



OFFICE OF THE SECRETARY  
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September 26, 2014

Mr. Darryl Mayes  
Director, Division of Cost Allocation  
Department of Health and Human Services  
26 Federal Plaza, Room 41-122  
New York, NY 10278

Mr. Mayes:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective July 1, 2014.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified new program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
37968.1	SerVermont – CNCS Withholding	Direct to SerVermont

The Agency of Human Services Central Office (AHSCO) has updated program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
88888.26	AAG's Office – DAIL	Result of Legal Time Study
88888.62	AAG's Office – DOC <del>DAIL</del>	Result of Legal Time Study
42020.1	Health Care Administration: 211 Contract Grant	Direct to Medicaid Administration

The Agency of Human Services Central Office (AHSCO) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41617.1	ONC HIT 3013	Per Approved Health Enterprise IAPD
37964.1	SerVermont – PDAT Grant	Direct to SerVermont

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
40710	G/C Performance Contracts	Direct to MCO
41165	Qual Assurance 3Squares Spec	Direct to SNAP
41166	Qual Assurance Health Spec	Actual Cases Reviewed by Qtr for CHIP & Global Commitment
41167	Qual Assurance Supervisor	Staff Supervised within the Quality Assurance Unit
44465	HUD HMIS Data Collection	Direct to Emergency Solutions Program

The Department for Children and Families (DCF) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41075	Health Care Policy Analyst	Percentage of Global Commitment, & SCHIP & Catamount Eligible's Claims
41110	General Admin - Global Commitment & /CHIP/Catamount	Percentage Global Commitment, & CHIP & Catamount-Eligible Claims
41706	Exchange level 2 Non-IT-Contracts Health Insurance Exchange - Non-IT Consulting and Contractual	Per Approved Health Enterprise IAPD
41150	Health Care Options	Enrollment Statistic for Percentage of CHIP, GC, and Qualified Health Plan & Catamount eligible's as compared to the total G/C eligible's
40708	CIS Early Intervention	Direct to MCO

The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41142	Catamount Health	Percentage of G/C eligible's as compared to total Catamount enrollment
40967	FEMA	Direct to FEMA

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Disabilities, Aging and Independent Living

The Department for Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
43891	Senior Community Service Employment Program - Supplemental	Direct to Senior Community Service Employment Program - Supplemental
43991	Senior Community Service Employment Program	Direct to Senior Community Service Employment Program
43370	Patient Safety Initiative	Direct to Medicare (Title XVIII)

The Department for Disabilities, Aging and Independent Living (DAIL) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
43120	Certification of Home Health Agencies	Direct to Medicare (XVIII Funds) Allocation Between Medicare (XVIII Funds), Medicaid (XIX), and General Funds

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41470	State Only Expenses	Direct to State Only
41646	Adult Medicaid Quality Grant Level 1 PIP	Direct to AMQ Grant

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39638	Public Health Actions- Heart Disease (1305)	Direct to Public Health Actions (1305)
39369	Public Health Actions – Diabetes (1305)	Direct to Public Health Actions (1305)
39640	Public Health Actions – School Health (1305)	Direct to Public Health Actions (1305)
39458	Urine Drug Program	Direct to urine Drug Program
39545	Domestic and Sexual Violence Prevention	Direct to Domestic and Sexual Violence Prevention

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Vermont Department of Health (VDH) has updated program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
39042	Free Clinic Administrative Support	Direct to MCO Investments Administration
39231	<del>Immunization Pilot</del> Vermont Vaccine Purchasing-Program	Direct to Vermont Vaccine Purchasing Program, the vaccines for Medicaid Adults are the allocated to Global Commitment MCO Investment
39240	<del>HAI and Flu Surveillance</del> Epi Lab Capacity non-PPHF	Direct to ACA Epi Lab Capacity non- PPHF
39349	ACA Epi Lab Capacity PPHF	Direct to ACA Epi Lab Capacity PPHF
39538	Hospital <del>Bioterrorism</del> Preparedness	Direct to Hospital <del>Bioterrorism</del> Preparedness
39873	<del>Youth Risk Behavioral Survey</del> School-Based Surveillance	Direct to School-Based Surveillance

The Vermont Department of Health (VDH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39045	Primary Care ARRA	Direct to Primary Care ARRA
39326	HIV/AIDS Evaluation	Direct to HIV/Prevention Grant
39339	Vermont HIV Prevention Community Planning Group	Direct to HIV/Prevention Grant
39636	Chronic Disease Coordination	Direct to Chronic Disease Coordination
39162	Medical Examiner – Medicolegal Consultation/Education	Direct to Medical Examiner
39163	Regional Medical Examiner Payments	Direct to Medical Examiner
39184	EMS – Highway Safety	Direct to EMS Highway Safety
39352	Chronic Disease Epidemiologist	Direct to Epidemiology
39354	Arthritis	Direct to Arthritis
39383	Vital/Special Requests	Direct to Vital/Special Requests
39390	Health Statistics Requests	Direct to Vital Statistics
39393	Health Risk Survey	Direct to Health Risk Survey
39431	Laboratory Training Services	Direct to Laboratory
39461	Laboratory, Datamaster Support	Direct to Laboratory
39486	Dollar Tree Settlement Blood Lead Filter Paper	Direct to Dollar Tree Settlement Blood Lead Filter Paper

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Mental Health

The Department of Mental Health (DMH) has updated program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
42301	Direct Service Technical Assistance Supports	Direct to Global Commitment Program or Investment by Statewide Medicaid Eligibility Rate
42302	Care Management	Direct to Global Commitment Program or Investment by Statewide Medicaid Eligibility Rate
42306	Inpatient Utilization Review	Direct to Global Commitment Program or Investment by Statewide Medicaid Eligibility Rate
42785	Psych Inpatient – RRM – GC Invest	Direct to RRM Psych Inpatient final receiver (Investment)
42786	Psych Inpatient – BR – GC Invest	Direct to RRM Psych Inpatient final receiver (Investment)
42797	Psych Inpatient – AHC – GC Medicaid	Direct to RRM Psych Inpatient final receiver (Investment)
42920	Admin & Gen/Exec/BO/QA	Direct to GMPCC Final (GCI)
42921	Adult Gen Routine Psych Care	Direct to GMPCC Final (GCI)
42922	Social Service Admin/Vol & Lib	Direct to GMPCC Final (GCI)
42923	Medical Records	Direct to GMPCC Final (GCI)
42924	Nursing Administration	Direct to GMPCC Final (GCI)
42925	Nursing Ed	Direct to GMPCC Final (GCI)
42926	Ancillary & Laboratory Services	Direct to GMPCC Final (GCI)
42927	Occupational Therapy	Direct to GMPCC Final (GCI)
42928	Physical Therapy	Direct to GMPCC Final (GCI)
42929	Pharmacy	Direct to GMPCC Final (GCI)
42930	Housekeeping	Direct to GMPCC Final (GCI)
42931	Dietary	Direct to GMPCC Final (GCI)
42932	Barber/Beauty shop	Direct to GMPCC Final (GCI)
42942	Admin & Gen/Exec/BO/QA	Direct to VPCH Final (GCI)
42943	Adult Gen Routine Care	Direct to VPCH Final (GCI)
42944	Treatment Team	Direct to VPCH Final (GCI)
42945	Medical Records	Direct to VPCH Final (GCI)
42946	Nursing Administration	Direct to VPCH Final (GCI)
42947	Ancillary & Laboratory Services	Direct to VPCH Final (GCI)
42948	Occupational Therapy	Direct to VPCH Final (GCI)
42949	Physical Therapy	Direct to VPCH Final (GCI)
42950	Pharmacy	Direct to VPCH Final (GCI)
42951	Housekeeping	Direct to VPCH Final (GCI)
42952	Dietary	Direct to VPCH Final (GCI)
42953	Laundry and Linen	Direct to VPCH Final (GCI)
42954	Electronic Health Record – VPCH	Direct to VPCH Final (GCI)
42955	Social Service Admin/Vol & Lib	Direct to VPCH Final (GCI)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Mental Health (DMH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
42775	Challenge for Change (C4C) – Community Initiatives-Health Care and Rehabilitative Services (HCRS)	Direct to C4C Community Initiatives – HCRS (Investment)
42776	C4C – Community Initiatives- Counseling Services of Addison County (CSAC)	Direct to C4C Community Initiatives – CSAC (Investment)
42777	C4C – Community Initiatives- Rutland Mental Health Services (RMHS)	Direct to C4C Community Initiatives – RMHS (Investment)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

## Cost Impact Assessment

**Category 1** – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

**Category 4** – Program codes being moved from one Planned Department category to another with no changes in funding.

**Category 5** – Updates in this category do have an adverse affect on federal funding.

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

**Category 7** – Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

### Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 88888.2            AAG's Office - DAIL
- 88888.6            AAG's Office- DOC
- 37968.1            SerVermont – CNCS Withholding
- 42020.1            Health Care Administration: 211 Contract

**Category 4** - Program codes being moved from one Planned Department category to another with no changes in funding

- 37990.1            SIMS YR 1 Testing – Contracts
- 37991.1            SIMS YR 1 Testing - Staff

**Category 7** – Deleted program. Program has expired or been terminated.

- 41617.1            ONC HIT 3013
- 37964.1            SerVermont – PDAT Grant

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded

- 44465 HUD HMIS Data Collection

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 40710 G/C Performance Contracts
- 41166 Qual Assurance Health Spec
- 41167 Qual Assurance Supervisor
- 41165 Qual Assurance 3Squares Spec
- 40708 CIS Early Intervention
- 41150 Health Care Operations
- 41075 Health Care Policy Analyst
- 41110 General Admin – Global Commitment/CHIP

**Category 6** - Updates in narrative wording only with no change in the actual allocation method

- 41706 Exchange Level 2 Non-IT – Contracts
- 41180 Quality Control/Treasury Offset Program Staff
- 41185 Financial Eligibility Specialist/Interviewers/Call Center Agents

**Category 7** – Deleted program. Program has expired or been terminated.

- 40967 FEMA
- 41142 Catamount Health

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 43891 Senior Community Service Employment Program - Supplemental
- 43991 Senior Community Service Employment Program
- 43370 Patient Safety Initiative
- 43120 Certification of Home Health Agencies

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 41470 State Only Expenses
- 41646 Adult Medicaid Quality Grant Level 1 PIP

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded

- 39638 Public Health Actions- Heart Disease (1305)
- 39639 Public Health Actions – Diabetes (1305)
- 39640 Public Health Actions – School Health (1305)

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- **39042** Free Clinic Administrative Support
- **39458** Urine Drug Program
- **39458** Domestic and Sexual Violence Prevention

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

- 39231 Vermont Vaccine Purchasing Program
- 39240 Epi Lab Capacity non-PPHF
- 39349 Epi Lab Capacity PPHF
- 39538 Hospital Preparedness
- 39873 School-Based Surveillance

**Category 7** – Deleted program. Program has expired or been terminated

- 39045 Primary Care ARRA
- 39326 HIV/AIDS Evaluation
- 39339 Vermont HIV Prevention Community Planning Group
- 39636 Chronic Disease Coordination
- 39162 Medical Examiner- Medicolegal Consultation/Education
- 39163 Regional Medical Examiner Payments
- 39184 EMS – Highway Safety
- 39352 Chronic Disease Epidemiologist
- 39354 Arthritis
- 39383 Vital/Special Requests
- 39390 Health Statistics Request
- 39393 Health Risk Survey
- 39431 Laboratory Training Services
- 39461 Laboratory, Datamaster Support
- 39486 Dollar Tree Settlement Blood Lead Filter Paper

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 4** – Program codes being moved from one Planned Department category to another with no changes in funding.

- 42302 Care Management
- 42307 Residential And Intensive Services Director
- 42916 Secure Residential Recovery

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

- 42014 DMH Administration
- 42301 Direct Technical Assistance Supports
- 42302 Care Management
- 42306 Inpatient Utilization Review
- 42785 Psych Inpatient – RRMC – GC Invest
- 42786 Psych Inpatient – BR – GC Invest
- 42796 Psych Inpatient – AHC – GC Investment
- 42797 Psych Inpatient – AHC – GC Medicaid
- 42920 Admin & Gen/Exec/BO/QA
- 42921 Adult Gen Routine Psych Care
- 42922 Social Service Admin/Vol & Lib
- 42923 Medical Records
- 42924 Nursing Administration
- 42925 Nursing Ed
- 42926 Ancillary & Laboratory Services
- 42927 Occupational Therapy
- 42928 Physical Therapy
- 42929 Pharmacy
- 42930 Housekeeping
- 42931 Dietary
- 42932 Barber/Beauty Shop
- 42942 Admin & Gen/Exec/BO/QA
- 42943 Adult Gen Routine Care
- 42944 Treatment Team
- 42945 Medical Records
- 42946 Nursing Administration
- 42947 Ancillary & Laboratory Services
- 42948 Occupational Therapy
- 42949 Physical Therapy
- 42950 Pharmacy
- 42951 Housekeeping
- 42952 Dietary
- 42954 Electronic Health Records – VPCH
- 42955 Social Service Admin/Vol & Lib

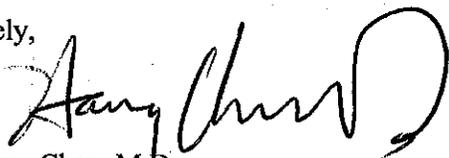
**Category 7** - Deleted program. Program has expired or been terminated

- 42775. Challenge for Change (C4C) – Community Initiatives-Health Care and Rehabilitative Services (HCRS)
- 42776 C4C – Community Initiatives-Counseling Services of Addison County (CSAC)
- 42777 C4C – Community Initiatives-Rutland Mental Health Services (RMHS)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 241-2949.

Sincerely,



Dr. Harry Chen, M.D.  
Acting Secretary  
Agency of Human Services

cc:

Ben Black  
Bill Kelly  
Bill Snyder  
Cara McSherry  
Carrie Hathaway  
Christine Brown  
Diane Cummings  
Ed Dwinell  
Gary Leach  
Heidi Hall  
Hugh McCaslin  
Jill Gould

Jim Euber  
Jim Giffin  
Jim Mall  
Joan Adams  
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Tracy O'Connell  
Victoria Alberghini

## State and Local Cost Allocation Plans

### CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

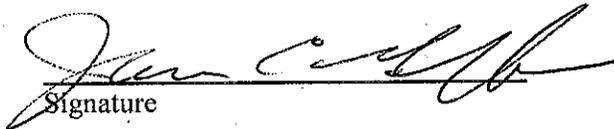
This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated **September 26, 2014** to establish cost allocations or billings for the quarter ending **September 30, 2014** are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources  
Government Unit

  
Signature

James C. Giffin  
Name of Official

Agency Financial Operations Manager  
Title

September 26, 2014  
Date of Execution

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.