

**SUBSTANCE ABUSE PREVENTION  
& TREATMENT BLOCK GRANT**

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VERMONT HUMAN SERVICES PLAN – FY 2014

FEDERAL ASSURANCES & DOCUMENTATION

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Substance Abuse Prevention & Treatment Block Grant

<u>Use of Funds by Goal or Activity</u>	<u>FFY'12 Actual</u>	<u>FFY'13 Estimated</u>	<u>FFY'14 Proposed</u>
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Goal #1 - Administration

To support and plan for the allocation and distribution of Block Grant funds in a manner consistent with the needs of Vermont communities and special populations to include women and those at risk of contracting the AIDS virus; to assess need as well as quality and appropriateness of treatment services and to collect all required data as per Section 509D.

INDICATORS:

- Adult chronic drinking
- Adult binge drinking
- Adult smoking
- DWI arrests
- DWI convictions
- Drinking-driver fatalities
- Deaths attributable to alcohol or drugs

APPROPRIATION: Office of Alcohol & Drug Abuse Programs	\$ 863,702	\$ 836,635	\$ 836,635
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Goal #2 - Prevention

The goal of primary prevention is to promote healthy (non-abusive) lifestyles through broad based school and community education and prevention programs. The goal of secondary prevention/intervention is to identify high risk populations and to develop intervention strategies to correct abusive characteristics before they become problematic and

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assure that prevention services are available to all Vermont communities.

INDICATORS:

- Student drinking
- Student use of marijuana
- Student use of cocaine
- Student smoking
- Prevention Activities (Management information system under development)

APPROPRIATION: Office of Alcohol & Drug Abuse programs

\$1,641,418	\$1,589,978	\$1,589,978
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Goal #3 - Treatment

Substance abuse treatment will be available and accessible to all Vermont residents in need.

Community-based treatment services which are available to identified target populations; e.g., Corrections clients, women, IV drug users, youth, etc., and to uninsured clients.

Intensive outpatient treatment, is available for substance abusers through a regional system of providers.

Residential treatment and rehabilitation services are provided through two adult short-term programs, two long-term halfway programs, one long-term therapeutic community, and one adolescent facility.

Screening and intervention services for public inebriates through community-based crisis teams. Services include supervision and shelter

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The State will continue to collaborate with the Department of Mental Health in meeting the needs of substance abuse and mental health clients.

The State has established specific objectives for the treatment of women, outreach and treatment of IV drug users, tuberculosis education and screening, limits on waiting time for admission to treatment and a priority for the admission of IV drug users to treatment.

Substance abuse and mental health treatment providers will collaborate and receive cross training to improve substance abuse assessment/treatment services to ADAP and DMH clients.

INDICATORS:

- Outpatient Admissions
- Outpatient Utilization
- Intensive Outpatient Admissions
- Intensive Outpatient Utilization
- Residential Admissions
- Residential Utilization
- Public Inebriate Interventions
- Dollars saved by Public Inebriate Program (by diversion from jail)
- Mental Health treatment admissions with primary or secondary substance abuse diagnosis
- Mental Health Crisis Team contacts involving alcohol/drug use

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Substance Abuse Prevention & Treatment Block Grant

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<u>Use of Funds by Goal or Activity</u>	<u>FFY'12 Actual</u>	<u>FFY'13 Estimated</u>	<u>FFY'14 Proposed</u>
APPROPRIATION: 3420060000	\$6,599,518	\$6,392,699	\$6,392,699
Total	\$9,104,638	\$8,819,312	\$8,819,312
<u>Source of Funds</u>			
SAPT Funds	\$5,379,072	\$ 5,093,746	\$ 5,093,746
State General Funds	\$3,725,566	\$ 3,725,566	\$ 3,725,566
TOTAL	\$9,104,638	\$ 8,819,312	\$ 8,819,312

Block Grant Awards

FFY'11	\$5,390,666
FFY'12	\$5,379,071
FFY'13	\$5,093,846
FFY'14	\$5,093,846

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Substance Abuse Prevention & Treatment Block Grant

ALCOHOL AND DRUG ABUSE AND MENTAL HEALTH SERVICES  
BLOCK GRANT APPLICATION FOR FY 2008

State Name: VERMONT

**1. STATE AGENCY TO BE THE GRANTEE FOR THE SAPT BLOCK GRANT**

Agency Name: AGENCY OF HUMAN SERVICES

Organizational Unit: DIVISION OF ALCOHOL AND DRUG ABUSE PROGRAMS

Street Address: DEPARTMENT OF HEALTH, 108 CHERRY STREET

City: BURLINGTON                      Zip Code: 05402

**II. CONTACT PERSON FOR THE GRANTEE OF THE ADMS BLOCK GRANT**

Name:                      James Giffin

Agency Name:        AGENCY OF HUMAN SERVICES

Street Address:        108 CHERRY STREET

City: BURLINGTON                      Zip Code: 05401

**III. STATE EXPENDITURE PERIOD**

From: 10-1-13                              To: 9-30-14

**IV. DATE SUBMITTED**

Date:                       Original     Revision

**V. CONTACT PERSON RESPONSIBLE FOR APPLICATION SUBMISSION**

Name: Peter Bestenbostel                      Telephone: 802-651-1670

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Substance Abuse Prevention & Treatment Block Grant

Form 3

OMB No. 0930-0080

FY 2014 SUBSTANCE ABUSE BLOCK GRANT APPLICATION  
FUNDING AGREEMENTS/CERTIFICATIONS  
AS REQUIRED BY THE PUBLIC HEALTH SERVICE ACT

As part of the annual application for Block Grant funds it is required under Title XIX, Part B, Subpart II of the Public Health Services Act, as amended, that the chief executive officer (or an authorized designee) of the applicant organization certify that the State will comply with the following specific citations as summarized and set forth below, and with any regulations or guidelines issued in conjunction with this Subpart except as exempt by statute. We will accept a signature on this form as certification of agreement to comply with the cited provisions of the PHS Act. If signed by a designee, a copy of the designation must be attached.

**I. FORMULA GRANTS TO STATES, SECTION 1921**

Grant funds will be expended "only for the purpose of planning, carrying out, and evaluating activities to prevent and treat substance abuse and for related activities" as authorized.

**II. CERTAIN ALLOCATIONS, SECTION 1922**

- Allocations Regarding Alcohol and Other Drugs, Section 1922(a)
- Allocations Regarding Primary Prevention Programs, Section 1922(b)
- Allocations Regarding Women, Section 1922(c)

**III. INTRAVENOUS DRUG ABUSE, SECTION 1923**

- Capacity of Treatment Programs, Section 1923(a)
- Outreach Regarding Intravenous Substance Abuse, Section 1923(b)

**IV. REQUIREMENTS REGARDING TUBERCULOSIS AND HUMAN IMMUNODEFICIENCY VIRUS, SECTION 1924**

**V. RESERVED**

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Substance Abuse Prevention & Treatment Block Grant

Form 3

OMB No. 0930-0080

**VI. STATE LAW REGARDING SALE OF TOBACCO PRODUCTS TO INDIVIDUALS UNDER AGE OF 18, SECTION 1926:**

- The State has a law in effect making it illegal to sell or distribute tobacco products to minors as provided in Section 1926 (a)(1).
- The State will enforce such law in a manner that can reasonably be expected to reduce the extent to which tobacco products are available to individuals under the age of 18 as provided in Section 1926 (b)(1).
- The State will conduct annual, random unannounced inspections as prescribed in Section 1926 (b)(2).

Approved 08/14/01

**VII. TREATMENT SERVICES FOR PREGNANT WOMEN, SECTION 1927**

The State "will ensure that each pregnant woman in the State who seeks or is referred for and would benefit from such services is given preference in admission to treatment facilities receiving funds pursuant to the grant."

**VIII. ADDITIONAL AGREEMENTS, SECTION 1928**

- Improvement of Process for Appropriate Referrals for Treatment, Section 1928(a)
- Continuing Education, Section 1928(b)
- Coordination of Various Activities and Services, Section 1928(c)
- Waiver of Requirement, Section 1928(d)

**IX. SUBMISSION TO DEPUTY SECRETARY OF STATEWIDE ASSESSMENT OF NEEDS, SECTION 1929**

**X. MAINTENANCE OF EFFORT REGARDING STATE EXPENDITURES, SECTION 1930**

The State "will maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant."

**XI. RESTRICTIONS ON EXPENDITURE OF GRANT, SECTION 1931**

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Substance Abuse Prevention & Treatment Block Grant

**XII. APPLICATION FOR GRANT; APPROVAL OF STATE PLAN, SECTION 1932**

**XIII. OPPORTUNITY FOR PUBLIC COMMENT ON STATE PLANS, SECTION 1941**

The plan required under Section 1932 will be made "public in such a manner as to facilitate comment from any person (including any Federal or other public agency) during the development of the plan (including any revisions) and after the submission of the plan to the Deputy Secretary."

Approved 08/14/01

**XIV. REQUIREMENT OF REPORTS AND AUDITS BY STATES, SECTION 1942**

**XV. ADDITIONAL REQUIREMENTS, SECTION 1943**

**XVI. PROHIBITIONS REGARDING RECEIPT OF FUNDS, SECTION 1946**

**XVII. NONDISCRIMINATION, SECTION 1947**

I hereby certify that the State or Territory will comply with Title XIX, Part B, Subpart III of the Public Health Services Act, as amended, as summarized above, except for those Sections in the Act that do not apply or for which a waiver has been granted or may be granted by the Deputy Secretary for the period covered by this agreement.

**STATE: VERMONT**

**Name of Chief Executive Officer or Designee: Douglas A. Racine**

**Title: Secretary**

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Narrative<sup>1</sup> Intended use narrative is submitted to Substance Abuse and Mental Health  
Services Administration (SAMHSA)  
**annually**  
Copies furnished upon request.

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