



OFFICE OF THE SECRETARY  
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June 27, 2014

Mr. Darryl Mayes  
Director, Division of Cost Allocation  
Department of Health and Human Services  
26 Federal Plaza, Room 41-122  
New York, NY 10278

Mr. Mayes:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective April 1, 2014.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has identified new program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
37995.1	Race to the Top ELC	Direct to Race to the Top Grant
37260.1	Integrated Family Services (IFS)	Direct to Administrative Funds

The Agency of Human Services Central Office (AHSCO) has updated program codes appearing in VISION data. While these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept.	Plan Dept. Name	Allocation Method
37790.1 37990.1	SIMS YR 1 Testing – Contracts	Per Approved SIM Grant

The Agency of Human Services Central Office (AHSCO) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept.	Plan Dept. Name	Allocation Method
41767.1	SIM Grant Non-IT Staff and Operating	Per Approved SIM Grant
37711.1	Dual Eligibility	Direct to Dual Eligibility

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
37995	Race to the Top ELC Grant	Direct to Race to the Top Grant
40556	SSBG TANF Transfer	Direct to SSBG

The Department for Children and Families (DCF) has updated a program code appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
41150	Health Care Operations	Percentage of CHIP, Qualified Health Plan & Catamount eligible's as compared to the total G/C eligible's
40712	VCRHYP Performance Grants	<del>Due</del> Direct to Global Commitment

The Department for Children and Families (DCF) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
40370	Emergency Food Assistance (TEFAP) IT	Direct to the Emergency Food Assistance Program (TEFAP)
40710	G/C Performance Contracts	Direct to MCO
42500	GC Early Childhood & Family Mental Health (ECFMH)	Direct to MCO-GC ECFMH
44320	SAE Foods	Direct to SAE Foods
44321	SAE Commodity	Direct to SAE Foods
40704	GC Early Intervention (EI) CAPTA Medicaid Eligible	Direct to GC

Department of Disabilities, Aging and Independent Living

The Department for Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
37991	SIMS Grant	Direct to SIMS Grant
41640	ICD-10	Direct to ICD-10IAPD
43890	State Plan Administration & National Family Care Supplemental (III-E)	Direct to Admin on Aging National Family Care Supplemental III-E

The Department for Disabilities, Aging and Independent Living (DAIL) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
43959	CMSO ADRC Program Grant	Direct to CMSO ADRC Program Grant
43740	Ticket to Work	Direct to Section 110
43967	TBI Employment Grant	Direct to TBI Employment Grant

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
41767	SIM STAFF Implementation	Direct to SIM Grant
41771	SIM Contract	Direct to SIM Grant

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
37995	Race to the Top-ELC Grant	Direct to Race to the Top

The Vermont Department of Health (VDH) has updated program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept	Plan Dept Name	Allocation Method
39756	EPSDT Administration Functions 1	Direct to Global Commitment Admin Professional Medical
39772	EPSDT Systems/Infrastructure Building	Direct to Global Commitment Admin Professional Medical
39774	Fostering Healthy Families	Direct to Global Commitment Admin Professional Medical
39575	Dental Public Health in D.O.'s	Direct to <del>Dental Public Health in D.O.'s</del> (Global Commitment)- Professional Medical
39610	Autism	Direct to Autism

The Vermont Department of Health (VDH) has deleted program codes previously appearing in VISION data. While these program codes have been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
39320	Epidemiology and Laboratory Capacity Building	Direct to EPI and Lab Capacity
39739	BF Performance Bonus	Direct to BF Performance Bonus

Department of Mental Health

The Department of Mental Health (DMH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan Dept	Plan Dept Name	Allocation Method
42798	Psychiatric Inpatient RRMC Cost Settlement and Rate Setting	Direct to RRMC Psych Inpatient (Investment)
42799	Psychiatric Inpatient BR Cost Settlement and Rate Setting	Direct to BR Psych Inpatient (Investment)
42800	Psychiatric Inpatient FAHC Cost Settlement and Rate Setting	Direct to FAHC Psych Inpatient (Investment)
42955	Social Service Admin/Vol & Lib	Direct to VPCH Final (GCI)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

The Department of Mental Health (DMH) has updated program codes appearing in VISION data. While these program codes have been updated in the narrative and highlighted in red, we have included a chart below:

Plan Dept	Plan Dept Name	Allocation Method
42943	Adult Gen Routine Care/Clinic	Direct to VPCH Final (GCI)
42944	Social Service Admin/Vol & Lib Treatment Team	Direct to VPCH Final (GCI)

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

## Cost Impact Assessment

**Category 1** – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

**Category 4** – Program codes being moved from one Planned Department category to another with no changes in funding.

**Category 5** – Updates in this category do have an adverse affect on federal funding.

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

**Category 7** – Deleted program. Program has expired or been terminated.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

### Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 37995.1 Race to the Top ELC
- 37260.1 Integrated Family Services (IFS)
- 37990.1 SIMS YR 1 Testing - Contracts

**Category 7** – Deleted program. Program has expired or been terminated.

- 41767.1 SIM Grant Non-IT Staff and Operating
- 37711.1 Dual Eligibility

### Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 37995 Race to the Top ELC
- 40556 SSBG TANF Transfer
- 40712 VCRHYP Performance Grant
- 41150 Health Care Operations

**Category 7** – Deleted program. Program has expired or been terminated.

- 40710 G/C Performance Contracts
- 40704 GC Intervention (EI) CAPTA Medicaid Eligible
- 42500 GC Early Childhood & Family Mental Health (ECFMH)
- 44320 SAE Foods
- 44321 SAE Commodity
- 40370 Emergency Good Assistance (TEFAP) IT

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 37991 SIM Grant
- 41640 ICD – 10
- 43890 State Plan Administration & National Family Care Supplemental (III-E)

**Category 7** – Deleted program. Program has expired or been terminated.

- 43740 Ticket to Work
- 43967 TBI Employment Grant
- 43959 CMSO ADRC Program Grant

Department of Vermont Health Access (DVHA)

The Department of Vermont Health Access (DVHA) has made the following changes to the Cost Allocation Plan this quarter.

**Category 7** – Deleted program. Program has expired or been terminated.

- 41767 SIM Staff Implementation
- 41771 SIM Contract

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 2** - Programs in this category are used to identify new grants 100% federally funded

- 37995 Race to the Top – ELC grant

**Category 6** – Updates in narrative wording only with no change in the actual allocation method.

- 39610 Autism
- 39750 Healthy Babies
- 39756 EPSDT Administration Functions 1
- 39772 EPSDT Systems/Infrastructure Building
- 39774 Fostering Healthy Families
- 39575 Dental Public Health in D.O.'s

**Category 7** – Deleted program. Program has expired or been terminated

- 39320 Epidemiology and Laboratory Capacity Building
- 39739 BF Performance Bonus

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

**Category 3** – Programs in this category are new or have been updated but have no adverse federal impact.

- 42798 Psychiatric Inpatient RRMC Cost Settlement and Rate Setting
- 42799 Psychiatric Inpatient BR Cost Settlement and Rate Setting
- 42800 Psychiatric Inpatient FAHC Costs Settlement and Rate Setting
- 42955 Social Service Admin/Vol & Lib

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

**Category 6 – Updates in narrative wording only with no change in the actual allocation method.**

- 42943            Adult Gen Routine Care
- 42944            Treatment Team

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 241-2949.

Sincerely,



Douglas A. Racine  
Secretary  
Agency of Human Services

cc:

Ben Black  
Bill Kelly  
Bill Snyder  
Cara McSherry  
Carrie Hathaway  
Christine Brown  
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Gary Leach  
Heidi Hall  
Hugh McCaslin  
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Jim Euber  
Jim Giffin  
Jim Mall  
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Shannon Thompson  
Sherie Barbour  
Tracy O'Connell  
Victoria Alberghini

## State and Local Cost Allocation Plans

### CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:

(1) All costs included in this proposal dated **June 27, 2014** to establish cost allocations or billings for the quarter ending **June 30, 2014** are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources  
Government Unit

  
Signature

James C. Giffin  
Name of Official

Agency Financial Operations Manager  
Title

June 27, 2014  
Date of Execution

\* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.