



OFFICE OF THE SECRETARY
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Williston, Vermont 05495-2069

March 30, 2012

Mr. Robert Aaronson
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Dear Mr. Aaronson:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective January 1, 2012.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified a new program code appearing in VISION data. While this program code has been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
41693.1	HCR CMS 4201 (HIT)	Approved IAPD award for HIT
41696.1	Portfolio Management /Roadmap – Staff and Operating Costs	Direct to HIX Establishment Grant

The Agency of Human Services Central Office (AHSCO) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
37965.1	Committee for National and Community Services- SerVermont	Direct to Committee for National and Community Services- SerVermont
37964.1	Committee for National and Community Services- SerVermont -PDAT grant	Direct to Committee for National and Community Services- SerVermont
37963.1	Committee for National and Community Services- SerVermont -Disability grant	Direct to Committee for National and Community Services- SerVermont
37962.1	Committee for National and Community Services- SerVermont -Formula grant	Direct to Committee for National and Community Services- SerVermont
37961.1	Committee for National and Community Services SerVermont – Competitive grant	Direct to Committee for National and Community Services SerVermont
37969.1	Committee for National and Community Services SerVermont Volunteers in Service to America (VISTA) Supervision	Direct to Committee for National and Community Services SerVermont

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Agency of Human Services Central Office (AHSCO) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept	Plan/Dept Name	Allocation Method
41616.1	HCR-CMS 4201 (HIT)	Direct to HCR-CMS 4201

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept	Plan/Dept Name	Allocation Method
37071	Emergency Management	Direct to Emergency Management MOU
40530.12	Foster and Adoptive Parent Training	Is claimed using a blended eligibility rate of both IV-E adoption and foster care, as applicants for foster care and adoption are trained together, with many applying for approval for both programs.

The Department for Children and Families (DCF) has revised program codes appearing in VISION data. This category does have an adverse affect on federal funding and is listed in the chart below.

Plan/Dept	Plan/Dept Name	Allocation Method
40040	Adoption & Guardianship Services	Title IV-E Adoption Assistance Rate and IV-E Guardianship Rate
40530.1	Family Services Title IV-E Maintenance Payments	Direct to Title IV-E Child Development
40530.2	Case Review Services/Foster Parent Recruitment	Title IV-E Eligibility Rate
40530.3	Foster Parent Trainings	Title IV-E Eligibility Rate (IV-E Training)
40530.5	Training UVM students in Social Worker studies	Direct to Title IV-E Foster Care Training (75%) Is claimed using a blended eligibility rate of both adoption and IV-E children. State match to be provided by UVM.
40530.6	Title IV-E Short Term Training	Direct to Title IV-E – Training ('09-55%)
40530.7	Guardianship Assistance Maintenance Program Payments	Direct to Permanent Guardianship

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
40950	ARRA - SNAP	Direct to ARRA-SNAP
40951	ARRA – Title IV-E Foster Care	6.2% of Eligible Costs
40952	ARRA – Title IV-E Adoption Assistance	6.2% of Eligible Costs
40955	ARRA – TEFAP	Direct to ARRA-TEFAP
40956	ARRA- Title IV-D	Direct to ARRA – Title IV-D.

Department of Disabilities, Aging and Independent Living (DAIL)

The Department of Disabilities, Aging and Independent Living (DAIL) has made no changes to allocation methods. Changes were made in the narrative portion only. These changes have been added to the narrative and highlighted in red:

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
1017.1	HIT: Implementation and Operation- Staff	Direct to SMHP-HIT IAPD
1018.1	MAPIR - State Customization - Staff Costs	Direct to SMHP-HIT IAPD

The Department of Vermont Health Access (DVHA) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
1013.1	CMS HIT 4201 PAPP	Direct to CMS 4201 HIT PAPP
41616	CMS HIT 4201 PAPP	Direct to CMS 4201 HIT PAPP

Vermont Department of Health (VDH):

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39230	Immunization ACA	Direct to Immunization ACA
39634	Tobacco Control Supplement CDC	Direct to Tobacco Control Supplement - CDC
39635	Community Transformation	Direct to Community Transformation
39636	Chronic Disease Coordination	Direct to Chronic Disease Coordination
39739	BF Performance Bonus	Direct to BF Performance Bonus
39871	Data Collection State Epidemiological Outcomes Workgroup (SEOW) Program	Direct to State Epidemiological Outcomes Workgroup (SEOW) Program

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Vermont Department of Health (VDH) has updated a program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
39231	Immunization Pilot	Direct to Immunization Pilot
39569	Fluoridation	Direct to PHHS Block Grant - Direct to Fluoridation (as an MCO Investment)

The Vermont Department of Health (VDH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
9172	PHER H1N1	Direct to PHER H1N1
39173	H1N1 Implementation	Direct to H1N1 Implementation

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
42401	MH – SAMHSA/FEMA Regular Services Program Grant	Direct to SAMHSA/FEMA Regular Services Program Grant
42580	MH – Coalition to Promote Community Based Care Grant	Direct to the Olmstead Grant
42783	Staff and Operating Expenses Not Related to a Specific Site	Direct to GF
42901	Emergency VSH Patient Relocation	Direct to FEMA Project: Emergency VSH Patient Relocation
42902	Relocation Expenses	Direct to FEMA Project: Relocation Expenses
42903	Brattleboro Retreat Renovations	Direct to FEMA Project: Brattleboro Retreat Renovations
42904	Rutland Regional Medical Center	Direct to FEMA Project: Rutland Regional Medical Center
42905	State Run Acute Inpatient Facility	Direct to FEMA Project: State Run Acute Inpatient Facility
42906	Rent at the Brattleboro Retreat	Direct to FEMA Project: Rent at the Brattleboro Retreat
42907	Rent at the Fletcher Allen Health Care	Direct to FEMA Project: Rent at Fletcher Allen Health Care
42908	Rent at the Rutland Regional Medical Center	Direct to FEMA Project: Rent at Rutland Regional Medical Center

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Mental Health (DMH) has updated a program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
42014	MH – Legal Administration	Mental Health Distribution H
42769	Recovery Housing	Direct to Recovery Housing – MCO Investment
42780	VSH Direct Acute Patient Care - Non-Springfield	Direct to VSH , Global Commitment Fund
42781	VSH Direct Acute Patient Care - Springfield	Direct to VSH , General Fund
42782	VSH Admin and Program Support for Acute Care – Post Tropical Storm Irene	Costs to be allocated to VSH direct acute patient care cost centers based on patient statistics FTE

The Department of Mental Health (DMH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39950	VSH Administrative & General	Direct to VSH - no costs associated - delete
39951	VSH Executive Office	Direct to VSH - no costs associated - delete
39952	VSH Business Office	Direct to VSH - no costs associated - delete
39953	VSH Admissions	Direct to VSH - no costs associated - delete
39954	VSH Non-Admissions	Direct to VSH - no costs associated - delete
39955	VSH Switchboard	Direct to VSH - no costs associated - delete
39956	VSH Quality Improvement	Direct to VSH - no costs associated - delete
39957	VSH Word Processing	Direct to VSH - no costs associated - delete
39960	VSH Brooks 1 Unit	Direct to VSH - no costs associated - delete
39961	VSH Brooks 2 Unit	Direct to VSH - no costs associated - delete
39962	VSH Brooks Rehab Unit	Direct to VSH - no costs associated - delete
39963	VSH Brooks 1 Team	Direct to VSH - no costs associated - delete
39964	VSH Brooks 2 Team	Direct to VSH - no costs associated - delete
39965	VSH Brooks Rehab Team	Direct to VSH - no costs associated - delete
39970	VSH Social Services	Direct to VSH - no costs associated - delete
39971	VSH Chaplains	Direct to VSH - no costs associated - delete
39972	VSH Volunteer & Library	Direct to VSH - no costs associated - delete
39973	VSH Medical Records	Direct to VSH - no costs associated - delete
39974	VSH Nursing Administration	Direct to VSH - no costs associated - delete
39975	VSH Nursing Education	Direct to VSH - no costs associated - delete
39976	VSH Clinic	Direct to VSH - no costs associated - delete
39977	VSH Laboratory	Direct to VSH - no costs associated - delete
39978	VSH Ancillary Services	Direct to VSH - no costs associated - delete
39979	VSH Occupational Therapy	Direct to VSH - no costs associated - delete
39980	VSH Physical Therapy	Direct to VSH - no costs associated - delete
39981	VSH Pharmacy	Direct to VSH - no costs associated - delete
39982	VSH Housekeeping	Direct to VSH - no costs associated - delete
39983	VSH Dietary	Direct to VSH - no costs associated - delete
39984	VSH Storehouse	Direct to VSH - no costs associated - delete
39985	VSH Canteen	Direct to VSH - no costs associated - delete

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Plan Dept	Plan Dept Name	Allocation Method
39986	VSH Summer Camp	Direct to VSH - no costs associated - delete
39987	VSH Barber & Beauty Shop	Direct to VSH - no costs associated - delete
42030	CAP/CRT	Direct to CRT Community
42756	Alternatives to Restraint and Seclusion Grant	Direct to Alternatives to Restraint Grant -no costs associated - delete

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Impact Assessment

Category 1- Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2- Programs in this category are used to identify new grants 100% federally funded.

Category 3- Programs in this category are new or have been updated but have no adverse federal impact.

Category 4 - Program codes being moved from one Planned Department category to another with no changes in funding.

Category 5- Updates in this category do have an adverse affect on federal funding.

Category 6- Updates in narrative wording only with no change in the actual allocation method.

Category 7- Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made two changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 41693.1 - HCR CMS 4201 (HIT)
- 41696.1- Portfolio Management/Roadmap – Staff and Operating Costs
- 37965.1- Committee for National and Community Services SerVermont
- 37964.1- Committee for National and Community Services SerVermont -PDAT grant
- 37963.1- Committee for National and Community Services SerVermont -Disability grant
- 37962.1- Committee for National and Community Services SerVermont -Formula grant
- 37961.1- Committee for National and Community Services SerVermont -Competitive grant
- 37969.1- Committee for National and Community Services SerVermont -Volunteers in Service to America (VISTA) Supervision

Category 7- Deleted program. Program has expired or been terminated.

- 41616.1 - HCR-CMS 4201 (HIT)

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

Category 3 - Programs in this category are new or have been updated but have not had any adverse federal impact.

- 37071 - Emergency Management
- 40530.12 - Foster and Adoptive Parent Training

Category 5 - Updates in this category do have an adverse affect on federal funding.

- 40040 - Adoption & Guardianship Services

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

- 40530.1 - Family Services Title IV-E Maintenance Payments
- 40530.2 - Case Review Services/Foster Parent Recruitment
- 40530.3 - Foster Parent Trainings
- 40530.5 - Training UVM students in Social Worker studies
- 40530.6 - Title IV-E Short Term Training
- 40530.7 - Guardianship Assistance Maintenance Program Payments

Category 7 – Deleted program. Program has expired or been terminated.

- 40950 - ARRA - SNAP
- 40951 - ARRA – Title IV-E Foster Care
- 40952 - ARRA – Title IV-E Adoption Assistance
- 40955 - ARRA – TEFAP
- 40956 - ARRA- Title IV-D

Department of Disabilities, Aging and Independent Living (DAIL)

The Department of Disabilities, Aging and Independent Living (DAIL) has not made narrative changes to the Cost Allocation Plan this quarter.

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 1017.1 - HIT: Implementation and Operation- Staff
- 1018.1 - MAPIR - State Customization - Staff Costs

Category 7- Deleted program. Program has expired or been terminated.

- 1013.1 - CMS HIT 4201 PAPD
- 41616 - CMS HIT 4201 PAPD

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 39230 - Immunization ACA
- 39634 - Tobacco Control Supplement (CDS)
- 39635 - Community Transformation
- 39636 - Chronic Disease Coordination
- 39739 - Breast Feeding (BF) Performance Bonus
- 39871 - State Epidemiological Outcomes Workgroup (SEOW) Program

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 39231 - Immunization Pilot
- 39569 - Fluoridation

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 7 - Deleted program. Program has expired or been terminated.

- 39172 – PHER-H1N1
- 39173 – H1N1 Implementation

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 -Programs in this category are new or have been updated but have no adverse federal impact.

- 42014 – MH – Legal Administration
- 42769 – Recovery Housing
- 42780 – VSH – Direct Acute Patient Care - Non-Springfield
- 42781 – VSH – Direct Acute Patient Care - Springfield
- 42782 – VSH – Admin and Program Support for Acute Care – Post Tropical Storm Irene
- 42401 – MH – SAMHSA/FEMA Regular Services Program Grant
- 42580 – MH – Coalition to Promote Community Based Care Grant
- 42783 – Staff and Operating Expenses Not Related to a Specific Site
- 42901 – Emergency VSH Patient Relocation
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- 42906 – Rent at the Brattleboro Retreat
- 42907 – Rent at the Fletcher Allen Health Care
- 42908 – Rent at the Rutland Regional Medical Center

Category 7- Deleted program. Program has expired or been terminated.

- 39950 – VSH Administrative & General
- 39951 – VSH Executive Office
- 39952 – VSH Business Office
- 39953 – VSH Admissions
- 39954 – VSH Non-Admissions
- 39955 – VSH Switchboard
- 39956 – VSH Quality Improvement
- 39957 – VSH Word Processing
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- 39963 – VSH Brooks 1 Team
- 39964 – VSH Brooks 2 Team
- 39965 – VSH Brooks Rehab Team
- 39970 – VSH Social Services
- 39971 – VSH Chaplains
- 39972 – VSH Volunteer & Library
- 39973 – VSH Medical Records
- 39974 – VSH Nursing Administration
- 39975 – VSH Nursing Education
- 39976 – VSH Clinic

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Category 7--Deleted program. Program has expired or been terminated.

- 39977 – VSH Laboratory
- 39978 – VSH Ancillary Services
- 39979 – VSH Occupational Therapy
- 39980 – VSH Physical Therapy
- 39981 – VSH Pharmacy
- 39982 – VSH Housekeeping
- 39983 – VSH Dietary
- 39984 – VSH Storehouse
- 39985 – VSH Canteen
- 39986 – VSH Summer Camp
- 39987 – VSH Barber & Beauty Shop
- 42030 – CAP/CRT
- 42756 – Alternatives to Restraint and Seclusion Grant

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 871-3005.

Sincerely,



Douglas A. Racine
Secretary
Agency of Human Services

cc:

Al Johnson

Ben Black

Bill Kelly

Brenda Fox-Howard

Cara McSherry

Carrie Hathaway

Connie Harrison

David Cameron

David Cohen

Ed Dwinell

Gary Leach

Heidi Hall

Hugh McCaslin

Jill Gould

Jim Euber

Jim Giffin

Jim Mall

Joan Adams

Judy Morse

Kevin O'Connell

Leo Clark

Mary Ann Alligood

Mike Mooradian

Monica Light

Patrick Burke

Peter Bestenbostel

Ray Stout

Richard Donahey

Rob Roberts

Sarah Walcott

Shannon Thompson

Sherie Barbour

Shirley Dow

Ursula Boehringer

Victoria Alberghini

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

State and Local Cost Allocation Plans

CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

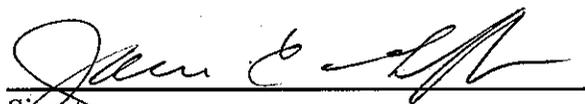
This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated March 30, 2012 to establish cost allocations or billings for the quarter ending March 31, 2012 are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources
Government Unit


Signature

James C. Giffin
Name of Official

Agency Financial Operations Manager
Title

March 27, 2012
Date of Execution