF
41343	Reach Up Post Secondary Education	Provision of program activities and case management to Reach Up participants and a Teen Parent Education Program for eligible teens.	Direct to State Funded

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Vision Program Code	Plan Dept. Name	Description	Allocation Method
41360	Farm to Family - Non-WIC	Programmatic costs associated with Farm to Family Program.	Direct to Farm to Family Non-WIC
41361	Farm to Family - Senior Coupons	Programmatic costs associated with Farm to Family Program.	Direct to Farm to Family Senior Coupons
41362	Farm to Family - WIC	Programmatic costs associated with Farm to Family Program.	Direct to Farm to Family WIC
41370	DCF - Child Nutrition - CECH	Support Child Nutrition Program services that can not be funded with SNAP outreach money.	Direct to State Funded
40900	Interdepartmental Transfers	Costs associated with interdepartmental agreements.	Direct to Interdepartmental Agreements

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Department of Disabilities, Aging and Independent Living (DDAIL)

I. Introduction

The following narrative and supporting schedules represent the public assistance cost allocation plan (PCAP) for the State of Vermont, Agency of Human Services (AHS), Department of Disabilities, Aging and Independent Living (DDAIL). DDAIL is responsible for administering Title III funded programming, Section 110 programming and developmental disabilities case management services for the State of Vermont.

DDAIL Mission Statement

The Department of Aging and Independent Living is the center of the Agency of Human Services' program management and policy development with respect to older persons and persons with disabilities. The Department has the following goals:

- Assist older persons and adults with physical disabilities to live as independently as possible.
- Assist persons with disabilities to find and maintain meaningful employment.
- Assure quality of care and life to individuals receiving health care and/or long term care services from licensed or certified health care providers and protect elderly and disabled adults from abuse, neglect and exploitation.

Within this document, we have included an overview of DDAIL's organizational structure and a list of the specific functions performed by DDAIL, referred to as plan departments or cost pools, and the allocation method for each function.

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II. Organization

The major programs at DDAIL are organized under the following divisions:

Division of Disability and Aging Services - These activities center on developing, overseeing and enabling access to a system of services which assist older persons and persons with disabilities to live as independently as is possible. Includes overseeing a variety of services, such as nutrition, attendant care, homemaker, adult day, respite, transportation, benefits counseling, advocacy, service coordination, information and referral, and, and legal assistance. DDAS also helps children and adults with developmental disabilities and children with health impairments and/or physical disabilities to live as independently as possible within their family, home and community, acting as the appointed (public) guardian for some persons age 60 and over.

Blind and Visually Impaired - These activities offer a wide range of vocational, independent living, social and advocacy services to individuals who are blind or visually impaired.

Vocational Rehabilitation - These activities are designed to assist persons with disabilities to obtain or maintain employment or independence consistent with their interests and abilities.

Licensing and Protection - These activities include the regulation of a variety of health care and residential facilities, and investigating adult abuse and/or neglect.

Also DDAIL has an Intergovernmental agreement with OVHA pertaining to the Global Commitment Health Waiver.

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III. Plan Departments and Allocation Methodologies

Plan Department 1:

State Wide Indirect Cost Allocation Plan (SWICAP)

Nature and Extent of Services: The State of Vermont, Agency of Human Services, negotiates the annual State Wide Indirect Cost Allocation Plan (SWICAP) with the Federal Government. The approved statewide indirect costs applicable to DDAIL are included in the CAP and allocated to the appropriate benefiting objectives.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
10000*	SWICAP	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions (Department level indirect costs, Statewide costs (including Single Audit), and Agency costs are allocated to each division based on their share of Department wide salary costs excluding the Attendant Service Program salaries. Costs are allocated at the divisional level based on total cost.)
10002*	Attorney General's Office	DDAIL Allocation of Attorney General's Office	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions
11111*	AHS Indirect Cost	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions
37070*	Computer Services Unit	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. Within Divisions
33333*	Secretary's Office	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions

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Plan Department 1:

State Wide Indirect Cost Allocation Plan (SWICAP) cont'd.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37200*	Single State Audit	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions
37220*	Human Services Board	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions
37240*	Field Services	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions

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Plan Department 2:

DAIL Commissioner's Office

Nature and Extent of Services: The DDAIL Commissioner's Office oversees and enables the department to meet its mission: assist older Vermonters and people with disabilities to live as independently as possible; assist people with disabilities to find and maintain meaningful employment; assure quality of care and life to individuals receiving health care services from licensed or certified health care providers; and protect vulnerable adults from abuse, neglect and exploitation.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39166	Bioterrorism Prepare Info	Costs related to the Bioterrorism Grant	Direct to Bioterrorism Preparedness Grant
41499	MMIS – TA (Advanced Planning Document)	Conversations or work that is related to MMIS Technical Assistance efforts	Direct to MMIS – TA based on positive time reporting
41607	IEWS – DDI (Advanced Planning Document)	Conversations or work that is related to the IEWS design, development, and or implementation efforts	Direct to IEWS – DDI based on positive time reporting
41609	IEWS – enhanced DDI (APD)	Conversations or work that is related to those components of IEWS design, development, and or implementation efforts that are eligible for enhanced funding (MMIS functions)	Direct to IEWS – enhanced DDI based on positive time reporting
41612	MMIS – IV&V (Advanced Planning Document)	Conversations or work that is related to the MMIS Independent Verification and Validation efforts	Direct to MMIS – IV&V based on positive time reporting
41613	MMIS – DDI (Advanced Planning Document)	Conversations or work that is related to the IEWS design, development, and or implementation efforts	Direct to MMIS – DDI based on positive time reporting
41614	IEWS – IV&V (Advanced Planning Document)	Conversations or work that is related to the IEWS Independent Verification and Validation efforts	Direct to IEWS – IV&V based on positive time reporting
41618	SOA Infrastructure Components	Conversations or work that is related to SOA.	Direct to SOA

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43010*	Commissioner's Office - Department Administration	DDAIL Allocation of State Wide Indirect Costs	Salary Dollars less Attendant Services Salaries to Division and Total Cost to Plan Dept. within Divisions
43500	General Fund	Expenses that are entirely State funded	Direct to State General Fund
43955*	MCO Investments	State expense reportable under the Global Commitment Waiver	Direct to MCO Investments

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Plan Department 3:

Division of Disability and Aging Services

Nature and Extent of Services: The Division of Disability and Aging Services develops, oversees and enables access to a system of services which assist older persons and persons with disabilities to live as independently as is possible. Its responsibilities include overseeing a variety of services, such as nutrition, attendant care, homemaker, adult day, respite, transportation, benefits counseling, advocacy, service coordination, information and referral, and legal assistance. It also helps children and adults with developmental disabilities and children with health impairments and/or physical disabilities to live as independently as possible within their family, home and community. The Division of Disability and Aging Services is broken down into six distinct units. The following information describes the units.

Director of Long Term Care Services & Support Unit

This unit consists of the Data and Planning & Innovation Unit as well as the Director of DDAS and support staff. Their functions are wide ranging and contribute to the Division of Disability and Aging Services as a whole. This unit's default program code is 43070. The Method for this program/unit spreads costs based on ratio of total direct costs of each unit in DDAS expended in the quarter.

Aging and Physical Disabilities Services Unit

This unit manages the Medicaid funded long-term care programs that support older Vermonters, people with physical disabilities, and people with traumatic brain injuries. This unit helps this population of Vermonters live as independently as possible in the setting of their choice. Programs include Choices for Care, Traumatic Brain Injury Program, and Attendant Services Program. This unit also provides oversight and funding for all Older American Act programs that offer services and opportunities for older Vermonters to remain as independent as possible and to be active contributing members of their community. The director and support staff are allocated by the percent of salary dollars directly charged in the unit.

Developmental Disabilities Services Unit

The DD Unit provides oversight to services that help keep individuals of all ages with developmental disabilities living in the community with their families. They provide support to prevent institutionalizing, prevent or respond to abuse or neglect, prevent imminent risk to people's health and safety, respond to adults who may become homeless, and help people find and maintain employment. Director and staff of this unit are allocated by percent of salary dollars directly charged in the unit.

This unit also oversees the guardianship services to the elderly and mentally disabled. The ultimate objective of each public guardian is to ensure wards receive appropriate care, protection and services in the least restrictive manner and environment. The director and support staff will be allocated by percent of salary dollars directly charged in the unit.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
41499	MMIS – TA (Advanced Planning Document)	Conversations or work that is related to MMIS Technical Assistance efforts	Direct to MMIS – TA based on positive time reporting
41607	IEWS – DDI (Advanced Planning Document)	Conversations or work that is related to the IEWS design, development, and or implementation efforts	Direct to IEWS – DDI based on positive time reporting
41609	IEWS – enhanced DDI (APD)	Conversations or work that is related to those components of IEWS design, development, and or implementation efforts that are eligible for enhanced funding (MMIS functions)	Direct to IEWS – enhanced DDI based on positive time reporting
41612	MMIS – IV&V (Advanced Planning Document)	Conversations or work that is related to the MMIS Independent Verification and Validation efforts	Direct to MMIS – IV&V based on positive time reporting
41613	MMIS – DDI (Advanced Planning Document)	Conversations or work that is related to the IEWS design, development, and or implementation efforts	Direct to MMIS – DDI based on positive time reporting
41614	IEWS – IV&V (Advanced Planning Document)	Conversations or work that is related to the IEWS Independent Verification and Validation efforts	Direct to IEWS – IV&V based on positive time reporting
43030*	DDAS Managers and Support Staff	Managers and support staff in the Division of Disability and Aging Services	Method M - Percent of Salary Dollars Directly Charged (DDAS) (Managers' & support staff salaries, fringe, and operating expenses will be aggregated and allocated to all direct program charges in the quarter based upon an aggregate percentage of salary dollars directly charged in their unit.)

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43040*	DDAS –Community Programs and Development Unit Admin/Leave Time	Expenses associated with the administrative and leave time for the DDAS – Community Programs and Development Unit.	Method L - Percent of Salary Dollars Directly Charged in the Community Programs and Development Unit) (Managers' salaries, fringe, and operating expenses will be aggregated and allocated to all direct program charges in the quarter based upon an aggregate percentage of salary dollars directly charged in the Community Programs and Development Unit.)
43070*	DDAS Division Director and Support Staff	Expenses associated with the Division Director and Administrative Staff who have broad responsibilities for programs operated within the division.	Method A2 - Ratio of Total Direct Program Funds Expended in Quarter (Salary & Expenses of Division Director and Administrative Staff are allocated based on a ratio of total costs in each division.)
37700*	Global Commitment Administration	Staff and related expenses for administering Vermont's Section 1115 Waivers of the Social Security Act and other Global Commitment State Plan Services. Includes grant payments to Area Agencies on Aging for Global Commitment outreach to Ombudsman Program.	Direct to Global Commitment
37710*	Global Commitment Program	Expenses related to Global Commitment programs (TBI, ICF-MR, Waiver, Clinic, Adult Day and ASP Personal Care)	Direct to Global Commitment Program
37711*	DUALS Project	Expenses related to DUAL Medicaid/Medicare project	Direct to DUALS
37800	Social Services Block Grant	Expenses related to Social Services Block Grant	Direct to Social Services Block Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39610	Autism Grant	Federal Autism Grant to VDH and transferred to DAIL.	Direct to Autism Grant
39727	Commodities Supplemental Food Program	Delivery of Commodities to primarily Seniors	Direct to Commodities Supplemental Food Program
41072	OVHA SPAP Grant	Expenses related to the SPAP Grant	Direct to SPAP Grant
41602	State Children's Health Insurance Program	Expenses related to the SCHIP Program	Direct to SCHIP
41620*	Refugee Assistance Program	Expenses paid via weekly Medicaid draw for clients determined to be refugees.	Direct to Refugee Assistance Program
41820	Civil Monetary Funds	Funds generated by Nursing Home penalties.	Direct to Civil Monetary Funds
42011	Guardianship Services Specialists	Provide Guardianship services to the eligible developmentally disabled population	Direct to DDAS Guardianship
42016*	Nurse SMP Time	Expenses related to Nurse Professional time to administer Global Commitment Program.	Direct to Global Commitment
43050*	Attendant Services Program	Staff and expenses related to administering the Attendant Services Program, a program providing attendants to elderly persons and persons with disabilities who manage their own care.	Method O - Persons Served in Quarter By DDAS (Allocated among Title III E, General Fund, and Global Commitment Admin 50% based upon the persons served in the quarter.)
43060*	Case Management	State staff time and expenses related to training program for case managers. Recipients of training are staff from the Area Agencies on Aging and Home Health Agencies.	Method P - Divided between Title III- E and Global Commitment Admin 50%
43520	Dementia Grant	Federal related to respite services to families with dementia	Direct to Dementia Grant
43530	Administration on Aging Support Services (III-B)	Expenses related to administration on aging support services	Direct to Admin on Aging Support Services – Title III – B
43531	AAA Congregate Meals III-C-1	Expenses related to grant for congregate meals	Direct to AAA Cong. Meals III-C1

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43532	AAA Home Delivered Meals (III-C-2)	Expenses related to Admin on Aging Home Delivered Meals	Direct to AAA Delivered Meals III-C-2
43534	AAA Preventative Health III-D	Expenses related to Admin on Aging Preventative Health	Direct to Admin on Aging Preventative Health Title III-D
43535	AAA Abuse Prevention VII	Expenses related to Admin on Aging Abuse Prevention	Direct to Admin on Aging Abuse Prevention VII
43536	Ombudsman Title VII	Expenses related to Ombudsman Title VII	Direct to Ombudsman Title VII
43540	AoA - Irene Disaster Assistance	AoA Tropical Storm Irene Disaster Assistance Project	Direct to AoA Irene Disaster Assistance
43550	AAA General Fund	Costs for AAA programs providing services to seniors	Allocated to Food and Nutrition Services based on cost of the program (using AAA GF Transportation method) for the quarter and then allocated proportionately to the Title III programs based upon Title III total costs in the quarter (using Direct to Older American's Act Method %'s)
43570	State Health Insurance Program	Area Agencies on Aging provide outreach and assistance to Medicare beneficiaries.	Direct to State Health Insurance Program Grant
43590	NSIP USDA	Expenses related to NSIP Grant	Direct to NSIP Grant
43600	SNAP Outreach	Supplemental Nutrition Assistance Program Outreach	Direct to SNAP Outreach
43610	Liheap Energy	Expenses related to the Energy Outreach Grant	Direct to Energy Outreach Grant
43810	Robert Wood Johnson	Private Grant for housing related expenses	Direct to Robert Wood Johnson Grant
43820	DDAS Transportation –Adult Day Centers	Expenses related to DAD Transportation	Direct to State General Fund
43890	State Plan Administration and National Family Care Supplemental (III-E)	Activities related to administering OAA programs & for III-E services	Direct to Admin on Aging National Family Care Supplemental III-E
43891	ARRA – SCSEP (Senior Community Service Employment Grant)	Expenses related to federal grant (43991). 43891 used for stimulus tracking.	Direct to Senior Community Service Employment Program

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43892	ARRA – AOA Congregate Meals III-C-1	ARRA funded expenses related to the AoA congregate meals grant	Direct to AAA Congregate Meals III-C-1 (ARRA)
43893	ARRA – AOA Home Delivered Meals III-C-2	ARRA funded expenses related to the AoA grant for home delivered meals	Direct to AAA Delivered Meals III-C-2 (ARRA)
43894	ARRA – CDSMP	ARRA Chronic Disease Self-Management Program. Funds transfer from VDH	Direct to ARRA CDSMP
43896	Money Follows the Person	Expenses related to the federal Money Follows the Person grant.	Direct to MFP Grant
43951	CMS-CSRE-System Change	Expenses related to the CMS-CSRE-System Change Grant	Direct to CMS-CSRE System Change
43952	1115 LTC Waiver extra admin - 50%	New costs incurred for the purpose of implementing the 1115 LTC Waiver	Direct to Medicaid 50%
43953	1115 LTC Waiver extra admin - 75% SPM	Time and travel of the LTC Nursing Staff for new activities pertaining to the LTC Section 1115 Medicaid Waiver. These activities are limited to: assessing the necessity for and adequacy of services, including level of care determination and utilization review; consulting with staff, providers and other agencies regarding the necessity for and adequacy of medical care and services; and furnishing professional medical opinions.	Direct to Medicaid 75%
43955*	MCO Investments	State expense reportable under the Global Commitment Waiver	Direct to MCO Investments
43956	Senior Center Earmark	Costs associated with the Senior Center Grant	Direct to Senior Center Grant
43958	AOA ADRC Program Grant	Expenses related to ADRC Program Grant	Direct to ADRC program Grant
43959	CMSO ADRC Program Grant	Expenses related to CMSO ADRC Program Grant	Direct to CMSO ADRC Program Grant
43960	TBI Planning Grant	Direct expenses related to the Grant	Direct to TBI Planning Grant
43969	VT TBI Fund	Expenses related to TBI special fund.	Direct to VT TBI Fund - 21994

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43967	TBI Employment Grant	Employment Focused Neuro-Resource Facilitation for VT Vets with TBI	Direct to TBI Employment Grant
43970	Ombudsman State General Fund	Expenses related to legal aid portion of long-term care ombudsman program	Direct to Admin on Aging Support Services III-B
43971	ADRC – Options Counseling	Expenses related to ADRC Options Counseling Standards Project.	Direct to ADRC – Options Counseling
43980	Senior Farmers Market	Food Coupons to Seniors for use at Farmer’s Markets	Direct to Senior Farmers Market
43981	Nursing Home Diversion Grant	Expenses related to the Nursing Home Diversion Grant	Direct to Nursing Home Diversion Grant
43982	MIPPA ACA 2008 LIS-MSP OR	Affordable Care Act Medicare Patients and Providers Act 2008 LIS/MSP Outreach Grants	Direct to MIPPA ACA 2008 LIS/MSP
43983	MIPPA ACA Medicare Enroll – AAAs	Affordable Care Act Medicare Patients & Providers Act Medicare Enrollment-AAAs Grant	Direct to MIPPA ACA Medicare Enroll - AAAs
43984	MIPPA ACA Medicare Enroll – ADRC	Affordable Care Act Medicare Patients & Providers Act Medicare Enrollment-ADRC Grant	Direct to MIPPA ACA Medicare Enroll - ADRC
43985	Emergency Preparedness	Expenses related to Emergency Preparedness	Direct to Emergency Preparedness
43986	MIPPA MEA – AAA	MIPPA Medicare Enrollment Assistance - AAA	Direct to MIPPA MEA - AAA
43987	MIPPA MEA – ADRC	MIPPA Medicare Enrollment Assistance – ADRC	Direct to MIPPA MEA - ADRC
43988	MIPPA 2007 LIS/MSP Outreach	MIPPA 2007 Outreach (AAA and ADRC split)	Direct to MIPPA 2007 LIS/MSP Outreach
43989	MIPPA 2008 LIS/MSP Outreach	MIPPA 2008 Outreach (Medicare beneficiary I&A-other)	Direct to MIPPA 2008 LIS/MSP Outreach
43991	Senior Community Service Employment Program	Federal Grant related to employment services for elders	Direct to Senior Community Service Employment Program
43992	Elderly & Disabled Transportation	Expenses related to a federal transportation grant	Direct to Elderly & Disabled Transportation
43996*	Robert Wood Johnson Cash and Counseling Grant	Expenses related to the RWJ Cash and Counseling Grant	Direct to RWJ Cash and Counseling which is funded 50% Global Commitment Admin and 50% RWJ funds

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43997	CMS Quality Assurance/Quality Improvement Grant	Expenses related to the CMS Quality Assurance/Quality Improvement Grant	Direct to CMS QA/QI
43998	CMS Long Housing & Supports Grant	Expenses related to the CMS Long Housing & Supports Grant	Direct to CMS Long Housing & Supports
43965	TBI – Vets Info & Referral Grant	Expenses related to the TBI – Vets Info & Referral Grant	Direct to TBI – Vets Info & Referral Grant
43500	General Fund	Programs that are entirely State funded	Direct to State General Fund

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Plan Department 4:**Division for the Blind and Visually Impaired**

Nature and Extent of Services: The Division for the Blind and Visually Impaired offers a wide range of vocational, independent living, social and advocacy services to individuals who are blind or visually impaired.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43020*	Division Director and Staff	Expenses associated with the Division Director, the Casework Supervisor and Administrative Secretary who have broad responsibilities for programs operated within the division.	Method A2 - Ratio of Total Direct Program Funds Expended in Quarter (Blind and Visually Impaired) (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total cost of program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)
43620	Independent Living Part B	Expenses related to the Independent Living grant	Direct to Independent Living Grant Part B
43625	ARRA – Blind Independent Living Part B - Stimulus	ARRA Expenses related to the Independent Living grant – Part B	Direct to Independent Living Grant Part B - ARRA
43630	Mobile Low Vision Grant Title VII	Grant for elders with low vision	Direct to Mobile Low Vision
43635	ARRA – Blind Independent Living Part C - Stimulus	ARRA Grant for elders with low vision	Direct to Independent Living Grant Part C - ARRA
43640	Rehabilitation Training Grant	Staff Training Grant	Direct to Rehab Training Grant
43650	Section 110 (Blind and Visually Impaired)	Expenses related to Section 110 grant	Direct to Section 110 (Blind)
43655	ARRA – DBVI Section 110 - Stimulus	ARRA stimulus expenses related to Section 110 Grant	Direct to Section 110 (DBVI) - ARRA
43660	Supported Employment Title VI-C	Supported Employment services	Direct to Title VI-C
43670	Innovation & Expansion	Expenses related to Section 110 grant.	Direct to Section 110 (Blind)

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
43680	Vending & Other	Expenses related to Vending	Direct to Vending and Other
43500	General Fund	State funded programs	Direct to State General Fund
43955*	MCO Investments	State expenses reportable under the Global Commitment Waiver	Direct to MCO Investments

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 5:**Division of Vocational Rehabilitation**

Nature and Extent of Services: The Division of Vocational Rehabilitation assists persons with disabilities to obtain or maintain employment or independence consistent with their interests and abilities.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41635	Medicare Part D	Expenses related to Medicare Part D	Direct to Medicare Part D
43020*	Division Director and Staff	Expenses associated with the Division Director, the Program Services Chief, Rehabilitation Coordinator, Systems Developer II, Administrative Secretary, and clerical support staff who have broad responsibilities for programs operated within the division.	Method A2 - Ratio of Total Direct Program Funds Expended in Quarter (Voc Rehab) (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total cost of program funds expended. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)
37700*	Global Commitment Administration	Salary and Expenses related to Personal Care Services	Direct to Global Commitment Admin
37710*	Global Commitment Program	Expenses related to personal care services	Direct to Global Commitment Program

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Plan Department 5:

Division of Vocational Rehabilitation (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43290	Regional Support Staff and General Operating Costs	Expenses incurred by the regional and district offices that support all activities within the region. This includes regional manager salaries and expenses; support staff salaries and expenses; and operating bills not directly attributable to an activity.	Method R - Ratio of Total Direct Program Funds Expended in Quarter by Regional Staff (Voc Rehab) (Statewide VR Regional managers, support staff, and operating expenses will be allocated based upon the percentage of statewide regional office personal services and operating direct charged expenses charged to activities.)
43690	Assistive Technology Grant	Federal Grant to help consumers receive information pertaining to assistive Technology and system changes	Direct to Assistive Technology Grant
43700	Employee Assistance	Expenses related to the EAP program	Direct to Employee Assistance
43720	Counseling and Assistance - Social Security Disability Insurance Benefit Offset Demonstration Pilot	Expenses related to Social Security Disability Insurance Benefit Offset Demonstration Pilot	Direct to SSDI Benefit Offset
43730	Supported Employment Title VI-B	Expenses related to Supported Employment grant	Direct to Supported Employment Title VI-B
43740	Ticket To Work	Expenses related to Ticket to Work in the Section 110 Program	Direct to Section 110
43750	Voc Rehab Independent Living Grant Part B	Direct expenses related to the Grant	Direct to Independent Living Grant Part B
43755	ARRA – VR Independent Living Part B - Stimulus	ARRA Expenses related to the Independent Living grant – Part B	Direct to Independent Living Grant Part B - ARRA
43760	Rehabilitation Training Grant	Staff Training Grant	Direct to Rehab Training Grant
43765	Voc Rehab Quality Training Grant	Staff Training Grant	Direct to VR Quality Training Grant
43770	Section 110 (Voc Rehab)	Expenses related to Section 110 grant.	Direct to Section 110 (Voc Rehab)
43771	DOL Evaluations	Expenses related to DOL Evaluations	Direct to DOL Evaluations

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Plan Department 5:**Division of Vocational Rehabilitation (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43775	ARRA – VR Section 110 - Stimulus	ARRA stimulus expenses related to Section 110 Grant	Direct to Section 110 (Voc Rehab) - ARRA
43780	VR UMASS BOND	Expenses related to grant from UMASS for Social Security Demonstration	Direct to VR UMASS BOND
43790	Welfare to Work	Expenses related to Welfare to Work grant.	Direct to Welfare to Work
43795	Community Action - SSI	Expenses related to VR Community Action - SSI applications	Direct to Community Action - SSI
43800	Innovation & Expansion	Expenses related to the Section 110 Grant	Direct to Section 110
43895	Reach Up Non VR	Expenses related to Reach Up grant	Direct to Reach Up – Non VR
43899	VR Reach Up Pilot	Expenses related to Reach Up grant	Direct to Reach Up - Pilot
43900	Medicaid Infrastructure Grant	Direct expenses related to the Grant	Direct to Medicaid Infrastructure Grant
43910	DET Grant	Direct expenses related to the Grant	Direct to DET Grant
43954	Corrections Disability Tracking	Expenses related to Corrections SSA Billing	Direct to Corrections SSA Billing
43961	Work Incentives Planning & Assistance Grant	Expenses related to the Work Incentives Planning & Assistance Grant	Direct to Work Incentives Planning & Assistance Grant
43962	Mathematics	Direct expenses related to the Mathematics Policy & Research Grant	Direct to Mathematics Policy & Research Grant
43967	TBI Employment Grant	Employment Focused Neuro-Resource Facilitation for VT Vets with TBI	Direct to TBI Employment Grant
43500	General Fund	Programs that are entirely State funded	Direct to State General Fund

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:

Division of Licensing and Protection

Nature and Extent of Services: The Division of Licensing and Protection regulates a variety of health care and residential facilities, and investigates adult abuse and/or neglect.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37700*	Global Commitment Admin	Expenses related to Global Commitment Admin	Direct to Global Commitment Admin & State General Fund
43020*	Director, Nurse Survey & Staff	Expenses associated with the Division Director and Administrative Staff who have broad responsibilities for programs operated within the division.	Method J - Salary & Expenses in Quarter – Director of Division of Licensing and Protection and Staff (Time and expenses of Director and staff are allocated to all division programs based on salary costs in the quarter.)
43040*	Licensing and Protection Admin/Leave Time	Expenses associated with the administrative and leave time for the Licensing and Protection Division.	Method K - Salary & Expenses in Quarter – Director of Division of Licensing and Protection (Administrative and leave time expenses are allocated to all division programs based on salary costs in the quarter.)
43070*	Director and Administrative Support	Expenses for the Division director and administrative support that supports entire division.	Method I - Salary & Expenses in Quarter – Director of Division of Licensing and Protection (Time and expenses of Director and secretarial support for the Division are allocated to all division programs based on salary costs in the quarter.)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:**Division of Licensing and Protection (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43100*	LTC Engineering	Expenses for staff of the Division of Labor and Industry that work with the Survey and Certification staff on the licensure of facilities. Also, includes costs for architectural, engineering, and other consultants necessary for facilities licensing.	Method H - Allocated based on total costs of survey work to programs that require facility engineering.
43110	Clinical Laboratory Certification and Inspection.	Costs incurred in the enforcement of federal regulations in federally certified clinical laboratories.	Direct to Clinical Lab Certification and Inspection.
43120	Certification of Home Health Agencies	Cost incurred in the survey of Vermont Home Health Agencies and Hospice programs to ensure compliance with all federal regulations related to HHA and Hospice.	Direct to Medicare (XVIII Funds)
43130	Non-Certified Health Care Facilities	Expenses incurred in the surveys, follow-up visits, and complaint investigations occurring in nursing homes that are state licensed, but not federally certified.	Direct to State General Funds
43140	Hospital XVIII Non Licensed HC Facilities	Expenses incurred in the surveys of Outpatient Rehabilitation Facilities; End State Renal Dialysis Units; Rural Health Centers; Outpatient P.T.; Outpatient S.T., Independent Physical Therapists; and the Medicare-certified portion of Vermont State Hospital.	Direct to Medicare (XVIII Funds)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:

Division of Licensing and Protection (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43150	Hospital XVIII Licensed HC Facilities	Expenses incurred in the performance of validation surveys, and substantial allegation surveys in hospitals as directly by CMS. Includes enforcement of federal regulations in psychiatric hospitals, psychiatric units of hospitals, rehabilitation units of hospitals, and swing beds.	Direct to Medicare Title XVIII Non-SNF and State General Fund
43160	State Licensure	Expenses incurred in the enforcement of State licensure requirements in Level III residential care facilities that do not provide Assistive Community Care Services; Level IV residential care facilities; assisted living facilities; and therapeutic community residences.	Direct to State General Funds
43170*	LTC – Multi, Licensure of Nursing Facilities	Expenses incurred in the surveys, follow-up visits, and complaint investigations occurring in nursing facilities that are federally certified for participation in the Title XVIII & XIX program.	Allocation between S&C State General Fund, XVIII, XIX, and State based on Homes Certification per Surveys and Certification Policies (for Nursing Homes).
43190	ICF/MR's	Expenses incurred in the enforcement of federal ICF/MR requirements.	Allocation between XIX and State for ICF/MR's
43200	Community Care Homes (CCH)	Expenses related to community care homes	Direct to State General Fund
43210*	Level III Licensed Facilities	Expenses incurred in the review and enforcement of state licensure and federal requirements related to facilities providing Assistive Community Care Services.	Allocated between Global Commitment and State General Funds using # ACCS beds billed Global Commitment for qtr by 15th of month divided by total # ACCS beds on 15th of month beginning quarter.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:

Division of Licensing and Protection (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43220*	Baseline Validation	Expenses incurred in the site visits to nursing facilities to verify the accuracy of the information provided the Federally mandated MDS+ forms.	Direct to Global Commitment
43230*	Prior Authorization Program (SPMP)	Expenses incurred in the review of the medical necessity of all nursing facility admissions of Medicaid/Medicaid applied individuals.	Direct to Global Commitment
43240*	Enhanced Residential Care	Expenses related to time spent assessing placement variance and assessments required for 1115 Waiver applications. This includes time spent on activities required beyond the normal licensing time for residential care home licensure due to the placement of an 1115 Waiver resident in a residential care home.	Direct to Global Commitment
43250	Outcome and Assess. Info Set (OASIS)	Cost associated with administration of the federally mandated home health agency Outcome and Assessment Information Set.	Direct to OASIS
43260*	NATAC Admin & Registry	Cost related to the nurse assistant testing competency evaluation program	Direct to NATCEP Admin & Registry and S&C Medicare XVIII LTC
43270*	Minimum Data Set (MDS)	Cost associated with information technology and reporting associated with the administration of the federally mandated nursing home Minimum Data Set.	Allocation between XVIII, XIX, and State based on Surveys and Certification (for Nursing Homes) (Costs are allocated in accordance with survey and certification procedure based on the licensure of nursing homes.)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:**Division of Licensing and Protection (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
43310*	Training ICF/MR	Covers expenses incurred by staff in attending in-services, conferences, and workshops related to the enforcement of federal regulations in ICF/MR	Allocation Between Global Commitment, and State for ICF/MR's 75% Global Commitment and 25% State.
43320	Health Care Facilities Training Nursing Facilities	Covers expenses incurred by staff in attending in-services, conferences, and workshops related to the enforcement of federal regulations in certified facilities.	Direct to Medicare Title XVIII Non-SNF & Medicare XVIII LTC
43330	Home Health Hotline	Costs for operating the Home Health Hotline.	Direct to Medicare (XVIII Funds)
43340*	Nursing Home Complaints	Expenses related to nursing home complaints	Allocation between XVIII, XIX, and State based on licensure of nursing homes.
43350*	Nurse Aid Training and Competency (NATAC)	Costs incurred in the administration of competency tests and skills tests to nurse aides as required by federal regulations.	Direct to Nurse Aid Testing
43360	Assisted Living	Expenses related to assisted living services	Direct to State General Fund
43950	Medicare Supplemental for Equipment	Specific funding dedicated by HHS/CMS Medicare to purchase equipment to upgrade/replace equipment for Nurse Surveyor's in division.	Direct to Medicare Supplemental for Equipment
43955*	MCO Investments	State expenses reportable under the Global Commitment Waiver	Direct to MCO Investments

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Plan Department 6:

Division of Licensing and Protection (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
44444*	NATAC Registry and Administration	Costs incurred in the administration of federally mandated nurse aide training and competency program and maintenance of registry.	<p>Allocation between XVIII and NATCP Admin & Registry based on Certified and Participating Facilities (for NATAC Admin)</p> <p>(Expenditures for administration and Nurse Aid Registry are allocated to the Medicare portion of Survey and Certification and Medicaid Administration based on the number of participating facilities certified for Medicare and Medicaid programs.)</p>
55551*	Consultants	Expenses related to Consultants	<p>Ratio of Total Direct Program Funds Expended in Quarter</p> <p>(Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)</p>

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:**Division of Licensing and Protection (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
55555*	Communication	Expenses related to communication	Ratio of Total Direct Program Funds Expended in Quarter (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)
66661*	Subcontracts	Expenses related to subcontracts	Ratio of Total Direct Program Funds Expended in Quarter (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:**Division of Licensing and Protection (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
66666*	Supplies	Expenses related to Supplies	Ratio of Total Direct Program Funds Expended in Quarter (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)
77771*	Workers Compensation	Expenses related to Workers Compensation	Ratio of Total Direct Program Funds Expended in Quarter (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:

Division of Licensing and Protection (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
77777*	Space	Expenses related to space	<p>Ratio of Total Direct Program Funds Expended in Quarter</p> <p>(Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)</p>
88881*	Liability Insurance	Expenses related to liability insurance	<p>Ratio of Total Direct Program Funds Expended in Quarter</p> <p>(Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)</p>

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 6:**Division of Licensing and Protection (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
66662*	Equipment	Expenses related to equipment	Ratio of Total Direct Program Funds Expended in Quarter (Cost of staff and associated operating that oversee grants programs are allocated on the ratio of total direct program funds expended during the quarter. This treatment allocates a share of indirect cost to all benefiting grant programs, regardless of whether the individual grant actually provides funding for this indirect cost.)
43500	General Fund	Programs that are entirely State funded	Direct to State General Fund

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Vermont Health Access (DVHA)

I. Introduction

The DVHA is the State office responsible for the management of Medicaid, the State Children's Health Insurance Program (CHIP), and other publicly funded health insurance programs in Vermont. The DVHA is the largest insurer in Vermont in terms of dollars spent and the second largest insurer in terms of covered lives.

The mission of the DVHA is to:

- Assist beneficiaries in accessing clinically appropriate health services.
- Administer Vermont's public health insurance system efficiently and effectively.
- Collaborate with other health care system entities in bringing evidence based practices to Vermont Medicaid beneficiaries.

The DVHA is lead by the Medicaid Commissioner, Director, Medicaid Policy, Fiscal and Support Services Division, Director, Managed Care Organization Division, the Director of Healthcare Reform, and the Medical Director. The Medicaid Commissioner is responsible for oversight of all of DVHA operations. The Director of Program Operations is primarily responsible for data analysis, health programs integration, and pharmacy and program integrity. The Director of Administrative Operations is responsible for policy, provider relations, benefit coordination, reimbursement and administrative services. The Director of Healthcare Reform is responsible for oversight of Healthcare Reform initiatives, including Vermont Blueprint for Health. The Medical Director is responsible for clinical operations, chronic care management and care coordination.

During the fall of 2005, the State received approval from the Centers for Medicare and Medicaid Services (CMS) for a Section 1115 Medicaid Waiver known as "Global Commitment to Health Waiver". The Waiver allows the State to fundamentally restructure the Medicaid program and imposes a cap on the amount of federal funding available for services for the Medicaid population. The State exchanged the risk of operating under a capped funding arrangement for the opportunity to use federal Medicaid funds for non-Medicaid health programs.

The goals of the Waiver include:

1. Financial and programmatic flexibility to help maintain public health care coverage and provide for more effective services.
2. To lead in exploring new ways to reduce the number of uninsured.
3. Foster innovation within health care by focusing on health care outcomes.

The five-year Waiver term became effective October, 2005, and allows the State to deviate from traditional federal Medicaid law and regulations in the following key ways:

1. Imposes a global cap on federal funds.
2. Establishes the DVHA as a managed care entity (MCE).
3. Allows the State to used federal Medicaid funds for state fiscal relief and non-Medicaid health programs.
4. Provides flexibility to reduce benefits, increase cost sharing, and limit enrollment for optional and expansion populations with some limits.

Within the Agency of Human Services (AHS), the Waiver will allow cross-departmental initiatives to obtain the greatest value from scarce health care dollars. The flexibility of the Waiver allows the State to effectively manage public resources, provide the tools necessary to make health care programs fiscally sustainable, and improve the Vermont health care system.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

I. Introduction (continued)

Under the Global Commitment to Health Waiver, the DVHA is an MCE, and must meet rules for Medicaid MCE. The DVHA has intergovernmental agreements (IGA) with the AHS and AHS departments that make them part of the MCE within the framework of the Global Commitment to Health Waiver. The State desires to use the Global Commitment to Health Waiver flexibility to integrate a Chronic Care Management Program (CCMP) into a system of care that can be used to benefit Medicaid beneficiaries, providers, and the DVHA.

Other Departmental Claiming

The following AHS Departments also claim allowable administrative to the Medicaid program, such as school-based and child welfare related costs:

Social and Rehabilitative Services (SRS), now DCF

- Percentage of Social Worker time spent on allowable Medicaid administrative activities.

Prevention Assistance, Transition, and Health Access (PATH), now DCF

- Medicaid outreach and eligibility.

Department of Mental Health

- The Mental Health Department contracts with 11 designated community agencies (DAs) to provide services to children and adults who are experiencing an acute mental-health crisis.
- The contracted designated agencies also operate the mental health Emergency Services Programs which are available 24 hours a day, seven days a week, responding to any individual experiencing a mental health crisis.
- Emergency programs screen situations to determine if there is need for admission into involuntary and/or acute care arrangements
- Vermont State Hospital (VSH) inpatient services – provides involuntary emergency examinations and commitments in which adults have become dangerous to themselves or others or for psychiatric evaluations of competency to stand trial in criminal cases.

Department of Aging and Independent Living (DAIL)

- Grants to designated agencies for local administration of HCB waiver.
- Grants to area agencies on aging to assist elders in Medicaid outreach.
- Division of Advocacy and Independent Living staff time on administering waivers and fulfilling Medicaid state plan requirements.
- NATAC Registry and Administration.
- Licensed Nursing Facilities Survey, Licensure, and Complaints.
- ICF/MR Licensure.
- Level III Homes Licensure.
- Home Health Hotline.
- Auditing MDS+ Forms.
- Prior authorization personnel.
- Developmental Services administration.
- Vocational Rehabilitation staff administering traumatic brain injury HCB waiver.

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Vermont Department of Health (VDH)

- Medicaid outreach and eligibility.
- Medical Practice Division (Quality Assurance).
- Selected Skilled Professional Medical Personnel.

Costs for these activities are included in each Department's plan and included on the CMS-64 as appropriate.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

II. Plan Departments and Allocation Methodologies

Plan Department 1:

Indirect Cost Allocations

Nature and Extent of Services: The State of Vermont, Agency of Human Services negotiates the annual State Wide Indirect Cost Allocation Plan (SWICAP) with the Federal Government. The approved statewide indirect costs applicable to DVHA are included in the CAP and allocated to the appropriate benefiting objectives. DVHA also receives allocations from the AHS Office of the Secretary.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
1000.1	SWICAP	DVHA Allocation of Statewide Indirect Costs (Plan Dept No. 10000)	Salary and wage account code totals across all Program codes.
1001.1	AHS- Secretary's Office	DVHA Allocation of Central Office Costs (Plan Dept No. 37000, 37001, 37011, 37020, 37040, 37050, 37110, 37170, 37190, 20000, 37021, and 37210)	Salary and wage account code totals across all Program codes
1003.1	Human Service Board	DVHA Allocation of Human Service Board Costs (Plan Dept No. 37220)	Salary and wage account code totals across all Program codes
1004.1	Financial Statement and Internal Controls	DVHA Allocation of Statewide Single Audit Indirect Costs (Plan Dept No. 37120)	Salary and wage account code totals across all Program codes
1005.1	AAG's	DVHA Allocation of Attorney General's Office Costs (Plan Dept No. 88888)	Salary and wage account code totals across all Program codes
1006.1	IT	DVHA Allocation of IT Computer Services Unit Costs (Plan Dept No. 37070)	Salary and wage account code totals across all Program codes
1007.1	Field Services	DVHA Allocation of Field Services Costs (Plan Dept No. 40450.1, 41002, and 41003)	Salary and wage account code totals across all Program codes
1008.1	75/25 GC Admin	DVHA Allocation of AHS contracted EQRO Costs at 75% (Plan Dept No. 42016)	Direct to GC
1009.1	GC Admin	DVHA Allocation of AHS Medicaid Unit – Health Care Integrated Unit (Plan Dept No. 37013, 37700, 37080)	Direct to GC
1010.1	VIEWS – MOVE	DVHA allocation of AHS Views – Move Related costs. (Plan Dept No. 41607)	Per approved Direct to VIEWS IAPD
1011.1	5010	DVHA allocation of 5010 MOVE related costs. (Plan Dept No. 41608)	Direct to 5010 IAPD
1012.1	MMIS - TA	DVHA allocation of AHS IT costs related to MOVE Project. (Plan Dept No. 41499)	Direct to MMIS 90% PAPD
1013.1	CMS HIT 4201 PAPD	DVHA allocation of AHS IT costs related to CMS HIT 4201 Project. (Plan Dept No. 41616)	Approved Direct to CMS 4201 HIT PADP

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
1014.1	ONC HIT 3013	DVHA allocation of ONC HIT costs related to CMS HIT 3013 Project. (Plan Dept No.41617)	Approved Direct to ONC HIT Grant PADP
1015.1	SOA Infrastructure Components (BUS, Workflow, Rules)	DVHA allocation of SOA OSA salary and operating costs related to CMS HIT 4201 Project. (Plan Dept No.41618)	Per approved Direct to SOA Infrastructure IAPD
1016.1	OCIIO - SPEG - ACA Exchanges	DVHA allocation of salary, operating and contractual OCIIO-SPEG-ACA Exchange costs related to CMS HIT 4201 Project. (Plan Dept No.41619)	Approved Direct to OCIIO Exchange Grant PADP

Cost Allocation Plan Guidance:

Plan Department	References
SWICAP, Single State Audit, and AHS	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Plan Department 2:
Administrative Costs**

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for salary, benefits, operating, contracts and grants for services, and related administrative costs associated with DVHA programs.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41050	Enrollment Broker Services	Benefits counseling enrollment outreach and member services	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41051	Pharmacy Benefit Manager Services	Pharmacy Benefit Manager Services	Quarterly number of Pharmacy Claims paid for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41073	Member Card Replacement	Costs associated with removing SSN and replacing member cards with unique identifier.	Per approved APD
41074	Member Card Replacement – Training	Costs associated with Training and operating for member card replacements.	Per approved ADP
41085	DUR/MAB Board	Provide consultation and feedback on program design, management, and operations. The Drug Utilization Review Board (DUR) consists of physicians and pharmacists. The DUR Board reviews drug utilization in terms of prescriber practices, pharmacy dispensing, and beneficiary use. The Board also acts as the DVHA Pharmacy and therapeutics P&T Committee, advising DVHA on benefit design for the pharmacy programs. The Medicaid Advisory Board consists of providers and beneficiaries and their representatives; representatives of other related government entities; and other interested parties providing evaluation and advice on the design and operations of all of the DVHA benefit programs.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and all other benefiting programs

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Plan Department 2 (Continued):
Administrative Costs (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41090	SPMP	Cost of time staff in positions requiring a professional medical certification or degree spent on duties and responsibilities that require professional medical knowledge and skills.	Direct to GC
41120	Fiscal Intermediary	Cost of contractual services for the administration of Medicaid/CHIP program. Receives, organizes and processes bills for medical recipients, maintains and makes available on-line histories of benefits paid and develops new applications with the context of approved advance planning documents	Quarterly number of paid claims for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41486	Commissioner's Office	Operations and oversight of DVHA units in both operations and the administration of the State of Vermont's public health care programs; Act as Chief Liaison to and directs staff interaction with administration, legislature, AHS central office and departments, other state agencies, the media and federal entities.	Salary and wage account code totals across all Program codes.
41470	State Only Expenses	Administrative expenses for "State Only" programs	Direct to State only
41487	Data Analysis Management & Reimbursement	Provides data and analytical support to DVHA. Responds to Medicaid claims and enrollment data requests in a timely and accurate manner as well as providing analytical support to DVHA staff and units.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41488	Pharmacy Unit	Implements and manages the pharmacy benefits for Medicaid and the VHAP, VHAP Pharmacy, V-Script, V-Script Expanded, Medicare Part D and V-Pharm plans. Ensures that the State's pharmacy benefit plans are implemented and administered appropriately so that benefits can be accessed appropriately and pharmacies' claims for those activities are processed correctly and paid on a timely basis. Also work with Vermont Medicaid enrolled providers regarding the State's pharmacy programs.	Quarterly number of Pharmacy Claims paid for Global Commitment, Choices for Care, CHIP and other benefiting Programs.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Plan Department 2 (Continued):
Administrative Costs (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41489	Health Program Integrity	Responsible for monitoring the integrity of our Medicaid Program, including the provision of medically necessary and appropriate health care services, accurate reimbursement to qualified providers of those services, efficient administration of the program and the prevention of inappropriate services and reimbursement. Works closely with each department within DVHA as well as the Medicaid Fraud and Residential Abuse Unit to investigate referred issues to determine if there is a problem.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41490	Clinical Unit	Manages Care Coordination (CC), Quality Initiatives (QI) and Prior Authorizations. CC initiative is designed to facilitate the provider/patient relationship by coordinating interventions that assist primary care practices for the needs of our beneficiaries – specifically in emergency room utilization and inpatient hospitalization. QI provides operational direction necessary to monitor and evaluate the quality and appropriateness of care and service for our members, identify opportunities for clinical and service improvement, ensure resolution of identified problems and to measure/monitor intervention results over time to assess the need for new improvement strategies.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41491	Chronic Care Initiative	Extension of the above mentioned clinical unit responsibilities with the addition of make routine visits to provider/patients.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs
41493	Provider & Member Relations	Provide assistance to all provider groups for both incoming and outgoing communication regarding issues that affect providers.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs

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**Plan Department 2 (Continued):
Administrative Costs (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41495	Policy and Reporting	Represents DVHA in a variety of venues and furnishes required reports for the state and federal governments. Also responsible for maintaining and revising when necessary the Vermont Medicaid State Plan, the Vermont Medicaid Rules and Procedures and the Vermont Health Access Program rules and procedures. Coordination and management of the administrative process of responding to requests for non-covered services by beneficiaries as well as representing DVHA at fair hearings.	Salary and wage account code totals across all Program codes.
41496	Coordination of Benefits	Investigates claims potential for third party liability for areas of health insurance, court ordered medical support, Medicare Part D drug plans, estate recovery, cost effective health insurance, workers compensation and subrogation. When a liability is found, claims and/or liens are filed with the liable party obligating the party to reimburse the Medicaid paid claims.	Quarterly Enrollment for Global Commitment, Choices for Care, and All Other benefiting -Programs
41497	Administrative Services	Responsible for fiscal and operational activities, including budget items, AR, AP, payroll and expenses, contract and grant monitoring, federal reporting, cost allocation and overall human resources and building maintenance.	Salary and wage account code totals across all Program codes.
41499	MMIS – TA	State staff to assist the State’s planning and re-procurement of the Medicaid Management Information System (MMIS).	Direct to MMIS PAPD
41520	MMIS – TA - Contracts	Consulting Services to assist planning and re-procurement of the MMIS.	Direct to MMIS PAPD
41607	MOVE-VIEWS	Conversations or work that is related to the VIEWS Design, Development and/or Implementation efforts. IAPD	Per approved Direct to VIEWS IAPD
41608	5010	Conversations or work that is related to 5010 efforts. IAPD	Direct to 5010 IAPD
41612	MMIS – IV&V	Conversations or work that is related to the MMIS Independent Verification and Validation efforts. PAPD	Per approved Direct to MMIS PAPD
41613	MES - DDI	Conversations or work that is related to the MMIS Design, Development and/or Implementation efforts. PAPD	Per approved Direct to MES IAPD
41614	VIEWS – IV&V	Conversations or work that is related to the VIEWS Independent Verification and Validation efforts. IAPD	Per approved Direct to VIEWS IAPD

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**Plan Department 2 (Continued):
Administrative Costs (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41616	CMS HIT 4201 PAPD	Costs Associated with development of a State Medicaid Health Information Technology Plan (SMHP)	Direct to CMS 4201 HIT PAPD
41617	ONC HIT 3013	Costs Associated with Vermont State HIT-HIE Program and e-Health Initiative	Direct to ONC HIT grant
41618	SOA Infrastructure Components (BUS, Workflow, Rules)	Salary and operating expenses related to SOA Infrastructure IAPD	Direct to SOA Infrastructure IAPD
41619	OCIIO - SPEG - ACA Exchanges	Salary, operating and contractual costs related to OCIIO planning exchange project	Direct to OCIIO Exchange grant
41609	VEWS – Enhanced	Conversations or work that is related to those components of VIEWS Design, Development and/or Implementation efforts that are eligible for enhanced funding (MMIS functions). IAPD	Per approved Direct to VEWS IAPD
41622	CHIPRA - Maine	Salary and operating costs related to OCIIO exchange project with Maine	Direct to Maine CHIPRA grant
41623	NCCI IAPD	Salary and operating expenses related to meeting NCCI requirements	Direct to NCCI IAPD
41624	NCCI IAPD	Contractual expenses related to meeting NCCI requirements.	Direct to NCCI IAPD
41626	Health Care Reform	Time and effort associated with implementing Vermont’s Healthcare Reform program. Healthcare Reform in the State of VT is made up over 60 specific initiatives all designed to increase access, improve the quality, and contain costs of healthcare for Vermonters.	Bi-Annual % of State population Eligible for Medicaid to GC Admin remainder to MCO. Staff members in this program code also direct code time as appropriate to other Program codes.
41627	Blueprint Administration	All costs associated with Blueprint for Health staff	Bi-Annual % of State population Eligible for Medicaid to GC Admin remainder to MCO.
41628	Blueprint - Partnerships	Costs associated with Contractual and grant	Bi-Annual % of State population Eligible for Medicaid to GC Admin remainder to MCO.
41629	Quality Improvement Division	Responsible for ensuring compliance to the State and Federal quality standards including oversight of any delegated activities; implementation, management and oversight of quality initiatives including the CHIPRA Quality Demonstration Grant; and authorization and concurrent review of inpatient psychiatric services.	Quarterly Enrollment for Global Commitment, Choices for Care, CHIP, and All Other benefiting Programs

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Plan Department 2 (Continued):
Administrative Costs (Continued)**

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41632	SOA Infrastructure Contractual	Contractual expenses related to SOA Infrastructure IAPD	Direct to SOA Infrastructure IAPD
41633	MAPIR – Multi State CORE - Contractual	Vermont share of contractual expenses associated with the Medical Assistance Provider Incentive Repository Program	Direct to Multi State IAPD
41634	MMIS- IV&V Contractual	Contractor expenses for the work that is related to the Independent Verification and Validation efforts associated with the new MES	Direct to MMIS PAPD
41636	MAPIR - EHR Incentive Payments	EHR Incentive Payments to providers	Direct to SMHP HIT IAPD
41637	MAPIR - State Customization - Contractual Costs	Contractor expenses - State Customization - contract associated with the Medical Assistance Provider Incentive Repository Program -	Direct to SMHP HIT IAPD
41638	MAPIR - State Customization - Staff Costs	Conversations of work associated with the Medical Assistance Provider Incentive Repository Program	Direct to SMHP HIT IAPD
41639	ICD-10 - Contractual Costs	Contractor expenses - associated with the ICD-10 planning	Direct to ICD-10 IAPD
41640	ICD-10 - Staff Costs	Conversations of work associated with the ICD-10 planning	Direct to ICD-10 IAPD
41642	MES – DDI Staff	Staff conversations or work associated with the development of the MES	Per approved Direct to MES IAPD
41692	HCR/HIT - Contracts	Compuware, Bi-state and any other “non-base” HCR expense	Bi-Annual % of State population Eligible for Medicaid to GC Admin remainder to MCO. Staff in this Program also code direct code time as appropriate to other Program codes.
41693	HIT: Implementation and Operation- Staff	Cost of In-house Activities associated with HIT: Implementation and Operation	Direct to SMHP-HIT IAPD
41694	HIT: Implementation and Operation- Contractors	Cost of Contractor work associated with HIT: Implementation and Operation	Direct to SMHP-HIT IAPD
41695	HIT Incentive Payments	HIT Incentive Payments for Eligible Hospitals	Direct to SMHP-HIT IAPD

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department 2 (Continued):

Administrative Costs (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
37711	Dual Eligible Program	Costs associated with dual eligible program	Direct to Duals Grant
41696	OCIIO - ACA Exchange	Salary, operating and contractual costs related to OCIIO exchange project implementation	Direct to OCIIO Exchange grant

Cost Allocation Plan Guidance:

Plan Department	References
41050, 41051, 41073, 41074, 41085, 41090, 41120, 41470, 41486, 41487, 41488, 41489, 41490, 41491, 41492, 41493, 41495, 41496, 41497, 41499, 41520, 41607, 41608, 41609, 41612, 41613, 41614, 41616, 41617, 41618, 41619, 41622, 41623, 41624, 41626, 41627, 41628, 41629, 41632, 41633, 41634, 41636, 41637, 41638, 41639, 41640, 41642, 41629, 41693, 41694, 41695, 37711, 41696	OMB A-87, Attachment A, Section C 1(a) & Attachment B, Section 11 (a) and (b)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 3:

Programmatic Costs

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for other programmatic costs associated with DVHA programs not including, salary, benefits, travel and medical services contracts.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41601	Medicaid	Programmatic Costs	Actual Charges Global Commitment, Choices Care, CHIP, Other Benefiting Programs (41601.115) Direct Choices Care (.116) Direct MCO Investments (.117) Direct to Non-Waiver (.118)
41602	CHIP Payments	Programmatic Costs	Direct to CHIP
41603	Civil Union	Programmatic Costs	Direct to MCO Investments
41605	State-Only Pharmacy	Programmatic Costs	Direct to State Funds
41610	HIV/INS	Programmatic Costs	Direct to MCO Investments
41615	Buy-in Part A	Programmatic Costs	Actual Charges Incurred Global Commitment, Choices Care, CHIP, Other Benefiting Programs (.115) Direct Choices Care (.116)
41620	Refugee Program	Programmatic Costs	Direct to RMA grant
41625	Vermont Legal Aid MAP	Programmatic Costs	Direct to Global Commitment
41631	GEARWAR	Programmatic Costs	Actual Charges for Global Commitment, Choices for Care, CHIP and Other Benefiting Programs
41641	Buy-in Part B	Programmatic Costs	Actual Charges for Global Commitment, Choices Care (including ARRA funding), CHIP and Other Benefiting Programs (41641.115) Direct Choices for Care (.116) Direct to Non-Waiver (.118)
46405	Medicare Clawback	Programmatic Costs	Direct to State Funds
41645	DSH	Programmatic Costs	Direct to DSH Grant

Cost Allocation Plan Guidance

Plan Department	References
41601, 41602, 41603, 41605, 41610, 41615, 41620, 41625, 41631, 41641, 46405, 41645	OMB A-87, Attachment A, Section C 1(a) & Attachment B, Section 11 (a) and (b)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Vermont Department of Health (VDH)

I. Introduction

The Vermont Department of Health (VDH) has eight divisions within three appropriations, as follows:

Administration appropriation

- Administration division

Public Health appropriation

- Public Health Preparedness
- Health Surveillance
- Maternal and Child Health
- Health Promotion and Disease Prevention
- Office of Local Health
- Medical Practice Board

Alcohol and Drug Abuse Programs appropriation

- Alcohol and Drug Abuse Programs division

The Department of Mental Health (DMH) was a division within the Department of Health for two years prior to July 1, 2007 when it became a separate Department. Certain support services for the Department of Mental Health, such as budget, grants administration, accounts payable services, facilities support and information technology services, continued to be provided by staff of the Department of Health. On July 1, 2009, certain staff was transferred from VDH to DMH in order to give DMH the capacity to provide its own budgeting and statistical services. As of December 31, 2009, VDH only provided IT support for DMH, as DMH moved to Waterbury. Accordingly, this Plan continues to provide a mechanism (Program Code 39002) for VDH IT staff costs to be allocated to DMH programs as appropriate.

The VDH Blueprint for Health was transferred to the Department of Vermont Health Access effective July 1, 2010. Department of Health District Office employees in the Office of Local Health continue to perform functions related to the Blueprint program.

The VDH mission statement is as follows:

We will have the nation's premier system of public health, enabling Vermonters to lead healthy lives in healthy communities.

- We will lead our state and communities in the development of systematic approaches to health promotion, safety and disease prevention.
- We will continuously assess, vigorously pursue and document measurable improvements to the health and safety of Vermont's population.
- We will succeed through excellence in individual achievement, organizational competence and teamwork within and outside of the Department of Health.

Within this document we have included an overview of the VDH organizational structure and a list of the specific functions performed by VDH, referred to as plan departments or cost pools, and the allocation method for each function. Please note that the plan department numbers correspond to internal AHS program codes from the state accounting system.

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II. Organization

VDH is organized into nine divisions, as listed above. For the implementation of Global Commitment, VDH activities are based on an Inter-Agency Agreement with OVHA. OVHA is the Public Managed Care Organization (Public MCO) for all enrollees under the Global Commitment to Health Waiver. The AHS, as the Single State Agency, will provide oversight of the OVHA in that capacity.

Administration:

The Administration appropriation includes:

- The Commissioner's Office;
- Health Planning
- Information Technology Services;
- Business Office;

The Commissioner's Office provides policy direction and guidance to staff and programs of the Department. In addition, the Office keeps the public informed of issues that may affect their health and wellbeing through press releases, press conferences and responding to multiple daily press inquiries seeking information related to matters affecting public health. Information on community health is made available to the public through the periodic release of the *Health Status Report* and through establishing broad health goals such as are included in *Healthy Vermonters 2010*.

Health planning provides grants to support health systems improvements throughout the state as well as strategic planning for the VDH activities.

Information technology services maintain a 500+ user network serving 15 sites statewide. Staff develops, support and maintain a large number of custom software applications serving department programs. The unit supports a key national public health initiative to develop national electronic disease surveillance and reporting system.

The business office provides financial and logistics support for all department operations, including budget and grants management services. Budget and grants management staff manages the department's overall budget and spending. The unit manages the budgets for the nearly 100 federal and foundation funding sources that make up two thirds of the department's budget.

Public Health Preparedness:

This division includes

- Public Health Preparedness Administration
- Emergency Preparedness
- Emergency Medical Services
- Radiological Health

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Health Surveillance:

The Health Surveillance division includes:

- Public Health Laboratory – performs laboratory tests annually to identify infectious disease toxins or contaminants in air, food, water and clinical samples;
- Epidemiology – investigates and monitors reportable diseases and operates programs that provide service and prevention for sexually transmitted disease, HIV/AIDS, hepatitis and tuberculosis;
- Immunizations – provides vaccine to children and adults, assures adherence to vaccination procedures and policies;
- Chronic Disease – investigates and monitors chronic disease and operates screening programs for breast and cervical cancer and other conditions;
- Public Health Statistics – provides statistical and analytical support to all department programs, maintains and analyzes vital records, conducts health surveys and operates the Vermont Cancer Registry.
- The Office of the Chief Medical Examiner – performs autopsies and investigates unexpected deaths from violence, injury, suicide, drugs or other circumstances;
- Food and Lodging Services – inspects and licenses all restaurants, hotels, motels, inns, delis, bakeries, school food service facilities, summer camps and fairs to prevent food borne illness;
- Environmental Health Programs – evaluates the potential risks to health posed by contaminants in housing, food, water and air.
- Risk Assessment - Performs toxicological and risk assessment analyses, operates asbestos certification program, childhood lead poisoning prevention program, school indoor air program, coordinates development of state asthma plan and enforces the Clean Indoor Air Act.

Maternal and Child Health

- Maternal and Child Health – administers the Maternal and Child Health federal block grant and monitors and works to improve the system of health care for women, children and families, including the work through the School Based Medicaid Administration Claiming (MAC) and the Vermont Child Health Improvement Project at the University of Vermont;
- Children with Special Health Needs – provides and/or assures that health care and support services are available to children (0-21) who have complex health problems and to their families;
- WIC – federally funded program to enhance the health of infants, young children, pregnant women and new mothers.

Health Promotion and Disease Prevention (HPDP)

- HPDP Administration
- Tobacco Control
- Health Promotion
- Dental Health

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Office of Local Health (OLH)

- District Offices Around the State – provide the essential health promotion and disease prevention services necessary for an effective public health system. It is through these district offices that most Health Department programs reach the people of Vermont, including
 - Healthy Babies, Kids & Families – health care and support services through pregnancy and delivery and for infants and children from birth to 5 years;
 - WIC – federally funded program to enhance the health of infants, young children, pregnant women and new mothers;
 - EPSDT – consists of two main components: (1) assuring the availability and accessibility of required health care resources; and (2) helping Global Commitment recipients and their parents or guardians effectively use these resources;

Board of Medical Practice:

The Board of Medical Practice licenses physicians, podiatrists and physician assistants. The Board investigates all complaints and charges of unprofessional conduct against any person subject to its jurisdiction. The Board is also required by law to create individual profiles on all health care professionals licensed, certified or registered by the department and make these profiles available to the public.

Alcohol & Drug Abuse Programs:

The Alcohol and Drug Abuse Program appropriation funds:

- Alcohol and Drug Research and Planning – conducts surveys and analysis to determine the prevalence of substance abuse and treatment needs of communities. Conducts program and fiscal monitoring data analyses and evaluations of key ADAP prevention, intervention and treatment services to ensure their accessibility, appropriateness, quality and cost effectiveness. Manages and monitors federal data grants and SAPT block grant data reporting.
- Alcohol and Drug Abuse Treatment – manages and provides quality assurance for the state's substance abuse treatment system including monitoring programs and licensing of substance abuse counselors. Operates the Drinking Driver Rehabilitation (CRASH) program. Manages student assistance programs. Funds treatment services for VHAP and uninsured clients. Manages and monitors Global Commitment funding of treatment providers.
- Alcohol and Drug Prevention – collaborates with the Department of Education to help schools and colleges develop comprehensive alcohol and drug abuse prevention programs. Provides funding for prevention programs through grants to schools and community agencies and monitors implementation and results.

Cost Impact:

All changes in the Cost Allocation Plan are identified in red. The cost impacts, by category, are as follows.

Category 1 – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Description	Allocation Method	Explanation
39230	Immunization ACA	All costs associated with a project to enhance immunization information exchange between health care and public health systems.	Direct to Immunization ACA	New federal grant award that needs to be tracked separately, Federal Grant Award #1H23IP000544-01 CFDA#93.539
39634	Tobacco Control Supplement - CDC	All costs associated with Tobacco Control Supplement funded activities	Direct to Tobacco Control Supplement - CDC	New federal grant award that needs to be tracked separately, Federal Grant Award #3U58DP001994-03W1
39635	Community Transformation	All costs associated with the implementation of the Community Transformation Grant to strengthen local public health infrastructure in rural areas of Vermont	Direct to Community Transformation	New federal grant award that needs to be tracked separately, Federal Grant Award #1U58DP003650-01
39636	Chronic Disease Coordination	All costs associated with the implementation of the Chronic Disease Coordination Grant to strengthen existing chronic disease prevention programs including public health and health surveillance efforts	Direct to Chronic Disease Coordination	New federal grant award that needs to be tracked separately, Federal Grant Award #3U58DP001994-03W2
39739	BF Performance Bonus	All costs associated with activities related to the FNS breastfeeding performance bonus.	Direct to BF Performance Bonus	New federal grant award that needs to be tracked separately, Federal Grant Award #4VT700746 CFDA#10.578
39871	Data Collection State Epidemiological Outcomes Workgroup (SEOW) Program	All Costs associated with the Federally sponsored Data Collection staffing and operating expenses for the State Epidemiological Outcomes Workgroup (SEOW) Program	Direct to State Epidemiological Outcomes Workgroup (SEOW) Program	New contract from Synectics for Management Decisions, Inc. to support a behavioral health data collection and reporting initiative for SAMHSA.

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Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

Plan Dept.	Plan Dept. Name	Description	Allocation Method	Explanation
39231	Immunization Pilot	All costs associated with Staff time, operating and other costs-including vaccine costs- in support of immunizations for people with insurance coverage.	Direct to Immunization Pilot	To report expenditures financed by payments to the State from private health insurance companies.
39569	Fluoridation	Costs associated with school and community fluoridation, promotion, systems management time spent preparing contracts and correspondence.	Direct to PHHS Block Grant – Direct to Fluoridation (as an MCO Investment)	Changing Allocation Method to account for change to Global Commitment MCO Investment.

Category 4 – Program codes being moved from one Plan Department category to another with no changes in funding.

Category 5 – Updates in this category do have an adverse affect on federal funding.

Category 6 – Updates in narrative wording only with no change in actual allocation method.

Category 7 – Deleted program. Program has expired or been terminated.

Plan Dept.	Plan Dept. Name	Description	Allocation Method	Explanation
39172	PHER H1N1 DELETED	Costs associated with the Department's efforts to prepare for and respond to an H1N1 influenza pandemic under the Public Health Emergency Response (PHER) program.	Direct to PHER H1N1.	Project Completed
39173	H1N1 Implementation DELETED	Costs associated with the Department's efforts to prepare for and respond to an H1N1 influenza pandemic under the Public Health Emergency Response (PHER) program.	Direct to H1N1 Implementation	Project Completed

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III. Plan Departments and Allocation Methodologies

Plan Department 1:

Indirect Cost Allocations

Nature and Extent of Services: The State of Vermont, Agency of Human Services negotiates the annual State Wide Indirect Cost Allocation Plan (SWICAP) with the Federal Government. The approved statewide indirect costs applicable to VDH are included in the CAP and allocated to the appropriate benefiting objectives. VDH also receives allocations from the AHS Office of the Secretary.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
1000.1	SWICAP	VDH Allocation of Statewide Indirect Costs	Relative Share of Department Salaries
1000.2	State Auditor's Office – Financial Statement & Internal Control Costs	VDH Allocation of Statewide Single Audit Indirect Costs	Relative Share of Department Salaries
1000.3	Attorney General's Office	VDH Allocation of Attorney General's Office Costs	Relative Share of Department Salaries
1000.4	AHS Secretary's Office	VDH Allocation of AHS Secretary's Office	Relative Share of Department Salaries
1000.5	AHS Field Services	VDH Allocation of the costs of AHS Field Services	Relative Share of Department Salaries
1000.6	AHS Information Technology	VDH Allocation of AHS I.T. costs	Relative Share of Department Salaries

Cost Allocation Plan Guidance:

Plan Department	References
SWICAP, Single State Audit, and AHS	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 2:

Administration

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for costs associated with the Commissioner’s Office; Information Technology Services; Health Planning; and the Business Office. Certain of these costs (39002, 39003) are allocated to the Department of Mental Health as well as VDH because DMH are supported by these VDH Administration activities. In addition to these allocated costs, there are program codes (Plan Dept. codes) that were formerly listed under the VDH Mental Health or Vermont State Hospital which are now included here because there will continue to be direct charges to these programs from VDH (42005, 42014, 42015, 42755). They are not identified as new codes because they had been included in the VDH Plan prior to this reorganization. It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes. For editorial convenience, certain codes that were previously listed under the VDH Mental Health Division are now shown in this Administration section even though the actual costs may originate in other divisions.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39001	Administration-Departmental	Costs associated with overall management of VDH including: legal services, policy, development, planning, public affairs, administrative support, and financial management and Board of Health activities.	Relative Share of Department Salaries
39002	Administration- Health Departmental and Mental Health Department less VSH	Costs of administrative support for both the Department of Health and the Mental Health Department exclusive of the Vermont State Hospital. These activities include administrative support, applications development, financial management and other support costs that benefit the entire Health Department and the Mental Health divisions of DMH, but not the Vermont State Hospital.	Relative Share of Salaries of the Departments of Mental Health and Health minus VSH.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39009	Administration-Leave Time	Time code for all staff paid for time not worked such as vacations, holidays, sick leave, personal time and compensatory time.	Relative Share of Department Salaries
39011	Public Health Training Center	Costs associated with VDH participation in the New England Public Health Workforce Development Alliance.	Direct to Public Health Training Center
39013	Corrections Dept Quality Oversight	Expenditures associated with Quality Oversight of the Corrections Department.	Direction to Corrections Dept Quality Oversight
39014	Duty Officer Time	Standby time and work time associated with assignment as Duty Officer outside of normal business hours.	Allocated 25% to Bioterrorism (99999.2) and 75% to Epidemiology (99999.91)
39016	Patient Safety Surveillance	All costs associated with activities related to patient safety surveillance and improvement system.	Direct to Patient Safety Surveillance
39023	Hospital Licensing	Expenses related to license applications, developing rules and monitoring compliance with same, issuance of licenses and other activities.	Direct to Hospital Licensing
39040	Area Health Education Center program support	Payments to provide support to Area Health Education Centers (AHEC) in order to improve Vermont's public health by establishing educational partnerships, supporting students and health professionals and engaging in community outreach and education.	Direct to MCO Investments.
39041	Federally Qualified Health Center and Look-Alike Funding	Payments associated with legislative funding for Federally Qualified Health Centers (FQHC) or for Federally Qualified Health Center look-alikes.	Direct to MCO Investments.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39042	Free Clinic Administrative Support	Payments to the Vermont coalition of clinics for the uninsured to provide outreach, enrollment, education, and care coordination to patients receiving services at any of the free clinics.	Direct to MCO Investments.
39043	Tele-child psychiatry services	Payments associated with tele-child psychiatry patient consultation services and tele-education in the area of assessment, treatment, and referral of children with emotional or behavioral problems who are seen in federally qualified health centers.	Direct to MCO Investments.
39044	Prescription Drug Education	Payments to support an evidence-based prescription drug education program, including Academic Detailing teams, for health care professionals.	Direct to MCO Investments.
39045	Primary Care ARRA	Payments to sub recipients of Federal ARRA funds received under the ARRA Primary Care grant from the Health Resources and Services Administration	Direct to Primary Care ARRA
39046	Public Health Infrastructure	All costs associated with a project funded through the Affordable Care Act, to build public health infrastructure and improve the delivery of public health services	Direct to Public Health Infrastructure
41618	CMS-IAPD-Infrastructure	SOA Infrastructure Components	Direct to CMS-IAPD-Infrastructure
41635	Medicare Part D Transition	Overtime and travel costs associated with assistance to OVHA during the transition of Part D back to CMS.	Direct to Medicare Part D Transition
42005	Data Infrastructure		Direct to Data Collection Contract

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
42755	CoSIG - Co-occurring disorders State Incentive Grant	The costs of all activities associated with a State Incentive Grant from SAMSHA to support the development of integrated services for individuals with co-occurring substance abuse and mental health disorders.	Direct to CoSIG
42756	Alternatives to Restraint and Seclusion Grant	The costs of all activities associated with a grant from SAMSHA to implement alternatives to the use of restraint and seclusion in institutional and community-based settings that provide mental health services.	Direct to Alternatives to Restraint Grant
39539	Vermont Loan Repayment	Costs associated with grants to support educational loan repayment to health care professionals.	Direct to Vermont Loan Repayment MCO Investments
39532	Rural Health Office	Costs associated with activities related to the establishment and operation of a State Office of Rural Health.	Direct to Rural Health Office
39534	Rural Hospital Flexibility Program	Costs associated with the activities under the ongoing Rural Hospital Flexibility Program grant from HRSA to help stabilize rural hospitals and improve access to health services in rural communities.	Direct to Rural Hospital Flexibility Program Grant
39539	Vermont Loan Repayment	Costs associated with grants to support educational loan repayment to health care professionals.	Direct to Vermont Loan Repayment MCO Investments

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39541	Small Hospital Improvement	Costs associated with a project to assist small hospitals in implementing prospective payments systems, improving quality and complying with certain provisions of the Health Insurance Portability and Accountability Act (HIPAA).	Direct to Small Hospital Improvement
39530	Primary Care	Costs related to Primary Care Cooperative Agreement, including personnel, operating expenses and grants.	Direct to Primary Care
39531	CHAMPPS (Coordinated Healthy Activity, Motivation, and Prevention Programs)	Grants payments to community organizations to support the Coordinated Healthy Activity, Motivation and Prevention Programs (CHAMPPS)	Direct to CHAMPPS (Global Commitment MCO Investment)
39523	Poison Control and Surveillance Activities	Activities associated with poison control and surveillance, including services currently provided by the Northern New England Poison Center, other than Real Time Disease Detection activities.	Direct to Poison Control
39769	VDH/UVM College of Medicine Collaborative	Costs associated with any grants that fall under the umbrella of the VDH/UVM College of Medicine Memorandum of Understanding including the AHEC agreement but excluding the VCHIP agreement.	Direct to VDH/UVM

Cost Allocation Plan Guidance:

Plan Department	References
39001 39009 39011 39012	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

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Plan Department 3:

Public Health Preparedness:

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for costs primarily associated with the following programs: Public Health Preparedness Administration, Emergency Preparedness, Emergency Medical Services, and Radiological Health. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39101	Office of Public Health Preparedness Administration	Staff time and operating costs associated with overall administration of the Office of Public Health Preparedness.	Relative Share of Office of Public Health Preparedness Salaries.
39109	Office of Public Health Preparedness Leave Time	Time code for time not worked, such as vacations, holidays, sick leave, personal leave, and compensatory time.	Relative Share of Office of Public Health Preparedness Salaries.
39132	Potassium Iodide Distribution	All staff time and other costs associated with distribution of potassium iodide.	Direct to VRERP
39166	Bioterrorism Preparedness Information "F"	Cost associated with the activities to develop the capacity in Vermont for disseminating information to the public in events of bioterrorism or other public health emergencies, including planning, assessment and evaluation of these communication resources. (Focus Area "F" of the Bioterrorism Preparedness Grant.)	Direct to Bioterrorism Preparedness Grant
39171	CRI – Cities Readiness Initiative	All costs associated with the Cities Readiness Initiative component of the Bioterrorism and Public Health Preparedness program.	Direct to Bioterrorism Preparedness Grant
39172	PHER H1N1 DELETED	Costs associated with the Department's efforts to prepare for and respond to an H1N1 influenza pandemic under the Public Health Emergency Response (PHER) program.	Direct to PHER H1N1.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39173	H1N1 Implementation DELETED	Costs associated with the Department's efforts to prepare for and respond to an H1N1 influenza pandemic under the Public Health Emergency Response (PHER) program.	Direct to H1N1 Implementation
39181	EMS Program Services	Cost associated with statewide developmental and administrative activities including complaint investigation and technical consultation to services, hospitals and communities. Does not cover any costs associated with licensing, certification or with direct provision of patient services such as vehicles, equipment, training or provider personnel.	Direct to EMS
39182	EMS Licensing	Staff time and other costs associated with the quality assurance functions performed by the Vermont Department of Health necessary to credential EMS personnel, vehicles and organizations. Activities related to regulation: licensing, ambulances, testing, certification, complaint investigation and training for either certification or re-certification.	Direct to EMS
39183	EMS for Children	Staff time, contracts and other payments for the EMS for Children project.	Direct to EMS for Children
39184	EMS – Highway Safety	Costs associated with the EMS Highway Safety Program.	Direct to EMS Highway Safety

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39186	Automated External Defibrillator Purchase	Costs associated with a project to provide automated external defibrillators and training in their use to emergency responders throughout Vermont.	Direct to EMS Program Services
39187	EMS Trauma Plan	Costs associated with a project to develop a new State Emergency Medical Service plan, including a Trauma Care System Plan.	Direct to EMS Trauma Plan
39188	SIREN	All costs associated with the development, implementation and ongoing maintenance of a Statewide Incident Reporting Network (SIREN) for Emergency Medical Services.	Direct to PHHS Block Grant.
39189	Siren MOU with DPS	All costs associated with the SIREN project funded by the VT Dept. of Public Safety	Direct to Siren MOU with DPS
39210	Radiation Inspections	Costs associated with on site evaluation of medical/dental x-ray equipment functions, radiation shielding and exposure to employees, patients and general public. Maintaining and updating registration program for all x-ray equipment in the state. Conducting all other types of radiation evaluations.	Direct to Radiation Inspections
39211	Mammography X-Ray Unit Inspection	Costs associated with radiation safety inspection of mammography x-ray equipment per the current agreement with the Food and Drug Administration.	Direct to Mammography X-ray Unit Inspection

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39212	Emergency Response Plan	Costs associated with activities related to implementation of the VRERP, including participation in emergency response drills, exercises and updating the plan.	Direct to VRERP
39213	Environmental Radiation Surveillance	Costs associated with sampling and evaluation of ambient air, water, milk, vegetation and similar media around Vermont Yankee and Yankee Atomic nuclear power plants and technical advice on radioactive waste.	Direct to VRERP
39214	VRERP Training		Direct to VRERP
39215	Tritium Leak 2010	All costs associated with the investigation of elevated tritium levels from Vermont Yankee	Direct to Tritium Leak 2010
39538	Hospital Bioterrorism Preparedness	Costs associated with a program to support hospitals and other health care entities in preparing for a bioterrorist or other disease emergency.	Direct to Hospital Bioterrorism Preparedness
39543	Hospital H1N1 Preparedness	All costs associated with a program to support hospitals and other health care entities in preparing for an H1N1 influenza pandemic.	Direct to Hospital H1N1

Cost Allocation Plan Guidance:

Plan Department	References
39101 39109	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Department 4:

Health Surveillance

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for costs associated the Public Health Laboratory; Epidemiology; Immunization; Chronic Disease; Public Health Statistics; Office of the Chief Medical Examiner; Food and Lodging Services; Environmental Health Programs; and Risk Assessment. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39301	Health Surveillance Administration	Staff time and operating costs associated with overall administration of the Health Surveillance Division	Relative Share of Health Surveillance Program Salaries
39309	Health Surveillance – Leave Time	Time code for all staff paid for time not worked such as vacations, holidays, sick leave, personal time and compensatory time.	Relative Share of Health Surveillance Program Salaries
39119	Lead Investigation	Staff time and other costs associated with investigating sources of exposure for severely lead poisoned children.	Direct to Lead Investigation
39120	Healthy Homes & Lead Poisoning Prevention	All costs associated with the Healthy Homes and Lead Poisoning Prevention Program. Follow-up activities for Global Commitment-eligible clients are coded to EPSDT Administration functions.	Direct to Healthy Homes
39121	EPA Lead Certification Project	Costs associated with establishing an EPA-authorized Lead Model Plan, including an equity project, processing of certification applications, public outreach, and enhanced tracking programs.	Direct to EPA Lead Certification Project

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39122	Act 125 Activities	Costs associated with carrying out the enforcement activities related to Act 125: An Act to Prevent Lead Poisoning in Children in Rental Housing and Child Care Facilities	Direct to Childhood Lead Poisoning Prevention
39123	Lead Safe Housing Education Contract	Cost of all activities under contract with Vermont Housing and Conservation Board to provide educational and informational services related to lead safe housing.	Direct to Lead Safe Housing
39124	Lead Hazard Awareness Campaign	Costs associated with home visits, on the subject of lead poisoning prevention, made prior to the start of VHCB lead abatement services.	Direct to Lead Hazard Awareness Campaign
39125	Water Supply Program Support	Costs associated with activities which support the public water supply program administered by the Department of Environmental Conservation.	Direct to Water Supply Program Support
39126	Private Water Supplies	Costs associated with providing information and assistance to the public regarding the quality of private water supplies other than the costs of laboratory analysis of water samples.	Direct to Private Water Supplies
39127	Asbestos Certification, Notification and Technical Assistance	Costs of activities associated with certification of asbestos removal contractors, site inspections and technical assistance.	Direct to Asbestos Certification, Notification and Technical Assistance
39128	Asbestos in Schools	Costs associated with conducting inspections of schools and providing technical assistance to schools for compliance with AHERA.	Direct to Asbestos in Schools

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39129	Health Officer Assistance	Costs associated with any work dealing with Health Officers or local health issues.	Direct to Health Officer Assistance
39130	Terrorism Task Force	Costs associated with activities undertaken to support the State's Terrorism Task Force, including consequence management planning, exercise and training activities.	Direct to Bioterrorism Preparedness Grant
39131	School Environmental Health Plans	Costs associated with a project to assist schools in the development of environmental health plans addressing problems of indoor air quality.	Direct to School Environmental Health Plans
39132	Potassium Iodide Distribution	All staff time and other costs associated with distribution of potassium iodide.	Direct to VRERP
39133	North Clarendon Toxicology Assessment	Costs associated with the investigation, risk assessment and remediation of potential health issues in the North Clarendon area.	TBD (no costs included in current CAP)
39134	Asbestos, Lead Compliance and Inspection	Costs associated with the asbestos and lead compliance and inspection programs.	Direct to Asbestos, Lead Compliance and Inspection
39136	ABLES	All costs and receipts associated with the Adult Blood Lead epidemiology Surveillance Program from CDC.	Direct to ABLES
39137	Environmental Public Health Tracking Program	All costs associated with the establishment and maintenance of a tracking network to obtain integrated health and environmental data in support of actions that improve the health of communities.	Direct to Environmental Public Health Tracking

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39151	Food and Lodging – Surveillance, Technical Assistance and Licensing	Cost associated with the inspection of food and lodging establishments. Includes paperwork associated with issuing licenses to establishments, change of owner and new establishment inspections. Also includes formal and informal review of plans and blueprints.	Direct to Food and Lodging
39152	Food and Lodging – Administration and Program Development	Cost associated with planning, goal setting, paperwork, staff meetings, supervision, general correspondence, budget, public meetings, formal training of others, attending workshops, conferences, etc.	Direct to Food & Lodging
39153	Food and Lodging – Data Management	Cost associated with computer time, data entry, programming, work with programmer and statistician, data retrieval, etc.	Direct to Food & Lodging
39161	Medical Examiners Program	Expenses incurred in performing autopsies and maintaining the Office of the Chief Medical Examiner.	Direct to Medical Examiner
39162	Medical Examiner – Medico legal Consultation/Education	Expenses incurred for the following: lawyers, conferences, expert testimony and lectures for hospitals, schools and emergency medical services.	Direct to Medical Examiner
39163	Regional Medical Examiner Payments	Payments to Regional Medical Examiners for services provided.	Direct to Medical Examiner

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39164	Assistant Medical Examiner System	Cost associated with developing, implementing and maintaining the Assistant Medical Examiner system of death investigation, including all payments to Assistant Medical Examiners for services provided.	Direct to Medical Examiner
39165	DMORT Egyptian Airlines	Costs associated with the activities of the Office of the Chief Medical Examiner in coordinating New England DMORT activities related to Egyptian Airlines crash near Nantucket in October 1999.	Direct to Medical Examiner
39166	Bioterrorism Preparedness Information "F"	Cost associated with the activities to develop the capacity in Vermont for disseminating information to the public in events of bioterrorism or other public health emergencies, including planning, assessment and evaluation of these communication resources. (Focus Area "F" of the Bioterrorism Preparedness Grant.)	Direct to Bioterrorism Preparedness Grant
39167	Cremation Permits	All receipts and disbursements of cremation permit fees from funeral homes, etc. to assistant medical examiners.	Direct to Medical Examiner
39168	CME – Coverdell MOU	All costs related to the OCME program funded with Coverdell funds from the VT Dept. of Public Safety	Direct to CME – Coverdell MOU
39230	Immunization ACA	All costs associated with a project to enhance immunization information exchange between health care and public health systems.	Direct to Immunization ACA

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39231	Immunization Pilot	All costs associated with Staff time, operating and other costs-including vaccine costs- in support of immunizations for people with insurance coverage (including CHIP coverage).	Direct to Immunization Pilot
39260	Ganz Settlement Lead Dust Wipes	Purchase of lead dust wipes under the terms of the Ganz Settlement.	Direct to Ganz
39261	Mattell Settlement Tracking System	Purchase of an EMP Compliance Tracking System under the terms of the Mattell Settlement.	Direct to Mattell
39313	Vaccinations	Costs of administration of vaccines to individuals by nurses, except when these activities are included in a more specific cost center, for example, Rabies Control or Hepatitis B.	Direct to Vaccines
39314	Immunization Services	Staff time and expenditures for Immunization Services. This includes the preparation of doctors' orders for vaccines and the distribution of vaccines to local providers.	Direct to Immunization
39315	Immunization Action Plan	Costs associated with activities related to day care facilities and follow-up of non-Global Commitment eligible children that are associated with the Immunization Action Plan. Follow-up activities for Global Commitment eligible clients are coded to EPSDT Administration functions.	Direct to Immunization

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39316	Immunization Information System	Costs associated with the implementation and operation of an immunization information system, including the development of an information system infrastructure.	Direct to Immunization
39317	Epidemiology – General	Time and supplies used in day to day routine infectious disease epidemiology and disease control work, not otherwise funded under specific grants or programs.	Direct to Epidemiology
39318	Epidemiology – Outbreak Management	Costs associated with episodic outbreak control. Use specific control or investigation codes, if available.	Direct to Epidemiology
39320	Epidemiology and Laboratory Capacity Building	Costs associated with a project to enhance the department's capacity for infectious disease surveillance and response.	Direct to EPI and Lab Capacity
39322	Hepatitis B – State Employees	Costs for staff time and vaccine to immunize State employees, including vaccine administration, distribution, appointment-making and pre- and post-clinic activities.	Direct to Hepatitis B – State Employees
39323	Refugee Health	Costs associated with refugee health activities.	Direct to Refugee Health
39324	HIV Prevention	Costs associated with activities related to the HIV Prevention project, including health education and risk reduction, counseling and testing and public information.	Direct to HIV/Prevention Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39325	State-funded HIV Prevention Activities	Payments to service organizations using State funds appropriated for HIV Prevention activities.	Direct to AIDS Services Support
39326	HIV/AIDS Evaluation	Costs associated with supplemental funding for HIV/AIDS evaluation activities under the HIV Prevention grant.	Direct to HIV/Prevention Grant
39327	AIDS Surveillance	Costs associated with activities having to do with active surveillance for AIDS or HIV infection.	Direct to AIDS Surveillance
39328	AIDS Services Support	Expenditures to support AIDS services in Vermont which cannot be reported against a more specific cost center, such as AIDS education or HIV care.	Direct to AIDS Services Support
39329	HIV Care	Costs associated with the Ryan White (Title II) HIV Care project.	Direct to HIV Care
39330	AMAP Payments to EDS	Payments to EDS for their reimbursement on behalf of the AIDS Medication Assistance Program.	Direct to HIV Care
39331	Sexually Transmitted Diseases	Costs of the STD program, time, supplies, travel, etc., not to include AIDS.	Direct to Sexually Transmitted Diseases
39332	Tuberculosis Control	Cost related to the Tuberculosis Control Program, including staff time and operating expenses, except the costs of clinical services and medication provided to tuberculosis patients.	Direct to Tuberculosis Control
39333	TB Medical Services	Costs of clinical services and medication provided to tuberculosis patients in Vermont.	Direct to TB Medical Services
39334	Rabies Control	Staff time and other costs associated with prevention of rabies in humans and animals.	Direct to Rabies Control

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39336	Bioterrorism Preparedness Planning "A"	Costs associated with activities providing coordination and direction of the statewide effort to prepare for response to events of bioterrorism or other public health emergencies, including assessment and development of the necessary public health infrastructure and the development and exercise of a public health response plan. (Focus Area "A" of the Bioterrorism Preparedness program.)	Direct to Bioterrorism Preparedness Grant
39337	Health Alert Network "E"	Costs associated with the development and maintenance of a statewide Health Alert Network for bioterrorism preparedness and any other information technology services required to support the various focus areas of the bioterrorism preparedness program. (Focus Area "E" of the Bioterrorism Preparedness program.)	Direct to Bioterrorism Preparedness Grant
39338	Bioterrorism Surveillance "B"	Costs associated with developing and implementing improved methods for surveillance to detect a bioterrorist incident. (Focus Area "B" of the Bioterrorism Preparedness program.)	Direct to Bioterrorism Preparedness Grant
39339	Vermont HIV Prevention Community Planning Group	Costs associated with the statewide advisory planning group that provides guidance for allocation of the CDC prevention grant.	Direct to HIV/Prevention Grant
39343	Border Initiative		Direct to Bioterrorism Preparedness Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39344	Enhanced Immunization Program	Time, expenses and vaccine purchases associated with the Enhanced Immunization Program	Direct to Enhanced Immunization Program
39345	CSTE – Avian Flu Trainings	All costs associated with avian influenza rapid response trainings conducted under agreement with the Council of State and Territorial Epidemiologists.	Direct to CSTE Avian Flu
39347	Adult Viral Hepatitis	All activities associated with the prevention of adult viral hepatitis	Direct to Adult Viral Hepatitis
39348	ARRA Healthcare-Associated Infections	All costs associated with a project to prevent healthcare-associated infections (HAI) in Vermont	Direct to ARRA Healthcare-Associated Infections
39349	ACA Epi Lab Capacity	All costs associated with a project, funded through the Affordable Care Act, to build and strengthen the Department's epidemiology, laboratory and health information systems	Direct to ACA Epi Lab Capacity
39351	Epidemiology – Chronic Disease	Costs associated with supervising of performing activities related to chronic disease epidemiology.	Direct to Epidemiology
39352	Chronic Disease Epidemiologist	Salary and fringe costs of the position of Chronic Disease Epidemiologist.	Direct to Epidemiology
39353	Diabetes Services Improvement	Costs associated with a project to improve the capacity to provide comprehensive health services to people with diabetes.	Direct to Diabetes Services Improvement
39354	Arthritis	Costs associated with arthritis planning and epidemiology.	Direct to Arthritis
39355	Asthma	Costs associated with asthma planning and epidemiology.	Direct to Asthma

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39356	Cancer Registry	Costs associated with the Vermont Cancer Registry.	Direct to Cancer Registry
39381	Vital Registration	Costs associated with the registration, collection, preservation, amendment and certification of vital records and the processing and publication of vital statistics.	Direct to Vital Registration
39382	Vital Statistics	Costs associated with the analysis and dissemination of vital statistics.	Direct to Vital Statistics
39383	Vital/Special Requests	Staff time and other costs of responding to special requests for vital statistics.	Direct to Vital/Special Requests
39384	Research and Statistics	Costs associated with the activities related to the collection, editing, coding, key entry, processing, analysis and publication of health statistics. This cost center also includes the provision of consultative and statistical support services to various Health Department and Agency of Human Services Programs and the involvement in independent research projects, but excludes computer systems development and computer programming.	Direct to Research and Statistics
39385	Hospital Data Council/Utilization	Research staff time and related computer costs and any other costs associated with producing the inpatient monograph.	Direct to Hospital Data Council

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39386	Hospital Data Council/Hospital Utilization Companion Volume	Staff time and other costs associated with producing data and printing of the companion to the utilization monograph bulletin.	Direct to Hospital Data Council
39387	Hospital Data Council/Ambulatory Surgery Study	Staff time and other costs associated with producing data for this HDC contract.	Direct to Hospital Data Council
39388	Other Hospital Data Requests	Staff time and other costs associated with hospital data requests not attributable to a more specific cost center.	Direct to Other Hospital Data Requests
39390	Health Statistics Requests	Staff time and other costs of responding to requests for health statistics, not attributable to a more specific cost center.	Direct to Vital Statistics
39391	Population/Estimates	Costs associated with activities related to the production of the population estimates.	Direct to Population
39392	Population/Other	Staff time and other costs of responding to requests for information related to the population estimates.	Direct to Population
39393	Health Risk Survey	Staff time and other costs associated with the Health Risk Survey.	Direct to Health Risk Survey
39394	Behavioral Risk Factor Surveillance	Staff time and other costs associated with designing, administering and conducting the behavioral risk factor survey.	Direct to Behavioral Risk Factor Surveillance
39395	Pregnancy Risk Assessment Monitoring	Costs associated with planning, developing and implementing a pregnancy risk assessment monitoring system.	Direct to Pregnancy Risk Assessment Monitoring
39397	Electronic Death Registry System	All costs associated with the activities related to the creation of an electronic death registration system.	Direct to Electronic Death Registry System.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39398	Advanced Directives Registry	All costs associated with advanced directives registry.	Direct to Advanced Directives Registry: G.C. MCO Investment
39431	Laboratory Training Services	Costs and activities associated with providing courses, technical training or workshops to other than laboratory staff, excluding activities directly related to the Data Master.	Direct to Laboratory
39432	Laboratory Certification	Costs and activities associated with certification of other laboratories, except CLIA activities.	Direct to Laboratory
39433	Laboratory Customer Service	Costs and activities associated with customer services which do not fit into program areas.	Direct to Laboratory
39434	Laboratory Administration	Costs and activities associated with the overall administration of the laboratory which is not directly related to another functional area. This does not include training, meetings and other activities directly related to a specific program, but do include such activities when they are broader than a single function.	Direct to Laboratory
39450	Laboratory – Forensic Toxicology	Costs and activities associated with forensic toxicology testing and related activities, excluding blood alcohol testing.	Direct to Laboratory
39451	Laboratory – Water Bacteriology	Costs and activities associated with microbiological water testing.	Direct to Laboratory
39452	Laboratory – Drinking Water, Organic and VOC	Costs and activities associated with organic testing of drinking water related to VOC and THM (EPA method 524.2).	Direct to Laboratory

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39453	Laboratory – Drinking Water, Inorganic, Other	Costs and activities associated with organic drinking water testing except for VOC and THM.	Direct to Laboratory
39454	Laboratory – Inorganic Drinking Water, Metals	Costs and activities associated with inorganic testing of drinking water for metals.	Direct to Laboratory
39455	Laboratory – Inorganic Drinking Water, Other	Costs and activities associated with inorganic testing of drinking water except for metals and radiological testing.	Direct to Laboratory
39456	Laboratory – Miscellaneous Chemistry	Costs and activities associated with environmental lead, special projects and other chemistry work that is not described under other codes.	Direct to Laboratory
39460	Laboratory, Blood Alcohol	Costs and activities associated with the testing of blood for alcohol.	Direct to Laboratory
39461	Laboratory, Datamaster Support	Costs and activities associated with the Datamaster Program, except legal support. This includes training of others both within and outside the department.	Direct to Laboratory
39462	Laboratory, Alcohol Program, Legal	Costs and activities of a legal nature related to the alcohol program. This includes testimony, written and oral consultation, preparation of legal responses and documents, such as affidavits and discovery requests and preparation for these activities.	Direct to Laboratory
39470	Laboratory – Radiological, Water	Costs and activities associated with radiochemistry water testing.	Direct to Laboratory
39471	Laboratory – Radiological, Vermont Yankee	Costs and activities associated with Vermont Yankee surveillance.	Direct to Laboratory – Radiological, Vermont Yankee

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39472	Laboratory – Radiological, Other	Costs and activities associated with radiological testing except water and Vermont Yankee surveillance.	Direct to Laboratory
39480	CLIA	Staff time and other costs associated with CLIA.	Direct to CLIA
39481	Laboratory – Diagnostic Microbiology, Serology	Costs and activities associated with serology such as hepatitis, HIV, measles, mumps, rubella, syphilis and similar tests.	Direct to Laboratory
39482	Laboratory – Diagnostic Microbiology, Other	Costs and activities associated with parasitology and virology or other diagnostic microbiology excluding serology.	Direct to Laboratory
39483	Laboratory – Environmental Microbiology	Costs and activities associated with testing of food products or similar samples.	Direct to Laboratory
39484	Bioterrorism Laboratory Capacity “C”	Costs associated with enhancing laboratory capacity for diagnosis of biological bioterrorist agents and all costs associated with participation in the Laboratory Response Network (Focus Area “C” of the Bioterrorism Preparedness program.)	Direct to Bioterrorism Preparedness Grant
39485	Laboratory – Clinical Toxicology	Costs and activities associated with clinical toxicology, including blood lead testing.	Direct to Laboratory
39486	Dollar Tree Settlement Blood Lead Filter Paper	All costs associated with the testing of filter paper specimens for blood lead under the terms of the Dollar Tree Settlement.	Direct to Dollar Tree Settlement Blood Lead Filter Paper
39526	Chronic Disease Self-Management Program – ARRA	All costs with efforts to build state infrastructure to implement evidence-based chronic disease self-management programs	Direct to Chronic Disease Self-Management Program – ARRA

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39537	Minority Health	All costs associated with implementing the objectives of the Department's Minority Health Strategic Plan.	Direct to Minority Health
39544	Refugee Preventive Health	All costs associated with a set of preventive health services for refugees, funded under the new Refugee Preventive Health grant from the Administration for Children and Families	Direct to Refugee Preventive Health

Cost Allocation Plan Guidance:

Plan Department	References
39301 39309 39311	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

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Plan Department 5:

Maternal and Child Health

The following plan departments, descriptions, and allocation methodologies are costs associated with the Maternal and Child Health Program, School Based MAC, Children with Special Needs Program, WIC, and Family Planning. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

The Maternal and Child Health Division includes the School Based MAC Program code 39758. In a previous update to the Cost Allocation Plan (for the quarter beginning 7/1/2010), the Department submitted three documents describing the web-based random moment time study (RMTS) designed by the University of Massachusetts in cooperation with the Department. Subsequently, CMS Region I facilitated a telephone discussion of the time study procedures on February 3, 2011. Included in that telephone discussion were staff members from CMS Region I, CMS Central Office, the Vermont Agency of Human Services, the Vermont Department of Health and the University of Massachusetts. Based on the advice offered during and after that discussion, the documents were revised. After CMS review of these revised materials, we had another telephone conference with CMS on July 14, 2011. Based on this advice, the documents were further revised and supplemental information was provided, and all documents were submitted to CMS August 26, 2011. The material is currently under review by CMS. Per CMS advice of 6/20/2011, these documents will be formally submitted to DCA subsequent to their approval by CMS.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39701	Maternal and Child Health Administration	Staff time and operating costs associated with overall administration of the Maternal Child Health Division.	Relative Share of MCH Salaries.
39709	MCH/OLH Leave Time	Time code for time not worked such as vacations, holidays, sick leave, personal leave, and compensatory time.	Relative Share of MCH/OLH Salaries
39517	Sex Offense Prevention	Costs associated with activities concerned with sex offense prevention, education, training, printing, research, media, etc. Staff time for all above activities.	Direct to Sex Offense Prevention
39551	Family Planning – Title X	Costs associated with grants and contracts for the family planning program including staff activities to develop and monitor programs.	Direct to Family Planning Program

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39552	Family Planning – SSBG	Costs associated with grants and contracts for the family planning program.	Direct to Social Services Block Grant
39553	Family Planning	Costs associated with grants and contracts for the family planning program funded by General Funds.	Direct to Family Planning MCO Investments
39581	CSHN Administration	Payments for Children with Special Health Needs overall administration which are not attributable to a specific clinic service, including staff time, equipment, medical supplies, etc.	Direct to MCH Grant
39582	CSHN Payments to HP for Treatment Services	CSHN payments to HP for authorized therapies.	Direct to MCH Grant
39583	CSHN – Case Management	Staff positions and operating costs directly related to case management as defined in the SPRANS grant application.	Direct to MCH Grant
39584	CSHN – Orthopedic	Expenditures for pediatric congenital orthopedic conditions. Includes costs of children who are Vermont residents and are sent to other states for orthopedic care and children who receive care at University Orthopedics.	Direct to MCH Grant
39585	CSHN – Arthritis	Expenditures related to rheumatoid arthritis authorized through the Vermont Arthritis Clinic as well as those who go to the Dartmouth Hitchcock Medical Center.	Direct to MCH Grant
39586	CSHN – Myelo Clinic	Staff time, clinical costs and treatment costs related to children followed through CSHN Myelo Clinic.	Direct to MCH Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39587	CSHN – Hearing Impairment	Expenses directly related to the diagnosis and treatment of hearing impairment, including contractual services, hearing aids, etc.	Direct to MCH Grant
39588	HOP	Staff time and other costs related to the hearing screening program for infants and toddlers.	Direct to MCH Grant
39589	CSHN – Cleft Lip and Palate	Staff time, clinic costs and treatment costs directly related to cleft lip/palate or other facial anomaly, including dental care, orthodontics and speech therapy.	Direct to MCH Grant
39590	CSHN – Epilepsy	Costs directly related to the diagnosis and treatment of epilepsy and seizure control, including physician services and pharmacy.	Direct to MCH Grant
39591	CSHN – Metabolic	Staff time, clinical costs and treatment costs directly related to diagnosis and treatment of metabolic disorders.	Direct to MCH Grant
39592	CSHN – Cystic Fibrosis	Costs associated with the diagnosis and treatment of cystic fibrosis.	Direct to MCH Grant
39593	CSHN – Special Services	Costs associated with congenital conditions not covered by other, more specific, Handicapped Children Services programs.	Direct to MCH Grant
39594	Jamie Rosen Fund	Costs associated with the care of children within the guidelines of the Rosen Fund as authorized by the HCS Director.	Direct to Jamie Rosen Fund
39595	CSHN – Cardiac	Costs associated with a pediatric congenital heart condition.	Direct to MCH Grant
39596	Child Development Clinic	Costs associated with the Child Development Clinic.	Direct to MCH Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39597	ILEHP	Staff time and other costs associated with the Interdisciplinary Leadership Education for Health Professionals program.	Direct to MCH Grant
39598	NICU	Staff time and other costs related to services for children at the Newborn Intensive Care Unit.	Direct to MCH Grant
39599	Renal Disease	Payments made to the Vermont Kidney Association for Renal Patient Fund.	Direct to Renal Disease MCO Investments
39600	Part C	Costs associated with the implementation of an early intervention program for children aged 0-36 months.	Direct to Part C
39601	F.I.T. Payments for Global Commitment	Payments to EDS for Global Commitment reimbursement to regions.	Direct to Part C
39603	Early Hearing Detection and Intervention Grant	Costs associated with the Children's Hearing Intervention and Resources Project, the Early Detection and Intervention CDC Grant.	Direct to Early Hearing Detection and Intervention Grant
39605	CSHN Medical Home	Costs associated with the implementation of a MCHB grant to promote more comprehensive coordinated care for children with special health needs in the context of their primary care "medical home".	Direct to CSHN - Special Services
39606	Universal Newborn Hearing Screening	All costs associated with the activities authorized under a grant from HRSA to support a program of universal newborn hearing screening.	Direct to Universal Newborn Hearing Screening
39607	ILEHP Services	All costs associated with grant payments to UVM for ILEHP services for Global Commitment eligible children.	Direct to Global Commitment Administration

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39608	CYSHN Integration	All costs associated with a project to improve access to quality, comprehensive, coordinated community-based systems of services for children and youth with special health needs (CYSHN).	Direct to CYSHN.
39610	Autism	All costs associated with a project to improve services for children youth with Autism Spectrum Disorder and other developmental disabilities	Direct to Autism
39718	ARRA – WIC - Projects	All costs associated with two ARRA funded projects – EBT Fruit & Veggie Card implementation and WIC Management Information System replacement planning	Direct to ARRA –WIC - Projects
39721	WIC Supplemental Food	Costs of WIC food and formula paid directly to dairies and drug companies.	Direct to WIC Supplemental Food
39725	WIC General Administration	Costs (direct or indirect) generally considered being overhead or management costs. General management costs include those costs associated with program monitoring, prevention of fraud, general oversight and food instrument accountability. Examples include WIC administrative salaries/benefits and other costs necessary to conduct outreach, food instrument reconciliation, monitoring and payment, vendor monitoring, administrative record keeping and reporting.	Direct to WIC Admin

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39729	WIC Health Care Provider Collaboration	Costs associated with a project to develop and test a model delivering WIC services in collaboration with health care providers.	Direct to WIC Provider Collaboration
39730	WIC Loving Support	Costs associated with a project to use “Loving Support” materials to promote breastfeeding.	Direct to WIC Loving Support
39731	WIC Breastfeeding Peer Counselor Project	All costs associated with development and implementation of a WIC breastfeeding peer counselor demonstration project.	Direct to WIC Breastfeeding Peer Counselor Project
39735	WIC Infrastructure	All costs associated with projects to improve the general infrastructure of the WIC program as funded under the WIC Infrastructure grants.	Direct to WIC Infrastructure.
39737	WIC EBT Planning	All costs associated with grant-funded WIC EBT planning activities.	Direct to WIC EBT Planning.
39738	WIC Weight Gain	All costs associated with a behavioral weight management program for WIC prenatal women.	Direct to WIC Weight Gain.
39739	BF Performance Bonus	All costs associated with activities related to the FNS breastfeeding performance bonus.	Direct to BF Performance Bonus
39741	Maternal and Child Planning and Evaluation	Staff time, purchased supplies, equipment and services and other costs of MCH planning and evaluation.	Direct to MCH Grant
39742	MCH Primary Care Planning	Costs associated with activities related to the development of a comprehensive primary care system of services for children.	Direct to MCH - Primary Care Planning
39743	Newborn Screening	Staff and contract activity related to the Newborn Screening Program.	Direct to Newborn Screening MCO Investments

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39748	Abstinence Education	Costs associated with the provision of Abstinence Education.	Direct to Abstinence Education
39753	Healthy Babies Payments	Reimbursements for payments made to private providers in the Healthy Babies program.	Direct to Healthy Babies Payments
39754	Healthy Babies Payments for CHIP Recipients	Payments to EDS for Healthy Babies services provided to CHIP recipients.	Direct to Healthy Babies Payments for CHIP
39758	School Based MAC	Payments to schools of Federal Global Commitment funds to reimburse costs of the School Based MAC	Direct to Global Commitment Administration
39759	Vermont Child Health Improvement Project	Costs associated with this project, a joint effort between UVM, the Office of VT Health Access and the Vermont Department of Health.	VCHIP SPMP Distribution
39790	PREP-Personal Responsibility Education	All costs associated with a project to establish evidence-based comprehensive sexuality education for high risk and vulnerable youth in Vermont.	Direct to PREP-Personal Responsibility Education
39792	MCH Home Visiting	All costs associated with a project to establish an evidence-based nurse home visiting program for Vermont families with young children who are at risk.	Direct to MCH Home Visiting

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Plan Department 6:

Health Promotion and Disease Prevention

The following plan departments, descriptions, and allocation methodologies are costs associated with the Health Promotion and Disease Prevention division: Blueprint, Tobacco Control, Health Promotion, and Dental Health. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39501	HPDP Administration	Staff time and operating costs associated with overall administration of the Health Promotion and Disease Prevention Division.	Relative Share of HPDP Salaries.
39509	HPDP Leave Time	Time code for time not worked, such as vacations, holidays, sick leave, personal leave, and compensatory time.	Relative Share of HPDP Salaries.
39511	Health Promotion	Costs associated with activities that generally cover public health education and community organization (programs around exercise, nutrition, stress, smoking, etc.). Central office staff time.	Direct to PHHS Block Grant
39512	Education and Community Services	Costs associated with promotion, prevention and surveillance activities for communities or special populations.	Direct to PHHS Block Grant
39513	Conference Costs	Costs associated with conferences underwritten by the Department to be offset by conference fees or transfers.	Direct to Conference Costs
39518	Nutrition for Healthy Vermonters 2000	Costs associated with activities related to Healthy Vermonters 2000 Nutrition and Physical Activity objectives.	Direct to PHHS Block Grant
39519	Fit and Healthy Kids	Costs associated with the Fit & Healthy Kids program.	Direct to Fit and Healthy Kids MCO Investments

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39521	Obesity Prevention	Costs associated with a program for nutrition and physical activity to prevent obesity and other chronic diseases.	Direct to Obesity Prevention
39524	Healthy Communities	All costs associated with providing technical assistance and consultation to help communities develop and implement “Healthy Community” policy, systems, and environmental change strategies to address chronic disease prevention and health promotion.	Direct to Healthy Communities.
39525	Healthy Communities – ARRA	All costs associated with efforts to implement policy, environmental and system changes on a statewide level to address physical activity, nutrition and tobacco use	Direct to Healthy Communities – ARRA
39562	Dental Services – Homeless Health	Costs associated with activities for the Homeless Health Program including patient care (subcontractors) and program administration.	Direct to Dental Services - All Other Programs
39563	Dental Services Global Commitment Professional Medical	Costs associated with assessment, treatment plan review, travel and consultations for the Global Commitment program.	Direct to Global Commitment Administration
39564	Dental Services –Global Commitment Administration	Costs associated with claims processing for the Global Commitment program.	Direct to Global Commitment Administration

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39565	Dental Services – All Other Programs	Costs associated with General Assistance, SPOP, CWEP, WIN, Vocations Rehabilitation, Farm Family, Child Health Services, Headstart and Mental Health Programs, assessment, treatment plan review, claim processing, travel, meals, consultations meetings.	Direct to Dental Services – All Other Programs
39566	Dental Access Grants	Payments to dental providers, hospitals or schools to increase dental access to low income and Global Commitment recipients.	Direct to Global Commitment Administration
39567	Dental Health Education	Costs associated with education, assessment, referrals for treatment, parent notices, in-service training, scheduling, fluoride rinse program, travel, meals, consultation and meetings. (Schools, nursing homes, day care, etc.)	Direct to MCH Grant
39569	Fluoridation	Costs associated with school and community fluoridation, promotion, systems management time spent preparing contracts and correspondence.	Direct to PHHS Block Grant
39570	Fluoridation Systems Assistance	Operating and contractual expenses entailed in assisting community water systems to install and upgrade water fluoridation systems and to improve the operations of these systems.	Direct to Fluoridation Systems Assistance

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39573	Oral Health Access Assessment	Costs associated with an assessment of Vermont's oral health system and the development of a strategic plan to improve that system, especially for children.	Direct to Oral Health Initiative
39575	Dental Public Health in D.O.'s	All costs associated with public health dental hygienists in district offices.	Direct to Dental Public Health in D.O.'s (Global Commitment)
39576	Oral Disease Prevention Program	All costs associated with supporting efforts to address activities associated with the CDC State-Based Oral Disease Prevention Program	Direct to Oral Disease Prevention Program
39621	Tobacco Control	Costs associated with the Tobacco Prevention and Control project.	Direct to Tobacco Control
39623	Tobacco Control – Other	Costs associated with tobacco control program activities that are not funded by CDC, RWJ, ALF or State Settlement funds and are not eligible for Global Commitment reimbursement.	Direct to Tobacco Control – Other
39627	Tobacco Community Based	Costs associated with tobacco community based programs.	Direct to Tobacco Settlement
39628	Tobacco Counter marketing	Costs associated with tobacco counter marketing activities.	Direct to Tobacco Settlement
39629	Tobacco Cessation	Costs associated with tobacco cessation programs.	Direct to Tobacco Settlement
39630	Tobacco Statewide	Costs associated with tobacco statewide programs.	Direct to Tobacco Settlement
39631	Tobacco Surveillance and Evaluation	Costs associated with tobacco surveillance and evaluation programs.	Direct to Tobacco Settlement
39632	Legacy Foundation	Costs associated with a program for smoking cessation and prevention among young adults funded by the Legacy Foundation.	Direct to Legacy Foundation

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39633	Tobacco Quit-Lines – ARRA	All costs associated with efforts to enhance and expand tobacco cessation quit lines.	Direct to Tobacco Quit-Lines – ARRA
39634	Tobacco Control Supplement - CDC	All costs associated with Tobacco Control Supplement funded activities	Direct to Tobacco Control Supplement - CDC
39635	Community Transformation	All costs associated with the implementation of the Community Transformation Grant to strengthen local public health infrastructure in rural areas of Vermont	Direct to Community Transformation
39636	Chronic Disease Coordination	All costs associated with the implementation of the Chronic Disease Coordination Grant to strengthen existing chronic disease prevention programs including public health and health surveillance efforts	Direct to Chronic Disease Coordination
41499	MMIS-TA	Work that is related to Medicaid Management Information System (MMIS) Technical Assistance efforts	Direct to MMIS-TA

Plan Department	References
39501 39509	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

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Plan Department 7:

Office of Local Health

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are costs associated with the twelve District Offices around the State. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39702	Office of Local Health Administration	District Office (DO) staff time and other DO costs attributable to completion of administrative functions in support of VDH programs, including office-level planning and goal-setting (not related to a program); staff meetings (not program specific); supervision; general correspondence, paperwork, budget tasks and non-program-specific public meetings, trainings, workshops, and conferences, etc.	Relative Share of Department Salaries
39709	MCH/OLH Leave Time	Time code for time not worked such as vacations, holidays, sick leave, personal leave, and compensatory time.	Relative Share of MCH/OLH Salaries
39711	Clinic Activities – SPMP	Time of skilled medical personnel and other costs related to clinic services including intake, assessment, diet screening, nutrition education and food delivery administration. This cost center also includes activities performed by directly supporting staff providing functions supporting the activities above.	WIC Clinic Spreadsheet

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39712	Clinic Activities – Non-SPMP	Time of staff other than skilled professional medical personnel and other costs related to clinic services, including intake, assessment, diet screening, nutrition education and food delivery administration.	WIC Clinic Spreadsheet
39722	WIC Client Services	Costs expended to deliver food and other client services and benefits. Examples include: WIC staff salaries/benefits and medical supplies and equipment necessary to conduct diet and health assessments required in the certification process, salary/benefits of WIC staff that issue food instruments and explain their use. WIC staff salary/benefits and other costs necessary to refer clients to other health care and social services, to coordinate services with other programs, to participate in activities which promote a broader range of health and social services for participants and to conduct the impact of WIC on its participants.	Direct to WIC Admin
39723	WIC Nutrition Education	Costs associated with all WIC nutrition education activities.	Direct to WIC Admin
39724	WIC Breastfeeding Support	Time and operating expenses associated with promoting and supporting breastfeeding. May include group education, home visiting time, training, materials, travel and space rental.	Direct to WIC Admin

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39736	Breast Feeding Peer Counseling	Costs associated with the PHHS Block Grant for expanded activity of Breast Feeding in Franklin and Grand Isle Counties	Direct to PHHS Block Grant
39744	MCH – Pregnancy/Postpartum	Costs associated with Maternal and Child Health services benefiting women 22 years of age or over. This covers all costs including staff activities, purchases and grants.	Direct to MCH Grant
39745	MCH – Infants	Costs associated with Maternal and Child Health services benefiting infants up to 12 months of age. This covers all costs including staff activities, purchases, grants and contracts.	Direct to MCH Grant
39746	MCH – Children	Costs associated with Maternal and Child Health services benefiting children 1 to 21 years of age (except pregnant adolescents). This covers all costs including staff activities, purchases, grants and contracts.	Direct to MCH Grant
39747	MCH – Adolescent Pregnancy/Postpartum	Costs associated with Maternal and Child Health services benefiting pregnant women who are under 22 years of age. This covers all costs including staff activities, purchases, grants and contracts.	Direct to MCH Grant
39749	CHP – Maternal and Child Health	Costs associated with case management, counseling and health education, risk reduction intervention, home-based care and other supportive services for pregnant women and infants through age one for CHP commercial members.	Direct to CHP - Maternal and Child Health

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39750	Healthy Babies	Time spent in collaboration with DCF's Child Development Division and community-based partner agencies on behalf of Medicaid-eligible pregnant and post-partum women and children ages 0 through 5 years participating in the HBKF, plus allowable costs associated with this work. These tasks include participation in the design and review of HBKF or Children's Integrated Services policies, procedures, protocols, and forms.	Direct to Global Commitment Admin
39751	Healthy Babies – Non-SPMP	Time spent by Non-SPMP staff in collaboration with DCF's Child Development Division and community-based partner agencies on behalf of Medicaid-eligible pregnant and post-partum women and children ages 0 through 5 years participating in the HBKF, plus allowable costs associated with this work. Tasks include participation in the design and review of HBKF or Children's Integrated Services policies, procedures, protocols, and forms.	Direct to Global Commitment Administration

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39752	Global Commitment Skilled Nursing	Costs associated with visits to pregnant women and infants on Global Commitment which are authorized through the Healthy Babies Program for Level II and Level III individuals. Requires a signed physician's order and a plan of care. Includes time spent in: travel to site, face to face contact with client, documentation in the client record and case consultations with providers. Do not use this code for the assessment visit.	Direct to Skilled Nursing
39756	EPSDT Administration Functions 1	Costs associated with preliminary assessments in the home, comparing treatment to screening abnormalities previously found, determining when a recipient has received a complete screen in accordance with the periodicity schedule and assessing the necessity for and adequacy of medical care and services required by individual recipients. This cost center also includes activities performed by directly supporting staff providing functions supporting the activities above.	Direct to Global Commitment Admin

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39757	EPSDT Administration Function 2	Costs associated with accounting and auditing; budgeting; program management for categories of services not requiring medical expertise; emergency transportation; non-emergency transportation and home and community-based waiver services; program analysis where the emphasis is cost or utilization of services in lieu of the medical aspects of the program, cost reimbursement including all analytical work related to the program cost of covered services; cost report settlements and establishments of rates; program integrity including any investigation and follow-up activities not directly involving the determination of the medical necessity of specific services; third party liability activities/overpayment collection activities;	Direct to Global Commitment Admin

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39757	EPSDT Administration Function cont.	administrative practices and procedures including the development of State plans, administrative rates, cost allocation and provider agreements; all claims processing activities except those involving medical review of complex physician bills, reviewing the medical necessity of prior authorized services and providing required second medical opinions, which would be allowable 75% functions; outreach activities such as notifying clients of required screens from a periodicity schedule, scheduling appointments, informing clients and arranging transportation; eligibility determination; legal services including administrative appeals; and contract management.	Direct to Global Commitment Admin
39766	Health Contract Services	Costs associated with activities by employees for/with schools and Headstart which have contracted with the Department of Health for school health services.	Direct to Health Contract Services
39767	Foster Children Health Mentoring	Costs classified as skilled professional medical associated with a pilot program to provide health advocacy and health monitoring services for pre-adolescents and adolescent foster children in the Burlington area.	Direct to Global Commitment Professional Medical

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39768	Foster Children Health Mentoring – Global Commitment Administration	Costs not classified as skilled professional medical associated with a pilot program to provide health advocacy and health monitoring services for pre-adolescents and adolescent foster children in the Burlington area.	Direct to Global Commitment Administration
39771	EPSDT Outreach and Informing	Development, evaluation, review and revision of EPSDT informing letters; completion of tasks that bring about the dissemination of these letters or materials; and clarification and problem-solving, when needed, relative to Medicaid beneficiaries' receipt of informing letters; follow-up with newly eligible Medicaid beneficiaries ages zero through 20.	Direct to Global Commitment Administration
39772	EPSDT SYSTEMS/INFRASTRUCTURE BUILDING	Time and other costs for tasks that are intended to improve the system of care available to Medicaid/Dr. Dynasaur beneficiaries ages zero through 20 years and pregnant women and, in some instances, for Medicaid beneficiaries 21 years and older.	Direct to Global Commitment Administration
39773	EPSDT Systems/Infrastructure Building (Non - SPMP)	Time and other costs for Non-SPMP staff tasks that are intended to improve the system of care available to Medicaid/Dr. Dynasaur beneficiaries ages zero through 20 years and pregnant women and, in some instances, for Medicaid beneficiaries 21 years and older.	Direct to Global Commitment Administration

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39774	FOSTERING HEALTHY FAMILIES	Time spent by VDH staff working collaboratively with DCF Family Services division staff on tasks that improve access to health services for and the health status of children and adolescents in state custody, plus allowable costs associated with this work.	Direct to Global Commitment Administration
39775	Fostering Healthy Families (Non-SPMP)	Time and other costs for Non-SPMP staff tasks that are intended to improve the system of care available to Medicaid/Dr. Dynasaur beneficiaries ages zero through 20 years and pregnant women and, in some instances, for Medicaid beneficiaries 21 years and older.	Direct to Global Commitment Administration
39776	Healthy Child Care Vermont (HCCVT)	Time spent by VDH staff on tasks that are intended to improve the health of Medicaid/Dr. Dynasaur beneficiaries attending DCF-regulated child programs, plus allowable costs associated with this work.	Direct to Global Commitment Administration
39778	SCHOOL-BASED HEALTH ACCESS PROGRAM	Time and associated costs for School-based Health Access Program or Coordinated School Health tasks that are intended to improve the health of school-age Medicaid/Dr. Dynasaur beneficiaries. In limited instances, time spent by Public Health Nutritionists on Coordinated School Health tasks is also covered by this code. This does not include payments to schools that are paid under program code 39758.	Direct to Global Commitment Administration

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39780	OTHER PROGRAM INITIATIVES	Time and other costs related to program or special initiative activities when no other program code is available to use for this work.	Direct to Other Program Initiatives.
39038	Blueprint Administration	All costs associated with administration of the Blueprint for Health Division.	Relative Share of Blueprint Division Salaries.
39030	Blueprint Health Systems	All costs related to changing health systems to support care for people with chronic conditions as identified in the strategic plan. Does not include health systems work associated with a specific condition or funding source.	Direct to Blueprint
39031	Blueprint Self-management	All costs related to improving self-management of chronic conditions. Does not include self-management work associated with a specific condition or funding source.	Allocated Between Blueprint and Global Commitment Admin (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)
39032	Blueprint Community Support	All costs related to enhancing community infrastructure and programs to help people manage chronic conditions. Does not include community work associated with a specific condition or funding source.	Allocated Between Blueprint and Global Commitment Admin (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)
39033	Blueprint Information Technology	All costs related to information system development for the "Vermont Blueprint for Health"; includes projects specific to the strategic plan and linkage to department systems. Does not include information technology work associated with a specific chronic disease condition or funding source.	Allocated Between Blueprint and Global Commitment Admin (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39034	Blueprint Provider Practice	All costs related to assisting health care providers to deliver quality care for chronic conditions more effectively. Does not include provider practice work associated with a specific condition or funding source.	Allocated Between Blueprint and Global Commitment Admin (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)

Cost Allocation Plan Guidance:

Plan Department	References
39701 39709 39712 39758 39759	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

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Plan Department 8:

Board of Medical Practice

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for costs associated with the Board of Medical Practice.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39020	Medical Practice Board	Costs associated with the Medical Practice Board except those costs specifically described elsewhere.	Allocation Between Medical Practice Board and Global Commitment Admin. (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)
39021	Vermont Practitioner Health Program	Costs associated with the Vermont Medical Society.	Allocation Between Medical Practice Board and Global Commitment Admin (Statistic derived from the total Medicaid eligible population divided by the total state population on an annual basis.)
39029	Medical Practice Board Leave Time	Time code for time not worked, such as vacations, holidays, sick leave, personal leave and compensatory time.	Direct to Medical Practice Board

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Plan Department 9:

Alcohol and Drug Abuse Programs

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are for costs associated with Alcohol and Drug Research and Planning; Alcohol and Drug Abuse Treatment; and Alcohol and Drug Prevention. (It is standard practice that any staff person in the department can code costs to any program code in the department if that code is the most appropriate description of the cost. These plan dept. codes are shown within this Division as an approximate guide to the most likely users of these codes.)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39801	Alcohol and Drug Abuse Programs Administration	Staff time and operating costs associated with overall administration of the Alcohol and Drug Abuse Programs	Relative Share of ADAP Program Salaries
39809	Alcohol and Drug Abuse Programs – Leave Time	Time code for time not worked, such as vacations, holidays, sick leave, personal leave and compensatory time.	Relative Share of ADAP Program Salaries
39811	Substance Abuse Prevention Consultant System	All costs associated with the Substance Abuse Prevention Consultant System including payroll, benefits, travel, operations, etc.	Direct to Substance Abuse Grant
39812	Vermont Alcohol & Drug Information Clearinghouse	All costs associated with Vermont Alcohol and Drug Information Clearinghouse (VADIC) / Prevention communications activities.	Direct to Substance Abuse Grant
39813	Community Substance Abuse Prevention Technical Assistance Funds.	All costs associated with Community Substance Abuse Prevention Technical Assistance Funds (Prevention Consultant System).	Direct to Substance Abuse Grant
39814	OJJDP	Costs associated with combating underage drinking through public policy enforcement.	Direct to OJJDP
39815	Juvenile Accountability Incentive Block Grant (JAIBG)	Costs associated with action steps that will improve the adolescent service system for children in trouble with substance abuse.	Direct to JAIBG

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39821	Student Assistance Program (SAP) – Prevention	Costs associated with the Student Assistance Program through the Prevention Unit.	TBD (no costs included in current CAP)
39822	Youth Initiative – Community Based Programs	Costs associated with Community Based Programs, such as the continuation of the New Directions Coalition grants, New Directions staff salaries and operating expenses for things like meetings, publishing reports, travel, etc.	Direct to Substance Abuse Grant
39823	Student Assistance Programs (SAP) – Assessment and Referrals	Costs associated with a school based program for assessment and referral with regard to substance abuse.	Direct to Student Assistance Programs
39825	Strategic Prevention Framework State Incentive Grant	All costs associated with the Strategic Prevention Framework initiative targeted to prevent the onset and reduce the progression of substance abuse.	Direct to Strategic Prevention Framework State Incentive Grant
39826	Strategic Prevention Framework State Incentive Grant - CHAMPS	The SPF-SIG portion of the CHAMPS initiative, part of the Legislative Common Sense Initiative.	Direct to Strategic Prevention Framework State Incentive Grant
39827	Strategic Prevention Framework State Incentive Grant-Community	All community sub grant costs associated with the Strategic Prevention Framework State Incentive Grant.	Direct to Strategic Prevention Framework State Incentive Grant
39828	Strategic Prevention Framework State Incentive Grant - State Evaluation	All State evaluation costs associated with the Strategic Prevention Framework State Incentive Grant (SPF-SIG).	Direct to Strategic Prevention Framework State Incentive Grant
39829	SPF – SPE Community Evaluation	All Community evaluation costs associated with the Strategic Prevention Framework State Incentive Grant (SPF-SIG).	Direct to SPF – SPE.

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39831	Other Opiate Initiatives	Services supporting opiate treatment other than direct medication assisted therapy.	Direct to Substance Abuse Grant
39832	Block Grant Administration	Costs associated with administration of grant.	Direct to Substance Abuse Grant
39833	DDRP	Costs associated with the Drinking Driver Rehabilitation Program including DWI assessments and CRASH schools.	Number of ADAP Clients Per Quarter (Global Commitment Eligible)
39834	Public Inebriate Program	Costs associated with program.	Direct to Substance Abuse Grant
39835	Payments Assistance Program	Staff time, operating costs and payments to providers to treatment.	Direct to Substance Abuse Grant
39836	Payments to Providers for Treatment – Outpatient	Direct payments.	Direct to Substance Abuse Grant
39837	Payments to Providers for Treatment – Intensive Outpatient	Direct payments.	Direct to Substance Abuse Grant
39838	Payments to Providers for Treatment – Residential	Direct payments.	Direct to Substance Abuse Grant
39841	Payments to Providers for Treatment: Half-Way	Direct payments.	Direct to Substance Abuse Grant
39842	Payments to Providers for Treatment: Crash	Direct payments.	Direct to Substance Abuse Grant
39843	Payments to Providers for Treatment: Assessment	Direct payments.	TBD (no costs included in current CAP)
39844	Payments to Providers for Treatment: Special Populations	Direct payments.	Direct to Substance Abuse Grant
39845	Alcohol and Drug Abuse Programs Provider Monitoring	Costs associated with monitoring activities.	Number of ADAP Clients Per Quarter (Global Commitment Eligible)
39846	Payments to EDS for Global Commitment: General	Direct payments.	Payments to EDS for Global Commitment: General
39851	Payments to EDS for CHIP	Payments to EDS on behalf of children eligible for the Children’s Health Insurance Program.	Direct to Payments to EDS for CHIP
39852	Barriers to Treatment	Payments to remove barriers to treatment.	Direct to Substance Abuse Grant
39853	Treatment Improvement	Costs associated with tracking funds for accreditation and provider data collection.	Direct to Substance Abuse Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39854	Performance Outcome Indicators	Costs associated with performance indicators, including support for development of processes for monitoring treatment outcome indicators used for continuous treatment improvement.	Direct to Substance Abuse Grant
39855	Service Planning and Coordination	Costs associated with services to ensure that adequate treatment plans are developed and implemented for adolescents receiving treatment through DDMH facilities and their families. Paid through the transfer of match funds to DDMH.	Direct to Substance Abuse Grant
39859	Payments to Providers for Residential Treatment – Non Block Grant Expenses	Direct payments.	Direct to Payments to Providers for Residential Treatment – Non Block Grant Expenses
39860	ADAP Treatment Unit	To aggregate the time the Alcohol and Drug Abuse Treatment Unit staff whose assigned duties comprise the assessment, certification and monitoring of residential and individual treatment providers pursuant to the Global Commitment State Plan.	Direct to Global Commitment Administration.
39862	Methadone Treatment – Block Grant Eligible	Methadone costs not eligible for Medicaid.	Direct to Substance Abuse Grant
39863	Student Assistance Program (SAP) – Treatment Grants	Payments to providers for treatment: SAP	Split between Substance Abuse Grant and Global Commitment Admin based on Medicaid allowable share of costs.
39864	Youth Initiative: Outpatient Treatment	Costs associated with specialized youth clinicians and case managers. Also grants to preferred providers in a particular geographic area to fund youth treatment services.	Direct to Substance Abuse Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39865	Case Management	Costs associated with services to ensure that adequate treatment plans area developed and implemented for ADAP non- Global Commitment clients in treatment and their families.	Direct to Substance Abuse Grant
39866	Payments to Providers – Bradford Operations	Payments to OAS, LLC for residential treatment at the Valley Vista Facility.	Direct to Provider Payments – Bradford Ops
39867	Payment to Provider Non Resident Non Block Grant	To identify payments to providers for non-residential services which are non-block grant expenditures.	Direct to Payment to Provider Non Resident Non Block Grant
39868	Building the Infrastructure of Vermont's Adolescent Treatment System	All costs associated with the enhancement of the service system that treats adolescent substance abuse, including the co-occurring problems of substance abuse and mental health disorders.	Direct to SAMHSA Adolescent Treatment Grant
39869	Prescription Drug Monitoring Program	Costa associated with developing and maintaining a program to prevent prescription drug abuse in Vermont.	Direct to Prescription Drug Monitoring
39871	Data Collection State Epidemiological Outcomes Workgroup (SEOW) Program	All Costs associated with the Federally sponsored Data Collection staffing and operating expenses for the State Epidemiological Outcomes Workgroup (SEOW) Program	Direct to State Epidemiological Outcomes Workgroup (SEOW) Program
39873	Youth Risk Behavioral Survey	Costs associated with survey.	Direct to YRBS
39875	Needs Assessment – Treatment	Staff time, contractual payments and all other costs associated with implementing the needs assessment contract for Treatment contract with began 9/26/97.	Direct to Needs Assessment Contract

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39876	Needs Assessment – Prevention	Staff time, contractual payments and all other costs associated with implementing the needs assessment contract for Prevention contract.	Direct to Needs Assessment Contract
39877	Case Management – Rutland Court System	Costs associated with providing case management services to high risk youth in the court system. Case Managers broker clinical, educational and vocational services and they assure that the clients access the necessary services.	Direct to Substance Abuse Grant
39880	Community Recovery Centers	Costs to provide seed funding to establish community-based and community-run recovery centers which provide a place for self-help, education and referral services in the community.	Direct to Community Recovery Center
39883	State Data Infrastructure	Costs associated with a project to develop an infrastructure for data collection pertaining to substance abuse treatment services.	Direct to State Data Infrastructure
39884	Other Treatment Grants – Transitional Housing	Costs associated with short-term (30 to 90 days) housing for clients who have completed formal treatment and are in need of a supportive residential environment to enable them to reestablish themselves in the community.	Direct to Substance Abuse Grant
39885	Transitional Housing-Charitable Choice		Direct to Transitional Housing-Charitable Choice (state funds)
39886	Strategic Prevention Framework State Incentive Grant - Community Media	All Community media costs associated with the Strategic Prevention Framework State Incentive Grant (SPF-SIG).	Direct to Strategic Prevention Framework State Incentive Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39887	Strategic Prevention Framework State Incentive Grant - Community Training	All Community training costs associated with the Strategic Prevention Framework State Incentive Grant (SPF-SIG).	Direct to Strategic Prevention Framework State Incentive Grant
39888	Methadone Treatment – Non-Block Grant Eligible	- Methadone costs not eligible for SAPT Block Grant reimbursement	Direct to SAPT Not claimed
39889	Substance Abuse Prevention Administration and Planning.	All costs associated with Substance Abuse Prevention including payroll, benefits, travel, operations, etc., for administration, planning, evaluation, and sub-recipient monitoring.	Direct to Substance Abuse Grant
39890	Substance Abuse Prevention Community Grants Program	All costs associated with Substance Abuse Prevention Community grants program.	Direct to Substance Abuse Grant
39891	Substance Abuse Prevention Community – Project Rocking Horse.	All costs associated with the Project Rocking Horse grant program.	Direct to Substance Abuse Grant
39892	Substance Abuse Workforce Development	All costs associated with substance abuse workforce development and training.	Direct to Substance Abuse Grant
39893	Direct Outpatient Treatment Services	All costs associated with outpatient, intensive outpatient, or clinical case management services.	Direct to Substance Abuse Grant
39894	Building Substance Abuse Treatment Capacity	All costs associated with the building of substance abuse treatment capacity in Franklin, Chittenden, Rutland, Caledonia and Orleans County in accordance with the Memorandum of Understanding with the Department of Corrections as authorized by H.859 (S.179) in the 2007-2008 Legislative sessions.	Direct to Substance Abuse Grant

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Plan Dept.	Plan Dept. Name	Description	Allocation Method
39895	Other Opiate Addiction Related Services	Provides specification for opiate services that are not direct treatment services.	Direct to Substance Abuse Grant
39896	Public Inebriate Services, Challenges for Change, Global Commitment	Crisis intervention for Mental Health and substance abuse issues; non-categorical case mgt; development of a detoxification bed program	Direct to Public Inebriate Services, Challenges for Change, Global Commitment MCO Investments

Cost Allocation Plan Guidance:

Plan Department	References
39801 39809 39833 39845 39846	OMB A-87, Attachment A, Section C (1)(a), and Attachment A, Section B.1.

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Department of Mental Health (DMH)

I. Introduction

The Department of Mental Health (DMH) was established by the state legislature effective July 1, 2007.

Within this document we have included an overview of DMH's organizational structure and a list of the specific functions performed by DMH, referred to as plan departments or cost pools, and the allocation method for each function. Please note that the plan department numbers correspond to internal AHS program codes, from the state accounting system.

Although Mental Health is a separate Department, it continues to receive IT support services from staff in the Health Department, ~~especially in the business office and in information technology. On July 1, 2009, certain staff were transferred from VDH to DMH in order to give DMH the capacity to provide its own budgeting and statistical services. As of December 31, 2009, VDH only provided IT support for DMH, as DMH moved to Waterbury.~~ Accordingly, this Plan continues to provide a mechanism (Program Code 39002) for VDH IT staff costs to be allocated to DMH programs as appropriate. Costs of these services are distributed over both departments based on relative share of salaries.

II. Organization

The Mental Health Department contracts with ~~11~~ ten designated community agencies (DAs) and one specialized service agency (SSA) to provide services to adults with severe mental illness and adults with less severe emotional or behavioral problems that still disrupt their lives; children and adolescents experiencing a serious emotional disturbance and their families; and anyone who is experiencing an acute mental-health crisis. Between ~~20,000~~ 23,000 and 25,000 Vermonters ~~currently~~ annually receive mental health services from public providers. Approximately ~~9,800~~ 9,500 clients receive community-based mental health services through Community Rehabilitation and Treatment (CRT) programs and Adult Outpatient programs in FY ~~2010~~ 2011.

Last year, ~~10,083~~ 9,500 Vermont youth (an estimated ~~7.7%~~ 7.4% of the state population under age 18) were assigned to Children's Services programs in the public mental health system. These young Vermonters were either experiencing a severe emotional disturbance or were at substantial risk.

The contracted designated agencies also operate mental health Emergency Services Programs which are available 24 hours a day, seven days a week, responding to any individual experiencing a mental health crisis and to communities following natural disasters, accidental deaths, suicides and other traumatic events. Emergency programs also screen situations to determine if there is need for admission into involuntary and/or acute care arrangements. Approximately ~~3,200~~ 4,300 Vermonters were served through these Emergency programs last year.

Inpatient services in Vermont State Hospital (VSH) are for involuntary emergency examinations and commitments in which adults have become a danger to either themselves or others for psychiatric evaluations of competency to stand trial in criminal cases. The State Hospital, which ~~has~~ had 54 beds, ~~is~~ was Vermont's only public psychiatric inpatient facility. During FY ~~2010~~ 2011, VSH served ~~261~~ 254 individuals, had ~~276~~ 260 admissions and an average daily census of ~~46.6~~ 46.2.

On August 28, 2011 Tropical Storm Irene produced a devastating flood which wreaked havoc on the town of Waterbury including the State of Vermont office complex and VSH (Vermont State Hospital).

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During the flooding emergency the VSH patients were safely evacuated to alternate locations. These included psychiatric units of other acute care hospitals, other community programs and a wing of a correctional center which was converted to a secure treatment program run by VSH staff. Among the alternate locations are Springfield Correctional Facility, Brattleboro Retreat, Second Spring in Williamstown, Fletcher Allen Health Care in Burlington, Chrysalis House in Waterbury, Robinson House and Hill House in Middlebury and The Pines in Lyndonville. The Vermont State Hospital closed on 08/29/11 after the flood.

The VSH patients were relocated to several facilities around the state. ~~the Vermont State Hospital is not operating and earning revenue.~~ The VSH staff was simultaneously relocated to several sites previously mentioned to assist in the higher level of care and to provide the supplemental services needed by these residents in these facilities. The VSH staff remained on the State payroll while performing these duties. These expenses are not included in the rates of these facilities. The State employees assisting at the Springfield Correctional Facility are being funded using State funds.

~~For the July 1—September 30, 2011 quarter DMH charged VSH staff and expenses to State funds.~~

~~Expenditures related to Tropical Storm Irene from the quarter ended September 30, 2011 will be handled with prior quarter adjustments in the October 1 to December 31, 2011 quarter.~~

The CAP methodologies in the past have included the VSH costs also; therefore DMH has created new VSH cost centers for the post Irene dislocation that will have costs allocated to them. DMH will consider direct care to be program costs and there will be no duplicative billing or costs. DMH will consider non-direct care to be administrative expenditures. ~~The three new DMH cost centers are VSH Direct Patient Care at the Springfield Correctional Center (these costs are not Medicaid eligible), VSH Direct Patient Care at all other locations (these costs are Medicaid eligible) and VSH Administration and Program Support costs that benefit clients in all locations.~~

The ex-VSH staff is being coded to one of four program codes. They are coded to direct care locations eligible for global commitment funding (42780) or to Springfield Correctional Facility which is funded by State general funds (42781), or to South Barre for administrative costs which are allocated to the direct care sites (42782). These administrative costs include medical record staff, psychologists, social workers, operations director, and other admin type duties such as admissions and staffing. ~~VSH's~~ Staff hours ~~not worked~~, for ex-VSH staff not working, are coded to ~~be funded by~~ State general funds (42783).

The South Barre administrative costs, code 42782, are allocated to direct care facilities based on the full time equivalents (FTE) working at those facilities. FTE was considered to be the most accurate because staff levels represent the acuity and level of care needs of the patients in these facilities. ~~Patient days were considered but were not a viable option because patients are being cared for at hospitals that have other psychiatric non-VSH level patients and therefore statistics can't be produced in a timely or accurate manner.~~

~~In addition, the Department of Mental Health received a FEMA Crisis Counseling and Training Program / Immediate Services Program Grant to provide group and individual crisis counseling related to Tropical Storm Irene. These emergency disaster funds provide for a contract with Washington County Mental Health for the counseling services and data collection.~~

Cost Impact:

All changes in the Cost Allocation Plan are identified in red. The cost impacts, by category, are as follows.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Description	Allocation Method	Explanation
42401	MH – SAMHSA/FEMA Regular Services Program Grant	Crisis Counseling Assistance and Training Program – Regular Services Program Grant	Direct to SAMHSA/FEMA Regular Services Program Grant	To capture costs for the SAMHSA/FEMA Crisis Counseling Assistance and Training Program – Regular Services Program
42580	MH – Coalition to Promote Community Based Care Grant	All expenditures related to promoting Community Based Care funded by the Olmstead Grant	Direct to the Olmstead Grant	To capture costs for the Community Based Care funded by the Olmstead Grant
42780	VSH – Direct Acute Patient Care - Non-Springfield	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in all locations other than Springfield	Direct to VSH , Global Commitment Fund	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in all locations other than Springfield
42781	VSH – Direct Acute Patient Care - Springfield	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in Springfield	Direct to VSH , General Fund	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in Springfield
42782	VSH – Admin and Program Support for Acute Care – Post-Tropical Storm Irene	Post dislocation due to Tropical Storm Irene – Non-direct staff time and operating costs	Costs to be allocated to VSH direct acute patient care service cost centers based on patient statistics-FTE	Post dislocation due to Tropical Storm Irene – Non-direct staff time and operating costs
42783	Staff and Operating Expenses Not Related to a Specific Site	Post dislocation due to Tropical Storm Irene – Costs that aren't related to Springfield or other direct care sites or GC Admin, including staff time not worked, that can't reasonably be related to the admin cost center that is being stepped down	Direct to GF	Post dislocation due to Tropical Storm Irene – Costs that aren't related to Springfield or other direct care sites or GC Admin, including staff time not worked, that can't reasonably be related to the admin cost center that is being stepped down
42901	Emergency VSH Patient Relocation	This will include all costs incurred to relocate the VSH patients during Tropical Storm Irene. The time period is 8/28-8/19/11	Direct to FEMA Project: Emergency VSH Patient Relocation	To capture costs associated with FEMA Project: Emergency VSH Patient Relocation

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Plan Dept.	Plan Dept. Name	Description	Allocation Method	Explanation
42902	Relocation Expenses	This will include all staff overtime, mileage, and other expenses necessary due to the relocation of VSH patients	Direct to FEMA Project: Relocation Expenses	To capture costs associated with FEMA Project: Relocation
42903	Brattleboro Retreat Renovations	This will include all expenses related to the necessary renovations to BR in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: Brattleboro Retreat Renovations	To capture costs associated with FEMA Project: Brattleboro Retreat Renovations
42904	Rutland Regional Medical Center	This will include all expenses related to the necessary renovations to RRMC in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: Rutland Regional Medical Center	To capture costs associated with FEMA Project: Rutland Regional Medical Center
42905	State Run Acute Inpatient Facility	This will include all expenses related to the necessary renovations to (site TBD) in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: State Run Acute Inpatient Facility	To capture costs associated with FEMA Project: State Run Acute Inpatient Facility
42906	Rent at the Brattleboro Retreat	This will include the cost to rent the BR for VSH patients	Direct to FEMA Project: Rent at the Brattleboro Retreat	To capture costs associated with FEMA Project: Rent at the Brattleboro Retreat
42907	Rent at the Fletcher Allen Health Care	This will include the cost to rent FAHC for VSH patients	Direct to FEMA Project: Rent at Fletcher Allen Health Care	To capture costs associated with FEMA Project: Rent at Fletcher Allen Health Care
42908	Rent at the Rutland Regional Medical Center	This will include the cost to rent RRMC for VSH patients	Direct to FEMA Project: Rent at Rutland Regional Medical Center	To capture costs associated with FEMA Project: Rent at Rutland Regional Medical Center

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III. Plan Departments and Allocation Methodologies

Plan Department 1:

Indirect Cost Allocations

Nature and Extent of Services: The State of Vermont, Agency of Human Services negotiates the annual State Wide Indirect Cost Allocation Plan (SWICAP) with the Federal Government. The approved statewide indirect costs applicable to DMH are included in the CAP and allocated to the appropriate benefiting objectives. VDH also receives allocations from the AHS Office of the Secretary.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
1000.1	SWICAP	DMH Allocation of Statewide Indirect Costs	Relative Share of Department Salaries
1000.2	Financial Statement & Internal Control Reviews	DMH Allocation of Financial Statement & Internal Control Reviews Indirect Costs	Relative Share of Department Salaries
1000.3	Attorney General's Office	DMH Allocation of Attorney General's Office Costs	Relative Share of Department Salaries
1000.4	AHS Secretary's Office	DMH Allocation of AHS Secretary's Office	Relative Share of Department Salaries
1000.5	AHS Field Services	DMH Allocation of the costs of AHS Field Services	Relative Share of Department Salaries
1000.6	AHS Information Technology	DMH Allocation of AHS I.T. costs	Relative Share of Department Salaries
39002	Administration-VDH and DMH less VSH	Costs of administrative support for both the Department of Health and the Mental Health Department exclusive of the Vermont State Hospital. These activities include administrative support, applications development, financial management and other support costs that benefit the entire Health Department and the Mental Health divisions of DMH, but not the Vermont State Hospital. These costs originate in the Health Dept.	Relative Share of Salaries of the Departments of Mental Health and Health minus VSH.

Cost Allocation Plan Guidance:

Plan Department	References
SWICAP, Single State Audit, and AHS	OMB A-87, Attachment A, Section C (1) (a), and Attachment A, Section B.1.

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Plan Department 2:

Mental Health Department

Nature and Extent of Services: The following plan departments, descriptions, and allocation methodologies are costs associated with Vermont State Hospital (VSH) and Mental Health Grants.

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39950	VSH Administrative & General	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39951	VSH Executive Office	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39952	VSH Business Office	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39953	VSH Admissions	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39954	VSH Non Admissions	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39955	VSH Switchboard	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39956	VSH Quality Improvement	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39957	VSH Word Processing	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39960	VSH Brooks 1 Unit	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39961	VSH Brooks 2 Unit	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39962	VSH Brooks Rehab Unit	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39963	VSH Brooks 1 Team	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39964	VSH Brooks 2 Team	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39965	VSH Brooks Rehab Team	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39970	VSH Social Services	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39971	VSH Chaplains	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39972	VSH Volunteer & Library	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39973	VSH Medical Records	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39974	VSH Nursing Administration	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39975	VSH Nursing Education	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39976	VSH Clinic	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39977	VSH Laboratory	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
39978	VSH Ancillary Services	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39979	VSH Occupational Therapy	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39980	VSH Physical Therapy	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39981	VSH Pharmacy	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39982	VSH Housekeeping	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39983	VSH Dietary	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39984	VSH Storehouse	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39985	VSH Canteen	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39986	VSH Summer Camp	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
39987	VSH Barber & Beauty Shop	Costs associated with Vermont State Hospital.	Direct to VSH - no costs associated - delete
37710	MH – Global Commitment Program	Costs associated with mental health related to Global Commitment programs.	Direct to Global Commitment Program
39851	MH – CHIPS	Children's Health Insurance Plan billings	Direct to CHIPS
37800	MH – Social Services Block Grant		Direct to Social Services Block Grant
39214	VRERP Training	All training and educational activities related to implementation of the VRERP (Vermont Radiological Emergency Response Plan).	Direct to VRERP
39538	Hospital Bioterrorism Preparedness	Costs associated with a program to support hospitals and other health care entities in preparing for a bioterrorist or other disease emergency.	Direct to Hospital Bioterrorism Preparedness
41499	MMIS-TA	Work that is related to Medicaid Management Information System (MMIS) Technical Assistance efforts	Direct to MMIS-TA

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
41618	SOA Infrastructure Components (BUS, Workflow, Rules)	Work associated with procurement/implementation of enterprise services bus (ESB), Workflow component (WC), identity management, enterprise master person index (eMPI), and Rules Engine (RE) (funding mix not approved yet)	Direct to SOA Infrastructure
42005	Data Infrastructure		Direct to Data Collection Contract
42006	PASARR		Direct to Global Commitment Professional Medical
42008	Global Commitment Administration		Direct to Global Commitment Administration
42014	MH – Legal Administration	Costs associated with overall management of DMH including: policy development, legal services, planning, public affairs, and other general DMH activities.	Mental Health Distribution H
42015	MH – Allocation Pool	Staff time and operating costs associated with overall administration of adult and children's mental health programs, excluding the Vermont State Hospital. (Trainings, Conferences and Meetings)	
42030	CAP/CRT	Payments made directly to CRT programs	Direct to CRT Community
42400	MH – FEMA Immediate Response Grant	Costs for the FEMA Crisis Counseling Assistance and Training Program – Immediate Services Program	Direct to FEMA Immediate Response Grant
42401	MH – SAMHSA/FEMA Regular Services Program Grant	Crisis Counseling Assistance and Training Program – Regular Services Program Grant	Direct to SAMHSA/FEMA Regular Services Program Grant

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42520	MH – Homeless Block Grant		Direct to Homeless Block Grant
42530	MH – MH Block Grant		Direct to MH Block Grant
42580	MH – Coalition to Promote Community Based Care Grant	All expenditures related to promoting Community Based Care funded the Olmstead Grant	Direct to the Olmstead Grant
42600	MH – General Funds		Direct to General Fund
42610	MH – Global Commitment Investment	Any expenditure that will be charged as a Managed Care Organization (MCO) Investment under Global Commitment.	Direct to Global Commitment.
42730	MH – Case Rate Payments	Mental Health Payments	Direct to Case Rates Payment
42740	CRT Doc/Hospital		Direct to CRT Doc/Hospital Payments
42755	CoSIG - Co-occurring disorders State Incentive Grant	The costs of all activities associated with a State Incentive Grant from SAMSHA to support the development of integrated services for individuals with co-occurring substance abuse and mental health disorders.	Direct to CoSIG
42756	Alternatives to Restraint and Seclusion Grant	The costs of all activities associated with a grant from SAMSHA to implement alternatives to the use of restraint and seclusion in institutional and community-based settings that provide mental health services.	Direct to Alternatives to Restraint Grant -no costs associated - delete
42757	Youth In Transition	The costs of all activities associated with a grant from SAMSHA to develop a system of care for young adults, ages 16 – 21, with serious mental health problems.	Direct to Youth In Transition Grant

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42758	Jail Diversion	The costs of all activities associated with a grant from SAMHSA for the implementation of a jail diversion and trauma recovery program for Veterans.	Direct to Jail Diversion
42759	Special Services	The costs of dental services, eye glasses, adaptive equipment and other ancillary services not covered by Medicaid.	Direct to Special Services
42760	MH Outpatient Services for Adults	The costs associated with mental health assessment, counseling, case management, medication management, care coordination and outreach supports for adults.	Direct to Adult Outpatient
42762	Mental Health Consumer Support Programs	The costs to provide peer education, support and advocacy to individuals with serious mental illness, their families, and the community to promote resiliency and recovery	Direct to Consumer Support
42763	Mental Health CRT Community Support Services	The costs associated with assessment and treatment, medication management, case management, community support, transportation, employment supports, and housing supports for adults who have a severe and persistent mental illness.	Direct to CRT Community

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42764	Mental Health Children's Community Services	The costs associated with clinical assessment and individualized treatment, individual, group and family therapy, psychiatric evaluation, medication management and consultation, case management, community support, community education, transportation, and housing supports for children who have been diagnosed with a serious emotional disturbance.	Direct to Children's Community Services
42765	Emergency Mental Health for Children and Adults	The costs associated with 24/7 triage, assessment, mobile outreach, short-term family stabilization, and referral and screening for hospitalization or hospital diversion for children, youth, families and adults experiencing a mental health crisis.	Direct to Emergency Mental Health
42766	Respite Services for Youth with SED and their Families	The costs associated with respite services for short-term support and relief to the families of children and adolescents with significant mental health	Direct to Respite for SED Youth
42767	CRT Staff Secure Transportation	The costs associated with individuals placed in involuntary care who require transport to receiving hospitals and who will have access to alternative transportation options that reduce traumas, provide safety, and are the least restrictive options available.	Direct to Staff Secure Transport

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42768	Peer Supports	Funds to develop peer-run or peer-guided recovery and peer support services.	Direct to Peer Supports
42769	Recovery Housing	Funds to support approximately 100 - 150 CRT or pending CRT consumers to obtain or retain housing, prevent homelessness, allowing timely step-down from psychiatric inpatient settings, preventing unnecessary hospitalization, preventing rental assistance or subsidy, security deposits, and providing apartment set-up and cover other one-time housing expenditures.	Direct to Recovery Housing – MCO Investment
42770	Child Trauma Grant	The costs of all activities associated with a grant from SAMHSA for the implementation and evaluation of effective trauma-focused and trauma-informed treatment and services for youth in community settings and youth-serving service systems.	Direct to Child Trauma Grant
42771	Data Infrastructure staff costs	Costs that are related to Data Infrastructure staff	Allocated to Data Infrastructure grant and DMH administrative overhead cost pool (42015) based on covered costs and match requirement.
42772	MH System Improvement Chief	Costs associated with Mental Health Systems Improvement Chief	Allocated to Child Trauma, COSIG, Jail Diversion, and Youth In Transition grants based on bi-weekly Personnel Activity Report

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42773	Serious Functional Impairment (SFI)	Costs associated with a comprehensive community service plan to an individual who has been determined to have "Serious Functional Impairment"	Direct to SFI
42774	MH Transformation Grant	Costs of implementing new techniques to make Vermont's mental health services more computer-driven and recovery-oriented	Direct to MH Transformation Grant
42775	C4C - Community Initiatives-HCRS	Costs associated with the implementation of the HCRS interagency community safety net initiative	Direct to C4C Community Initiatives - HCRS
42776	C4C - Community Initiatives-CSAC	Costs associated with the implementation of the CSAC local integrated crisis support services initiative	Direct to C4C Community Initiatives - CSAC
42777	C4C - Community Initiatives-RMHS	Costs associated with the implementation of the RMHS community based hospital diversion initiative	Direct to C4C Community Initiatives - RMHS
42778	Employment Development Initiative	Costs for Supported Employment Champions program	Direct to Employment Development Initiative
42779	TTI	Costs associated with the Transformation Transfer Initiative	Direct to TTI
42780	VSH Direct Acute Patient Care - Non-Springfield	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in all locations other than Springfield	Direct to VSH , Global Commitment Fund
42781	VSH Direct Acute Patient Care - Springfield	Post dislocation due to Tropical Storm Irene - Costs for direct acute patient care in Springfield	Direct to VSH , General Fund

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42782	VSH Admin and Program Support for Acute Care — Post Tropical Storm Irene	Post dislocation due to Tropical Storm Irene – Non-direct staff time and operating costs	Costs to be allocated to VSH direct acute patient care cost centers based on patient statistics FTE
42783	Staff and Operating Expenses Not Related to a Specific Site	Post dislocation due to Tropical Storm Irene – Costs that aren't related to Springfield or other direct care sites or GC Admin, including staff time not worked, that can't reasonably be related to the admin cost center that is being stepped down	Direct to GF
42901	Emergency VSH Patient Relocation	This will include all costs incurred to relocate the VSH patients during Tropical Storm Irene. The time period is 8/28-8/19/11	Direct to FEMA Project: Emergency VSH Patient Relocation
42902	Relocation Expenses	This will include all staff overtime, mileage, and other expenses necessary due to the relocation of VSH patients	Direct to FEMA Project: Relocation Expenses
42903	Brattleboro Retreat Renovations	This will include all expenses related to the necessary renovations to BR in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: Brattleboro Retreat Renovations
42904	Rutland Regional Medical Center	This will include all expenses related to the necessary renovations to RRMC in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: Rutland Regional Medical Center
42905	State Run Acute Inpatient Facility	This will include all expenses related to the necessary renovations to (site TBD) in order to temporarily care for the acute level of the VSH patients	Direct to FEMA Project: State Run Acute Inpatient Facility

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Plan Department 2 cont'd:

Mental Health Department (Continued)

Plan Dept.	Plan Dept. Name	Description	Allocation Method
42906	Rent at the Brattleboro Retreat	This will include the cost to rent the BR for VSH patients	Direct to FEMA Project: Rent at the Brattleboro Retreat
42907	Rent at the Fletcher Allen Health Care	This will include the cost to rent FAHC for VSH patients	Direct to FEMA Project: Rent at Fletcher Allen Health Care
42908	Rent at the Rutland Regional Medical Center	This will include the cost to rent RRMC for VSH patients	Direct to FEMA Project: Rent at Rutland Regional Medical Center

Cost Allocation Plan Guidance:

Plan Department	References
39901 39909 42002 42004	OMB A-87, Attachment A, Section C (1) (a), and Attachment A, Section B.1.

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