

OFFICE OF THE SECRETARY
103 South Main Street
Waterbury, Vermont 05671-0204

June 29, 2011

Mr. Robert Aaronson
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Dear Mr. Aaronson:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective April 1, 2011.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified a new program code appearing in VISION data. While all this program code has been added to the narrative and highlighted in red, we have included a chart below:

Table with 3 columns: Plan Dept, Plan Dept Name, Allocation Method. Row 1: 37711.1, Money Follows the Person (MFP) Rebalancing Grant Demonstration - Choices for Care - Dual Eligibility, Direct Reporting

The Agency of Human Services Central Office (AHSCO) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Table with 3 columns: Plan Dept, Plan Dept Name, Allocation Method. Rows: 37050.1 (Operations Coordinator Admin Services Manager, Number of Staff Across AHS), 10000.8 (Attorney General's Office, The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe). Result of Legal Time Study), 1012.1 (MMIS - TA, Direct to MMIS 90% - TA)

The Agency of Human Services Central Office (AHSCO) has deleted a program code previously appearing in VISION data. While this program code has been lined-through in the narrative and highlighted in red, we have included a chart below:

Table with 3 columns: Plan Dept, Plan Dept Name, Allocation Method. Rows: 14000.1 (AHS Field Services, Results of Field Services Time Studies), 88888.1 (AAG's Office - DCF, Result of Legal Time Study), 88888.6 (AAG's Office - DAIL, Result of Legal Time Study), 88888.3 (AAG's Office - VDH, Result of Legal Time Study), 88888.4 (AAG's Office - AHS, Result of Legal Time Study)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Allocation Method
88888.5	AAG's Office – DVHA	Result of Legal Time Study
88888.2	AAG's Office – DAIL	Result of Legal Time Study
88888.7	AAG's Office – DMH	Result of Legal Time Study

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
40443	2010 OJP Congressional Earmark	Direct to Earmarks
40530.7	Guardianship Assistance Program	Direct to Permanent Guardianship
39705	Vermont Spay Neuter Incentive Program (VSNIP)	Direct to VSNIP
41631	GEARWAR	Direct to General Fund
41728	GA Vision/Physician	Direct to MCO Investments
41729	C4C Community Initiative - HOPE	Direct to MCO Investments
44305	Community Services Block Grant (CSBG) Administration	Direct to CSBG
37672	Pregnancy Assistance	Direct to Teen Parenting Grant
40966	Head Start-ARRA	Direct to Head Start ARRA

The Department for Children and Families (DCF) has updated program codes appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
40777	Benefits Paid on Termination	The percentage of salaries by program in DCF compared to the total of all salaries in DCF (not including fringe).
40200	Woodside - Admin	Direct to Woodside – Employees salaries across Woodside
40210	Woodside – Treatment	Direct to Woodside Total Global Commitment eligible population compared to total population
40710	G/C Performance Contracts Move to CDD	Direct to MCO
40962	ARRA – FSCO - SNAP	20.12.02% Eligible Costs
41015	Economic Services Deputy Commissioner and Staff	Employee Salaries Across Economic Services (including field staff)
41212	Benefit Programs Administrator	Employee Salaries Across Heating and related programs
39750.1	Healthy Babies Nursing and Family Support (NFS) – SPMP	Direct to Global Commitment
39750.2	Healthy Babies Nursing and Family Support (NFS) Grant	Direct to Global Commitment
39751	Healthy Babies Nursing and Family Support (NFS) – Non-SPMP	Direct to Global Commitment
40704	GC FITP-Early Intervention (EI) CAPTA Medicaid Eligible	Direct to GC
40706	GC FITP-Early Intervention (EI) Program Staff	Direct to FITP EI

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept.	Plan Dept. Name	Allocation Method
40707	GC CUPS Early Childhood & Family Mental Health (ECFMH) Program Staff	Direct to Cups-ECFMH
40708	GC MCO CAPTA - Non Medicaid	Direct to MCO
40711	HBKF Performance Grants & Children's Integrated Services	Direct to Global Commitment
40954	ARRA – IDEA Part C	Direct to ARRA – IDEA Part C
40962	ARRA – FSCO - SNAP	20.12.02% Eligible Costs
41602	SCHIP Costs	Direct to SCHIP
41618	SOA Infrastructure Components (BUS, Workflow, Rules)	90% Title XIX 10% State Direct to SOA Infrastructure
42500	GC CUPS Early Childhood & Family Mental Health (ECFMH)	Direct to MCO-GC CUPS-ECFMH
42501	CUPS Early Childhood & Family Mental Health (ECFMH)- Administrative	Direct to Global Commitment

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
37711*	DUALS Project	Direct to DUALS
43896	Money Follows the Person	Direct to MFP Grant
43780	VR UMASS BOND	Direct to VR UMASS BOND

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
41634	MMIS- IV&V Contractual	Direct to PAPD
41636	MAPIR - EHR Incentive Payments	Direct to IAPD
41637	MAPIR - State Customization - Contractual Costs	Direct to IAPD
41638	MAPIR - State Customization - Staff Costs	Direct to IAPD
41639	ICD-10 - Contractual Costs	Direct to IAPD
41640	ICD-10 - Staff Costs	Direct to IAPD

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Vermont Health Access (DVHA) has updated program codes appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41499	MMIS – TA	Direct to MMIS 90%-PAPD
41520	MOVE - Contracts	Direct to MMIS 90%-PAPD
41613	MMIS – DDI MES - DDI	Per approved APD
41633	MAPIR – Multi State CORE - Contractual	Direct to Multi State IAPD
41692	HCR/HIT - Contracts	Bi-Annual % of State population Eligible for Medicaid to GC Admin remainder to MCO. Staff in this Program code also direct code time as appropriate to other Program codes.

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
39790	PREP-Personal Responsibility Education	Direct to PREP-Personal Responsibility Education
39792	MCH Home Visiting	Direct to MCH Home Visiting

The Vermont Department of Health (VDH) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39344	Enhanced Immunization Program Catamount Immunization Services	Direct to Enhanced Immunization Program Catamount Immunization Services

The Vermont Department of Health (VDH) has deleted a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39732	WIC Vegetable	Direct to WIC Vegetable

Department of Mental Health

The Department of Mental Health (DMH) has identified new program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
42775	C4C - Community Initiatives-HCRS	Direct to C4C Community Initiatives - HCRS
42776	C4C - Community Initiatives-CSAC	Direct to C4C Community Initiatives - CSAC
42777	C4C - Community Initiatives-RMHS	Direct to C4C Community Initiatives - RMHS
42778	Employment Development Initiative	Direct to Employment Development Initiative
42779	TTI	Direct to TTI

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Mental Health (DMH) has updated program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
42030	CAP/GF-Match-CRT	Direct to General Fund
41618	SOA Infrastructure Components (BUS, Workflow, Rules)	90% Title XIX 10% State Direct to SOA Infrastructure

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Impact Assessment

Category 1 – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

Category 4 – Program codes being moved from one Planned Department category to another with no changes in funding.

Category 5 – Updates in this category do have an adverse affect on federal funding.

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

Category 7 – Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made two changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 37050.1 Operations-Coordinator Admin Services Manager
- 37711.1 Money Follows the Person (MFP) Rebalancing Grant Demonstration – Choices for Care –Dual Eligibility
- 10000.8 Attorney General's Office
- 1012.1 MMIS - TA

Category 7 – Deleted program. Program has expired or been terminated.

- 14000.1 AHS Field Services
- 88888.1 AAG's Office – DCF
- 88888.6 AAG's Office – DAIL
- 88888.3 AAG's Office – VDH
- 88888.4 AAG's Office – AHS
- 88888.5 AAG's Office – DVHA
- 88888.2 AAG's Office – DAIL
- 88888.7 AAG's Office – DMH

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 40443 2010 OJP Congressional Earmark
- 37672 Pregnancy Assistance
- 40966 Head Start ARRA

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 41728 GA Vision/Physician
- 44305 Community Services Block Grant (CSBG) Administration
- 41631 GEARWAR

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 3 – Continued

- 39705 VSNIP
- 41618 SOA Infrastructure Components (BUS, Workflow, Rules)
- 41729 C4C Community Initiative - HOPE
- 40962 ARRA – FSCO - SNAP
- 40200 Woodside - Admin
- 40210 Woodside – Treatment

Category 5 – Updates in this category do have an adverse affect on federal funding.

- 40530.7Guardianship Assistance Program

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

- 40777 Benefits Paid on Termination
- 40710 G/C Performance Contracts
- 41015 Economic Services Deputy Commissioner and Staff
- 39750.1Nursing and Family Support (NFS) – SPMP
- 39750.2Nursing and Family Support (NFS) Grant
- 39751 Nursing and Family Support (NFS) – Non-SPMP
- 40704 GC Early Intervention (EI) CAPTA Medicaid Eligible
- 40706 GC Early Intervention (EI) Program Staff
- 40707 GC Early Childhood & Family Mental Health (ECFMH) Program Staff
- 40708 GC MCO CAPTA – Non Medicaid
- 40711 HBKF Performance Grants & Children’s Integrated Services
- 41602 SCHIP Costs
- 42500 GC Early Childhood & Family Mental Health (ECFMH)
- 42501 Early Childhood & Family Mental Health (ECFMH) – Administrative
- 41212 Benefit Programs Administrator

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has made a change to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 37711* DUALS Project
- 43896 Money Follows the Person
- 43780 VR UMASS BOND

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 41634 MMIS- IV&V Contractual
- 41636 MAPIR - EHR Incentive Payments
- 41637 MAPIR - State Customization - Contractual Costs
- 41638 MAPIR - State Customization - Staff Costs
- 41639 ICD-10 - Contractual Costs
- 41640 ICD-10 - Staff Costs
- 41499 MMIS – TA
- 41520 MOVE - Contracts

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 3 – Continued

- 41613 MMIS – DDIMES - DDI
- 41633 MAPIR – Multi State CORE – Contractual
- 41692 HCR/HIT - Contracts

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 39790 PREP-Personal Responsibility Education
- 39792 MCH Home Visiting

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 39344 Enhanced Immunization Program ~~Catamount Immunization Services~~

Category 7 – Deleted program. Program has expired or been terminated.

- 39732 WIC Vegetable

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

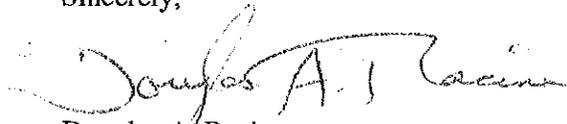
Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 42775 C4C - Community Initiatives-HCRS
- 42776 C4C - Community Initiatives-CSAC
- 42777 C4C - Community Initiatives-RMHS
- 42778 Employment Development Initiative
- 42779 TTI
- 42030 CAP/GF Match CRT
- 41618 SOA Infrastructure Components (BUS, Workflow, Rules)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 241-2949.

Sincerely,



Douglas A. Racine
Secretary
Agency of Human Services

cc:

Al Johnson
Allan Merritt
Ben Black
Bill Kelly
Cara McSherry
Carrie Hathaway
Connie Harrison
David Cameron
David Cohen
Ed Dwinell
Gary Leach
Heidi Hall

Hugh McCaslin
Jill Gould
Jim Euber
Jim Giffin
Jim Mall
Joan Adams
Judy Morse
Kevin O'Connell
Leo Clark
Mary Ann Alligood
Mike Mooradian
Monica Light

Patrick Burke
Peter Bestenbostel
Ray Stout
Rob Roberts
Sarah Walcott
Shannon Thompson
Sherie Barbour
Shirley Dow
Stacy Gibson-Grandfield
Ursula Boehringer

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

State and Local Cost Allocation Plans

CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

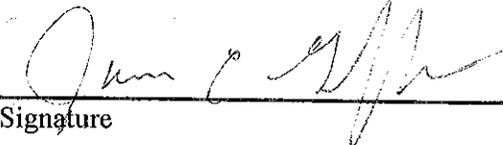
This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated March 29, 2011 to establish cost allocations or billings for the quarter ending March 31, 2011 are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources
Government Unit



Signature

James C. Giffin
Name of Official

Agency Financial Operations Manager
Title

June 23, 2011-----
Date of Execution