



OFFICE OF THE SECRETARY
208 Hurricane Lane, Suite #103
Williston, Vermont 05495-2069

September 30, 2013

Mr. Darryl Mayes
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Mr. Mayes:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective July 1, 2013.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified new program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Table with 3 columns: Plan Dept, Plan Dept Name, Allocation Method. Rows include 41763 (Exchange Level 1 C Non-IT Staff) and 41772 (ACA CAQH Staff Costs).

The Agency of Human Services Central Office (AHSCO) has identified items which have been updated. While this program code has been added to the narrative and highlighted in red, we have included a chart of this addition below:

Table with 3 columns: Plan Dept, Plan Dept Name, Allocation Method. Rows include 37220 (Human Services Board), 37490 (Departmental Operating Expenses), 37185 (High Risk Pool), and 37709 (Global Commitment Capitation Payments).

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Department for Children and Families (DCF)**

The Department for Children and Families (DCF) has identified items which have been updated. While this program code has been added to the narrative and highlighted in red, we have included a chart of this addition below:

Plan Dept.	Plan Dept. Name	Allocation Method
40030	Resource Coordinators	Title IV-E & Global Commitment Eligibility Rate (remainder to TANF, Woodside)
40502	Nurturing Parent Program	Direct to MCO
40503	Lamoille Valley Community Justice Project	Direct to MCO
40530.8	Subsidized Adoptions	Direct to Title IV-E Foster Care Maintenance Payments
40615	Bright Futures	Direct to State Funded
40711	HBKF Performance Grants & Children's Integrated Services	Direct to Global Commitment
41602	SCHIP Costs	Direct to SCHIP
41110	General Admin - Global Commitment/SCHIP/Catamount	Percentage Global Commitment, SCHIP & Catamount Eligible
39600	Early Intervention (formerly Family Infant and Toddler Program)	Direct to Family Infant and Toddler Program
41729	C4C Community Initiative – HOPE & GA Front Door Grants	Direct to MCO Investments
41150	Health Care Operations	Percentage of SCHIP & Catamount eligible as compared to the total G/C eligible

The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41696	Exchange level I Non-IT Staff and Operating	Per Approved Health Enterprise IAPD
40961	ARRA – TANF Non-Recurrent	Direct to ARRA -TANF- Non-recurrent
40963	ARRA – TANF Basic Assistance	Direct to ARRA TANF – Basic Assistance
40964	ARRA – Subsidized Employment	Direct to Stimulus Funds
40957	ARRA - CSBG	Direct to ARRA-CSBG
40958	ARRA – HPRP (ESG)	Direct to ARRA - HPRP
40953	ARRA-CCDF	Direct to ARRA- CCDF
40954	ARRA – IDEA Part C	Direct to ARRA – IDEA Part C
40180	CIS Intake Coordinator	Direct to GC
40612	Rape Prevention Block Grant	Direct to Rape Prevention Block Grant
40595	Safe Havens	Direct to Safe Havens
40629	Juvenile Accountability Incentive Block Grant	Direct to Juvenile Accountability Incentive Block Grant

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Department for Children and Families (DCF) cont'd**

The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
40438	Youth Crime Prevention	Direct to Youth Crime Prevention
40436	2009 Congressional Earmark/ OJJP Council & CTF	Direct to Youth Crime Prevention.
40432	Relatives as Parents Program	Direct to Relatives as Parents
37677	Supervised Visits	Direct to Supervised Visits
40438	HUD Homeless – Economic Development Initiative	Direct to Earmarks
41006	Consumer Involvement	Employee Salaries of all staff at Field Offices

**Department of Disabilities, Aging and Independent Living**

The Department of Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
43785	UMASS Progressive Employment	Direct to UMASS Progressive Employment

**Department of Vermont Health Access (DVHA)**

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
41772	CAQH ACA IAPD Staff	Direct to ACA CAQH Grant
41773	CAQH ACA IAPD Contract	Direct to ACA CAQH Grant

The Department of Vermont Health Access (DVHA) has identified items which have been updated. While this program code has been added to the narrative and highlighted in red, we have included a chart of this addition below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
41769	Navigator - State	Direct to State Funds
41770	Navigator - Allocated	Per Approved Health Enterprise IAPD
41771	SIM Contract	Direct to SIM Grant

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Vermont Department of Health (VDH)**

The Department of Vermont Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
41704	Exchange Level 2 non-IT Staff	Direct to Exchange Level 2 non-IT Staff

The Department of Vermont Health (VDH) has identified items which have been updated. While this program code has been added to the narrative and highlighted in red, we have included a chart of this addition below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
39139	Rutland Pesticide 2013	Direct to Rutland Pesticide 2013
39191	Opioid Antagonist Pilot Program	Direct to Opioid Antagonist Pilot Program

**Department of Mental Health**

The Department of Mental Health (DMH) has identified updated program codes appearing in VISION data. While all these program codes have been updated to the narrative and highlighted in red, we have included a chart of these additions below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
42319	<del>Information and Data Management Technology and Data Collection</del>	Total Cost of All Programs, Including Community Health and Inpatient Care
42649	Children's Division Only	Total Cost of All Children's Programs, Including Community Health
42941	Brattleboro Retreat – PNMI clients	Direct to Global Commitment (Investment)

The Department of Mental Health (DMH) as deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

<b>Plan Dept.</b>	<b>Plan Dept. Name</b>	<b>Allocation Method</b>
41499	MMIS-TA	Direct to MMIS-TA (75%)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

## **Cost Impact Assessment**

**Category 1** – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

**Category 4** – Program codes being moved from one Planned Department category to another with no changes in funding.

**Category 5** – Updates in this category do have an adverse effect on federal funding.

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

**Category 7** – Deleted program. Program has expired or been terminated.

**Note:** *All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.*

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Agency of Human Services Central Office (AHSCO)**

The Agency of Human Services Central Office (AHSCO) has made the following changes to the Cost Allocation Plan this quarter:

**Category 3** - Programs in this category are new or have been updated but have no adverse federal impact.

- 41763 - Exchange Level 1 C Non-IT Staff and Operating Non-IT Salary and Operating
- 41772 - ACA CAQH Staff Costs related to Vermont CAQH Core Operating Rules

**Category 6** - Updates in narrative wording only with no change in the actual allocation method.

- 37220 - Human Services Board
- 37490 - Departmental Operating Expenses
- 37185 - High Risk Pool
- 37709 - Global Commitment Capitation Payments

**Department for Children and Families (DCF)**

The Department for Children and Families (DCF) has made the following changes to the Cost Allocation Plan this quarter:

**Category 2** - Programs in this category are used to identify new grants 100% federally funded.

- 41706 - Health Insurance Exchange - Non-IT Consulting and Contractual

**Category 3** - Programs in this category are new or have been updated but have no adverse federal impact.

- 40030 - Resource Coordinators
- 40502 - Nurturing Parent Program
- 40503 - Lamoille Valley Community Justice Project

**Category 4** - Program codes being moved from one Planned Department category to another with no changes in funding.

- 40703 - MCO Investments Lund Residential
- 44340 - LIHEAP Outreach
- 41729 - C4C Community Initiative – HOPE & GA Front Door Grants

**Category 6** - Updates in narrative wording only with no change in the actual allocation method.

- 40530.8 - Subsidized Adoptions
- 40615 - Bright Futures
- 40711 - HBKF Performance Grants & Children's Integrated Services
- 41602 - SCHIP Costs
- 41110 - General Admin - Global Commitment/SCHIP/Catamount
- 39600 - Early Intervention (formerly Family Infant and Toddler Program)
- 41729 - C4C Community Initiative – HOPE & GA Front Door Grants
- 41150 - Health Care Operations

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

***Department for Children and Families (DCF) cont'd***

The Department for Children and Families (DCF) has made the following changes to the Cost Allocation Plan this quarter:

**Category 7 - Deleted program. Program has expired or been terminated.**

- 41696 - Exchange level 1 Non-IT Staff and Operating
- 40961 - ARRA – TANF Non-Recurrent
- 40963 - ARRA – TANF Basic Assistance
- 40964 - ARRA – Subsidized Employment
- 40957 - ARRA - CSBG
- 40958 - ARRA – HPRP (ESG)
- 40953 - ARRA-CCDF
- 40954 - ARRA – IDEA Part C
- 40180 - CIS Intake Coordinator
- 40612 - Rape Prevention Block Grant
- 40595 - Safe Havens
- 40629 - Juvenile Accountability Incentive Block Grant
- 40438 - Youth Crime Prevention
- 40436 - 2009 Congressional Earmark/ OJJP Council & CTF
- 40432 - Relatives as Parents Program
- 37677 - Supervised Visits
- 40438 - HUD Homeless – Economic Development Initiative

**Department of Disabilities, Aging and Independent Living**

The Department of Disabilities, Aging and Independent Living (DAIL) has made the following changes to the Cost Allocation Plan this quarter.

**Category 2 - Programs in this category are used to identify new grants 100% federally funded.**

- 43785 - UMASS Progressive Employment

**Department of Vermont Health Access (DVHA)**

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

**Category 2 - Programs in this category are used to identify new grants 100% federally funded.**

- 41772 - CAQH ACA IAPD Staff
- 41773 - CAQH ACA IAPD Contract

**Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.**

- 41769 - Navigator - State
- 41770 - Navigator - Allocated
- 41771 - SIM Contract

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Vermont Department of Health (VDH)**

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded.  
41704 - Exchange Level 2 non-IT Staff

**Category 3** - Programs in this category are new or have been updated but have no adverse federal impact.  
39139 - Rutland Pesticide 2013  
39191 - Opioid Antagonist Pilot Program

**Department of Mental Health (DMH)**

The Vermont Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** -- Programs in this category are new or have been updated but have no adverse federal impact.  
42319 - Information and Data Management Technology and Data Collection  
42649 - Children's Division Only  
42941 - Brattleboro Retreat - PNMI clients

**Category 7** - Deleted program. Program has expired or been terminated.  
41499 - MMIS-TA

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 871-3005.

Sincerely,

  
Douglas A. Racine  
Secretary  
Agency of Human Services

cc:

Ben Black	Jill Gould	Monica Light
Bill Kelly	Jim Euber	Patrick Burke
Brenda Fox-Howard	Jim Giffin	Paul Daley
Cara McSherry	Jim Mall	Paula Lefebvre
Carrie Hathaway	Joan Adams	Peter Bestenbostel
Christine Brown	Judy Morse	Ray Stout
Diane Cummings	Leo Clark	Richard Donahey
Ed Dwinell	Marti Sterin	Rob Roberts
Gary Leach	Mary Ann Alligood	Shannon Thompson
Heidi Hall	Megan Vezina	Sherie Barbour
Hugh McCaslin	Mike Mooradian	Victoria Alberghini

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

## State and Local Cost Allocation Plans

### CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

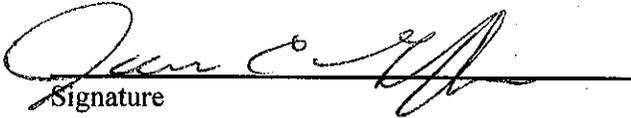
This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated September 30, 2013 to establish cost allocations or billings for the quarter ending September 30, 2013 are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources  
Government Unit

  
Signature

James C. Giffin  
Name of Official

Agency Financial Operations Manager  
Title

September 30, 2013  
Date of Execution